

PROJECTIVE CURVES, HYPERPLANE SECTIONS AND ASSOCIATED WEBS

EDOARDO BALLICO

An integral and non-degenerate curve $C \subset \mathbb{P}^r$ is said to be ordinary (Gruson, Hantout and Lehmann) if the general hyperplane section $H \cap C$ of H is of maximal rank in H . Let $g'(r, d)$ be the maximal integer such that for every $g \in \{0, \dots, g'(r, d)\}$ there is a smooth ordinary curve $C \subset \mathbb{P}^r$ with degree d and genus g . Here we discuss the relevance of old papers to get a lower bound for $g'(r, d)$. We prove that arithmetically Gorenstein curves $C \subset \mathbb{P}^r$ are ordinary only if either $r = 2$ or $d = r + 1$ and $\omega_C \cong \mathcal{O}_C$. We prove that general low genus curves are ordinary.

1. Introduction

Let $C \subset \mathbb{P}^r$ be an integral and non-degenerate curve. Set $d := \deg(C)$ and let $k_0(d, r)$ be the only positive integer such that $\binom{r+k_0(d, r)-1}{r-1} \leq d < \binom{r+k_0(d, r)}{r-1}$. In [12] and [18] C is said to be *ordinary* if for a general hyperplane $H \subset \mathbb{P}^r$ the set $C \cap H$ has maximal rank in H , i.e. for all $t \in \mathbb{Z}$ either $h^0(H, \mathcal{I}_{C \cap H}(t)) = 0$ or $h^1(H, \mathcal{I}_{C \cap H}(t)) = 0$, i.e. $h^0(H, \mathcal{I}_{C \cap H}(t)) = 0$ if $t \leq k_0(d, r)$ and $h^1(H, \mathcal{I}_{C \cap H}(t)) = 0$ for all $t > k_0(d, r)$, i.e. $h^0(H, \mathcal{I}_{C \cap H}(k_0(d, r))) = 0$ and $h^0(H, \mathcal{I}_{C \cap H}(t)) = \binom{r+t-1}{r-1} - d$ for all $t > k_0(d, r)$, i.e. (by the Castelnuovo-Mumford lemma) if

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$h^0(H, \mathcal{I}_{C \cap H}(k_0(d, r))) = 0$ and $h^0(H, \mathcal{I}_{C \cap H}(k_0(d, r) + 1)) = \binom{r+k_0(d, r)}{r-1} - d$. Set $\pi'(r, d) := k_0(d, r)d - \binom{r+k_0(d, r)}{r} + 1$. Gruson, Hantout and Lehmann proved that $p_a(C) \leq \pi'(r, d)$ if C is ordinary ([18, Théorème 1]). Let $\pi''(r, d)$ be the maximal integer g such that for all $q \in \{0, \dots, g\}$ there is an ordinary curve $C \subset \mathbb{P}^r$ with degree d and arithmetic genus q . Let $g'(r, d)$ be the maximal integer g such that for all $q \in \{0, \dots, g\}$ there is an ordinary smooth curve $C \subset \mathbb{P}^r$ with degree d and genus q . For any smooth curve $C \subset \mathbb{P}^r$ let N_C denote the normal bundle of C . Let $C \subset \mathbb{P}^r$ be a smooth and non-degenerate curve such that $h^1(C, N_C(-1)) = 0$. There is an ordinary curve C' near C (and in particular with $\deg(C') = \deg(C)$ and $p_a(C') = p_a(C)$) ([32, Théorème 1.5], [25, §II.3]; see Remark 3.3 for more details). Moreover we may take C' smooth, too. As an obvious corollary we get that for any degree $d \geq r$ a general degree d smooth rational curve of \mathbb{P}^r is ordinary (see Remark 3.3). Let $a(d, r)$ be the maximal integer ≥ 0 such that for all $0 \leq g \leq a(d, r)$ there is a smooth, connected and non-degenerate curve $C \subset \mathbb{P}^r$ with $h^1(C, N_C(-1)) = 0$. It is known that $a(d, r) \geq 2d/(r-2) + o(d)$ if $r \geq 4$ ([6, Théorème 5 (2)]). This bound is asymptotically sharp (Remark 3.4). Upper bounds and lower bounds for the integer $a(d, 3)$ are known and they asymptotically agree, i.e. $a(d, 3) = (\sqrt{8}/3)d^{3/2} + o(d^{3/2})$ ([32] (quoting unpublished results due to Ellingsrud and Hirschowitz), [16, Theorems 4.10 and 5.6], [25, II.3.7], [7]).

As far as we know the best result in \mathbb{P}^3 are the unpublished [34, Theorem 6.1] (which covers all the range A) and [16, Theorem 5.6] (which covers more than half of the range A). Fix integers $d \geq 3$ and $k > 0$ such that $\binom{k+2}{2} \leq d < \binom{k+3}{2}$, i.e. such that $k_0(d, 3) = k$. Fix an integer g . The pair (d, g) is said to be in the range A ([34], eq. (0.1.1), [35], eq. (0.1.1), [16], [7]) if

$$0 \leq g \leq dk + 1 - \binom{k+3}{3}, \quad (1)$$

i.e. if $0 \leq g \leq \pi'(d, 3)$. By [34, Theorem 6.1] or [16, Theorem 5.6] for all (d, g) in the range A there is a smooth and connected curve $C \subset \mathbb{P}^r$ such that $\deg(C) = d$, $p_a(C) = g$ and $h^1(C, N_C(-1)) = 0$. Since such a curve C is ordinary (Remark 3.3), [34, Theorem 6.1] and [16, Theorem 5.6] close the problem of the existence for pairs (degree, genus) for integral (or for smooth) ordinary curves in \mathbb{P}^3 .

We feel that the picture is completely different if $r \geq 4$ and that for each $r \geq 4$ there are large families of integers d, g with $0 \leq g \leq \pi'(d, r)$ and such that there is no integral, non-degenerate and ordinary curve $C \subset \mathbb{P}^r$ with $\deg(C) = d$ and $p_a(C) = g$ (even allowing singular ordinary curves). We do not have explicit examples. Certainly, if g is very small with respect to d , then the pair (d, g) is realized by an ordinary curve of \mathbb{P}^r (see Proposition 3.1 and Theorem 3.7). We raise the following question.

Question 1.1. Fix an integer $r \geq 4$. Is $\pi''(r, d) < \pi'(r, d)$ and $g'(r, d) < \pi'(r, d)$ if $d \gg r$?

If $r < d < (r + 1)r/2$, then $k_0(d, r) = 1$ and hence $\pi'(r, d) = d - r$. The case $d < (r + 1)r/2$ of Theorem 3.7 gives $g'(r, d) = \pi'(r, d)$ if $d < (r + 1)r/2$.

For any integral projective curve $C \subset \mathbb{P}^r$ the index of speciality $e(C)$ of C is the maximal integer e such that $h^1(C, \mathcal{O}_C(e)) > 0$. We have $e(C) < 0$ if and only if $H^1(C, \mathcal{O}_C) = 0$. Since C is integral, we have $e(C) < 0$ if and only if C is a smooth rational curve. We immediately check that $e(C) = -2$ if C is a line, while $e(C) = -1$ if C is a smooth rational curve of degree ≥ 2 . We have $e(C) = 0$ if and only if $p_a(C) > 0$ and $h^1(C, \mathcal{O}_C(1)) = 0$, i.e. the embedding of C is non-special. We recall that C is said to be *arithmetically Gorenstein* if it is arithmetically Cohen-Macaulay ([29, Definition 1.2.2]) and $\omega_C \cong \mathcal{O}_C(e)$ for some integer e (see [29, Proposition 4.1.1] for several equivalent definitions). Every complete intersection is arithmetically Gorenstein. If $r = 3$ a curve is arithmetically Gorenstein if and only if it is a complete intersection ([29, Example 4.1.11 (c)], [30, Example 1.1.28 (b)]). If $r \geq 4$ there are many arithmetically Gorenstein curves which are not complete intersections. There is a complete list of all degree, genera and minimal free resolutions of arithmetically Gorenstein curves in \mathbb{P}^4 , their Hilbert scheme is well understood and we may construct them algorithmically, almost as in the case of complete intersection ([24], [13], [14], [22, Theorem 2.6], [28]). Unfortunately, this class is not helpful for finding ordinary curves. We prove the following result which extends [18, Théorèmes 5, 6], dealing with complete intersections. We say that a curve $C \subset \mathbb{P}^r$ is *linearly normal* if $h^1(\mathcal{I}_C(1)) = 0$, i.e. if the restriction map $\rho_C : H^0(\mathcal{O}_{\mathbb{P}^r}(1)) \rightarrow H^0(C, \mathcal{O}_C(1))$ is surjective. Hence arithmetically Cohen-Macaulay curves are linearly normal. If C is non-degenerate, then C is linearly normal if and only if ρ_C is bijective.

Theorem 1.2. *The only arithmetically Gorenstein integral ordinary curves $C \subset \mathbb{P}^r$ are the plane curves ($r = 2$, any $\deg(C) \geq 2$) and the linearly normal curves $C \subset \mathbb{P}^r$, $r \geq 3$, with $\deg(C) = r + 1$ and $p_a(C) > 0$. The latter curves have $p_a(C) = 1$ and $\omega_C \cong \mathcal{O}_C$ (hence $k_0(\deg(C), r) = 1$).*

If $r \geq 4$ the curves are not a complete intersection, because if $r \geq 4$ then $r + 1$ is not the product of $r - 1$ integers ≥ 2 . See Example 2.1 for a description of all curves appearing in the statement of Theorem 1.2 when $r \geq 3$.

As remarked in [12, page 200] this concept raises several interesting questions in commutative algebra and projective geometry (sometimes solved for very different motivations).

- (a) List all pairs (d, g) such that there is an ordinary integral curve $C \subset \mathbb{P}^4$ with degree d and arithmetic genus g . The same question for smooth curves.

- (b) List all pairs $(d, g) \in \mathbb{N}^2$ such that there is an ordinary arithmetically Cohen-Macaulay curve (or an ordinary, smooth and arithmetically Cohen-Macaulay curve) $C \subset \mathbb{P}^4$ with degree d and genus g .
- (c) Fix an ordinary curve $C \subset \mathbb{P}^r$, $r \geq 3$. Let $\mathbb{P}^{r\vee}$ denote the set of all hyperplanes of \mathbb{P}^r . Set $d := \deg(C)$ and $k := k_0(d, r)$. Let $\mathcal{B}(C)$ be the set of all $H \in \mathbb{P}^{r\vee}$ such that either $h^0(H, \mathcal{I}_{C \cap H}(k)) > 0$ or $h^1(\mathcal{I}_{C \cap H}(k+1)) > 0$. This is the set of all bad hyperplanes for C and, at least if C is smooth, it should be the exceptional set S of the web associated to C .

Concerning (b) we point out that [18, Théorème 4] gives all possible ordinary arithmetically Cohen-Macaulay space curves. Let $C \subset \mathbb{P}^r$, $r \geq 3$, be any ordinary arithmetically Cohen-Macaulay curve. Set $d := \deg(C)$ and $k := k_0(d, r)$. The Castelnuovo-Mumford lemma implies that the minimal free resolution \mathbb{E} of \mathcal{I}_C is very similar to the one listed in [18, Théorème 4]. There are non-negative integers a_i, b_i , $1 \leq i \leq r$, with the following property. \mathbb{E} starts on the right with $\mathcal{O}_{\mathbb{P}^r}(k)^{a_1} \oplus \mathcal{O}_{\mathbb{P}^r}(k+1)^{b_1}$ and then it continues with only two degrees in each step, say $\mathcal{O}_{\mathbb{P}^r}(k+i-1)^{a_i} \oplus \mathcal{O}_{\mathbb{P}^r}(k+i)^{b_i}$ after $i-1$ steps. It seems to be very difficult to show that some string of integers a_i, b_i , $1 \leq i \leq r$, is realized by some curve C .

Concerning (c) we point out that all the hyperplane sections of integral arithmetically Cohen-Macaulay curves have the same postulation ([29, Corollary 1.3.5]). Hence one of them has maximal rank if and only if all hyperplane sections have maximal rank.

We work over an algebraically closed base field \mathbb{K} . In section 3 we assume $\text{char}(\mathbb{K}) = 0$.

2. Proof of Theorem 1.2

Let $C \subset \mathbb{P}^r$, $r \geq 3$, be an integral and non-degenerate curve of degree $r+1$. If $p_a(C) = 0$, then C is a non-linearly normal smooth rational curve ([8, 4.7 (B)]). The case $p_a(C) > 0$ is described in [8, 4.7 (B)] (it has $p_a(C) = 1$), but we recall it as Example 2.1, because these curves are the ones arising in Theorem 1.2.

Example 2.1. Let Y be any integral projective curve with arithmetic genus 1. Equivalently, take as Y either a smooth elliptic curve or a singular rational curve with an ordinary node or an ordinary cusp as its only singularity. Since $p_a(C) = 1$, we have $h^0(Y, \omega_Y) = 1$. Since $\deg(\omega_Y) = 0$ and Y is integral, we get $\omega_Y \cong \mathcal{O}_Y$. Let L be any line bundle on Y such that $\deg(L) = r+1$. Since $\deg(L) > \deg(\omega_Y)$, we have $h^1(Y, L) = 0$. Since $\deg(L) = r+1$ and $p_a(C) = 1$, Riemann-Roch for singular curves gives $h^0(Y, L) = r+1$ ([31, page 130], [16, Definition 1.3], [21, Theorem 1.3]). For any degree 2 zero-dimensional scheme $Z \subset Y$, we

have $h^1(Y, \mathcal{I}_Z \otimes L) = 0$, because $\deg(\mathcal{I}_Z \otimes L) = r - 1 > \deg(\omega_Y)$. Hence L is very ample. Let $u : Y \rightarrow \mathbb{P}^r$ be the embedding induced by $H^0(Y, L)$. The curve $u(Y)$ is an integral, non-degenerate and linearly normal curve with arithmetic genus 1. Take another pair (Y', L') as above and call $u' : Y' \rightarrow \mathbb{P}^r$ the embedding associated to $H^0(Y', L')$. Since $u(Y)$ and $u'(Y')$ are linearly normal, they are projectively equivalent if and only if there is an isomorphism $f : Y' \rightarrow Y$ such that $L' \cong f^*(L)$. Now take any integral and non-degenerate curve $C \subset \mathbb{P}^r$ such that $\deg(C) = r + 1$ and $p_a(C) > 0$. Since $p_a(C) = 1$ and C is linearly normal ([8, 4.7 (B)]), we are in the case just described with $Y := C$ and $L := \mathcal{O}_C(1)$.

For the classification of non-degenerate varieties $X \subset \mathbb{P}^r$ with $\deg(X) + \dim(X) = r + 2$, see [24], [9], [10], [11]. For the classification of all curves $C \subset \mathbb{P}^r$ with $\deg(C) = r + 2$, see [8].

For any integral and non-degenerate curve $C \subset \mathbb{P}^r$ let $a(C)$ be the maximal integer t such that $h^1(H, \mathcal{I}_{C \cap H}(t)) > 0$ for a general hyperplane $H \subset \mathbb{P}^r$.

Lemma 2.2. *Let $C \subset \mathbb{P}^r$, $r \geq 3$, be an integral, non-degenerate and arithmetically Cohen-Macaulay curve. Let $H \subset \mathbb{P}^r$ be a general hyperplane. We have $e(C) = a(C) - 1$ and $h^1(C, \mathcal{O}_C(e(C))) = h^1(H, \mathcal{I}_{C \cap H}(a(C)))$.*

Proof. Since $\dim(C) = 1$ and $r \geq 3$, the exact sequence

$$0 \rightarrow \mathcal{I}_C(t) \rightarrow \mathcal{O}_{\mathbb{P}^r}(t) \rightarrow \mathcal{O}_C(t) \rightarrow 0$$

gives $h^2(\mathcal{I}_C(t)) = h^1(C, \mathcal{O}_C(t))$ for all $t \in \mathbb{Z}$ (case $r \geq 4$) or for all $t \geq -3$ (case $r = 3$). Look at the exact sequence

$$0 \rightarrow \mathcal{I}_C(t-1) \rightarrow \mathcal{I}_C(t) \rightarrow \mathcal{I}_{C \cap H, H}(t) \rightarrow 0 \tag{2}$$

Since $h^1(\mathcal{I}_C(t)) = 0$, (2) gives the exact sequence

$$0 \rightarrow H^1(H, \mathcal{I}_{C \cap H, H}(t)) \rightarrow H^2(\mathcal{I}_C(t-1)) \rightarrow H^2(\mathcal{I}_C(t))$$

Since $h^1(C, \mathcal{O}_C(e(C))) > 0$ and $h^1(C, \mathcal{O}_C(e(C) + 1)) = 0$, we get $e(C) = a(C) - 1$ and $h^1(C, \mathcal{O}_C(e(C))) = h^1(H, \mathcal{I}_{C \cap H}(a(C)))$. \square

Lemma 2.3. *Let $C \subset \mathbb{P}^r$ be an integral and non-degenerate ordinary curve. Set $d := \deg(C)$ and $k := k_0(d, r)$. We have $\binom{r+k-1}{r-1} \leq d < \binom{r+k}{r-1}$. If $d = \binom{r+k-1}{r-1}$, then $a(C) = k - 1$. If $d \neq \binom{r+k-1}{r-1}$, then $a(C) = k$.*

Proof. The Castelnuovo-Mumford lemma applied to the set $C \cap H \subset H$ gives $a(C) \leq k$ and that strict inequality holds if $d = \binom{r+k-1}{r-1}$. Since $h^1(H, \mathcal{I}_{H \cap C}(k)) = d - \binom{r+k-1}{r-1}$ and $h^1(H, \mathcal{I}_{H \cap C}(k-1)) = d - \binom{r+k-2}{r-1} > 0$, we get the lemma. \square

Proof of Theorem 1.2. Since the case $r = 2$ is obvious, we may assume $r \geq 3$. Let $A \subset \mathbb{P}^r$ be an integral, non-degenerate curve of degree $r + 1$. We have $k_0(r + 1, r) = 1$. Assume that A is linearly normal. Hence $p_a(A) = 1$ and $\omega_A \cong \mathcal{O}_A$ (Example 2.1). Fix any hyperplane $H \subset \mathbb{P}^r$ transversal to A . Fix any set $B \subset Y \cap H$ with $\sharp(B) = r$. Since $\omega_A \cong \mathcal{O}_A$ and $\deg(\mathcal{O}_A(1)(-B)) = r + 1 - r > \deg(\omega_A)$, we have $h^1(A, \mathcal{O}_A(1)(-B)) = 0$. Since $p_a(A) = 1$, Riemann-Roch for singular curves gives $h^0(A, \mathcal{O}_A(1)(-B)) = \deg(\mathcal{O}_A(1)(-B)) = 1$ ([31, page 130], [16, Definition 1.3], [21, Theorem 1.3]). Hence H is the unique hyperplane containing B , i.e. any $B \subset Y \cap H$ with $\sharp(B) = r$ spans H . Hence $h^1(H, \mathcal{I}_{A \cap H}(2)) = 0$ ([19, Lemma 3.2]). Since A is integral, $h^0(A, \mathcal{O}_A) = 1$. Hence $h^1(\mathcal{I}_A) = 0$. Hence the case $t = 1$ of (2) gives $h^0(H, \mathcal{I}_{A \cap H}(1)) = 0$. Hence A is ordinary. Since A is linearly normal, we have $h^1(\mathcal{I}_A(1)) = 0$. Since $h^1(H, \mathcal{I}_{A \cap H}(t)) = 0$ for all $t \geq 2$ and $h^2(\mathcal{I}_C(t - 1)) = h^0(C, \mathcal{O}_C(t - 1)) = 0$ for all $t \geq 2$, using (2) and induction on t we get that A is arithmetically Cohen-Macaulay. Hence we checked the “if part”.

Now we prove the “only if” part. Let $C \subset \mathbb{P}^r$, $r \geq 3$, be an integral, non-degenerate, ordinary and arithmetically Gorenstein curve. Since C is ordinary and arithmetically Cohen-Macaulay, then $p_a(C) = \pi'(d, r)$ ([18, Theorem 1]). Since $\mathcal{O}_C(e(C)) \cong \omega_C$ and $h^1(C, \omega_C) = 1$, we have $h^1(H, \mathcal{I}_{C \cap H}(a(C))) = 1$ (Lemma 2.2). Set $d := \deg(C)$ and $k := k_0(d, r)$. We have $\binom{r+k-1}{r-1} \leq d < \binom{r+k}{r-1}$. First assume $d = \binom{r+k-1}{r-1}$. Lemma 2.3 gives $a(C) = k - 1$. We have $h^1(H, \mathcal{I}_{C \cap H}(k - 1)) = d - \binom{r+k-2}{r-1} = \binom{r+k-2}{r-2}$. Since $r \geq 3$ and $k \geq 1$, we have $\binom{r+k-2}{r-2} \geq 2$, contradicting Lemma 2.2. Now assume $d \neq \binom{r+k-1}{r-1}$. Lemma 2.3 gives $a(C) = k$. Since $h^0(H, \mathcal{I}_{C \cap H}(k)) = 0$, we have $h^1(H, \mathcal{I}_{C \cap H}(k)) = d - \binom{r+k-1}{r-1}$. Lemma 2.2 gives $d = \binom{r+k-1}{r-1} + 1$ and $e(C) = k - 1$. Hence $\omega_C \cong \mathcal{O}_C(k - 1)$. Hence $\deg(\omega_C) = (k - 1)d$. Since $\deg(\omega_C) = 2p_a(C) - 2 = 2\pi'(d, r) - 2$ and $\pi'(d, r) = 1 + dk - \binom{r+k}{r}$, we get

$$(k + 1)\left(1 + \binom{r+k-1}{r-1}\right) = 2\binom{r+k}{r} \quad (3)$$

Hence $(k + 1)\binom{r+k-1}{r-1} < 2\binom{r+k}{r}$, i.e. $(k + 1)r < 2(r + k)$. Since $r \geq 3$, we get that either $k = 1$ or $k = 2$ and $r \leq 5$. If $k = 1$, then $d = r + 1$. We analyzed this case. Now assume $k = 2$. From (3) we get $3 + 3(r + 1)r/2 = (r + 2)(r + 1)$, false if $r = 3, 4, 5$. \square

3. Low genera

In this section we assume $\text{char}(\mathbb{K}) = 0$, except in Proposition 3.2.

The following result was classically known with another language.

Proposition 3.1. *Let $C \subset \mathbb{P}^r$ be any integral and non-degenerate curve such that $\deg(C) \leq 2r - 1$. Then C is ordinary.*

Proof. Set $d := \deg(C)$. If $d = r$, then C is a rational normal curve. Each rational normal curve C is ordinary, because any transversal hyperplane section $H \cap C$ of C is given by r points spanning H . Hence we may assume $d > r$. We have $k_0(d, r) = 1$. Let $H \subset \mathbb{P}^r$ be a general hyperplane section. We recall that a finite set $S \subset H$ is said to be in linearly general position if every $E \subseteq S$ with $\sharp(E) \leq r - 1$ is linearly independent. Since $\text{char}(\mathbb{K}) = 0$, the set $C \cap H$ is in linearly general position ([2, page 109]). Since $d \leq 2(r - 1) + 1$, we have $h^1(H, \mathcal{I}_{C \cap H}(2)) = 0$ ([19, Lemma 3.2]). \square

Proposition 3.1 is sharp, because no canonically embedded curve $C \subset \mathbb{P}^{g-1}$, $g \geq 4$ (it has $p_a(C) = g - 1$ and degree $2g - 2$) is ordinary by the following result (alternatively, either apply Theorem 1.2 and a theorem of Max Noether for Gorenstein curves ([28]) or use that $k_0(2r, r) = 1$ and hence $\pi'(g - 1, 2g - 2) = g - 1$).

Proposition 3.2. *Let $C \subset \mathbb{P}^r$, $r \geq 3$, be an integral and non-degenerate curve such that $d := \deg(C) < (r + 1)r/2$ and $h^1(C, \mathcal{O}_C(1)) > 0$. Then C is not ordinary.*

Proof. Let $H \subset \mathbb{P}^r$ be a general hyperplane. Since $d = \sharp(C \cap H) < (r + 1)r/2$, we have $h^0(H, \mathcal{I}_{C \cap H}(2)) > 0$. Hence it is sufficient to prove the inequality $h^1(H, \mathcal{I}_{C \cap H}(2)) > 0$. By (2) it is sufficient to prove that $h^2(\mathcal{I}_C(1)) > h^2(\mathcal{I}_C(2))$, i.e. $h^1(C, \mathcal{O}_C(1)) > h^1(C, \mathcal{O}_C(2))$, i.e. $h^0(C, \omega_C(-1)) > h^0(C, \omega_C(-2))$ (duality for the locally Cohen-Macaulay one-dimensional scheme C [1, 1.3, pages 5-6]). The last inequality is true, because $h^0(C, \omega_C(-1)) > 0$ and $\mathcal{O}_C(1)$ is very ample (e.g., we have either $h^0(C, \omega_C(-2)) = 0$ or $h^0(C, \omega_C(-1)) \geq h^0(C, \omega_C(-2)) + r$ by a lemma of Hopf ([15, page 544])). \square

Remark 3.3. Let $C \subset \mathbb{P}^r$, $r \geq 3$, be an integral and non-degenerate curve. Set $d := \deg(C)$. Assume $h^1(C, N_C(-1)) = 0$, i.e. $h^0(C, N_C^\vee(1) \otimes \omega_C) = 0$ (duality). Hence $h^0(C, N_C^\vee \otimes \omega_C) = 0$, i.e. $h^1(C, N_C) = 0$. Since $h^1(C, N_C) = 0$, then C is a smooth point of the Hilbert scheme $\text{Hilb}(\mathbb{P}^r)$ of \mathbb{P}^r , i.e. C belongs to a unique irreducible component, \mathcal{S} , of the Hilbert scheme $\text{Hilb}(\mathbb{P}^r)$ of \mathbb{P}^r , $\dim(\mathcal{S}) = h^0(C, N_C)$ and \mathcal{S} is smooth at C ([30, page 64]). Now we check that a general $D \in \mathcal{S}$ is ordinary. Let $H \subset \mathbb{P}^r$ be any hyperplane transversal to C . The set \mathcal{V} of all sets $S \subset H$ with $\sharp(S) = d$ is a non-empty irreducible variety of dimension $d(r - 1)$. Since \mathcal{V} is irreducible, each non-empty open subset of \mathcal{V} is dense in \mathcal{V} . Hence the intersection of finitely many non-empty open subsets of \mathcal{V} is non-empty and dense in \mathcal{V} . The semicontinuity theorem for cohomology ([20, Theorem III.12.8]) gives the existence of a non-empty open subset \mathcal{U} of \mathcal{V}

such that every $S \in \mathcal{U}$ has maximal rank in H . Let $S' \subset S$ be any open neighborhood of C formed by curves $D \in \mathcal{S}$ transversal to H . Hence $H \cap D$ is formed by d distinct points for all $D \in \mathcal{S}'$. Let $u : S' \rightarrow \mathcal{V}$ be the map $D \mapsto D \cap H$. Since $h^1(C, N_C(-1)) = 0$, the map u is dominant ([32, Théorème 1.5]). Hence for a general $S \subset H$ such that $\sharp(S) = d$ there is $D \in \mathcal{S}'$ such that $D \cap H = S$. Since S is general, for each $t \in \mathbb{Z}$ either $h^0(H, \mathcal{I}_S(t)) = 0$ or $h^1(H, \mathcal{I}_S(t)) = 0$. Hence D is ordinary.

Remark 3.4. Let $C \subset \mathbb{P}^r$, $r \geq 4$, be a smooth and non-degenerate curve of genus g and degree d . We have $\chi(N_C(-1)) = 2d - (r-3)(g-1)$ (Riemann-Roch). Hence $g-1 \leq 2d/(r-3)$ if $h^1(C, N_C(-1)) = 0$. For a fixed integer $r \geq 4$ when $d \gg 0$ $2d/(r-3)$ is linear in d , while $\pi'(r, d) \sim d^2/(2r-2)$ is quadratic in d . Hence for each fixed integer $r \geq 4$ we may have $h^1(C, N_C(-1)) = 0$ only for a quite small set of pairs (d, g) . For fixed r the paper [6] asymptotically covers this small range. If $g = 0$, then $h^1(C, N_C(-1)) = 0$ for the following reason. The Euler's sequence of $T\mathbb{P}^r$ ([20, Theorem II.8.13]):

$$0 \rightarrow \mathcal{O}_{\mathbb{P}^r} \rightarrow \mathcal{O}_{\mathbb{P}^r}(1)^{\oplus(r+1)} \rightarrow T\mathbb{P}^r \rightarrow 0$$

gives that $T\mathbb{P}^r(-1)|_C$ is spanned by its global sections. Since C is smooth, $N_C(-1)$ is a quotient of $T\mathbb{P}^r(-1)|_C$. Hence $N_C(-1)$ is spanned by its global sections. Hence there is an exact sequence of coherent sheaves on C :

$$0 \rightarrow \mathcal{F} \rightarrow \mathcal{O}_C^m \rightarrow N_C(-1) \rightarrow 0$$

Since C is smooth and rational, we have $h^1(C, \mathcal{O}_C) = 0$. Since $\dim(C) = 1$, we have $h^2(C, \mathcal{F}) = 0$. Hence $h^1(C, N_C(-1)) = 0$.

Lemma 3.5. *Let X be an integral projective variety with $\dim(X) > 0$ and let \mathcal{L} be a line bundle on X .*

(a) *Assume $h^0(X, \mathcal{L}) = 0$. Then $h^0(X, \mathcal{I}_P \otimes \mathcal{L}) = 0$ and $h^0(X, \mathcal{I}_P \otimes \mathcal{L}) = h^1(X, \mathcal{L}) + 1$ for all $P \in X$.*

(b) *Assume $h^0(X, \mathcal{L}) > 0$. Let $W \subseteq H^0(X, \mathcal{L})$ be any non-zero linear subspace. A general $P \in X$ is not in the base locus of W . Fix $P \in X$ which is not in the base locus of W . Then:*

1. $\dim(W \cap H^0(X, \mathcal{I}_P \otimes \mathcal{L})) = \dim(W) - 1$.
2. $h^0(X, \mathcal{I}_P \otimes \mathcal{L}) = h^0(X, \mathcal{L}) - 1$ and $h^1(X, \mathcal{I}_P \otimes \mathcal{L}) = h^1(X, \mathcal{L})$.

Proof. Fix any $Q \in X$. Since $\dim(\{Q\}) = 0$ and \mathcal{L} is a line bundle, we have $h^i(X, \mathcal{L}|_{\{Q\}}) = 0$ for all $i > 0$ and $h^0(X, \mathcal{L}|_{\{Q\}}) = 1$. Hence the exact sequence

$$0 \rightarrow \mathcal{I}_Q \otimes \mathcal{L} \rightarrow \mathcal{L} \rightarrow \mathcal{L}|_{\{Q\}} \rightarrow 0$$

shows that either $h^0(X, \mathcal{I}_Q \otimes \mathcal{L}) = h^0(X, \mathcal{L})$ and $h^1(X, \mathcal{I}_Q \otimes \mathcal{L}) = h^1(X, \mathcal{L}) + 1$ or $h^0(X, \mathcal{I}_Q \otimes \mathcal{L}) = h^0(X, \mathcal{L}) - 1$ and $h^1(X, \mathcal{I}_Q \otimes \mathcal{L}) = h^1(X, \mathcal{L})$. Moreover, the first case occurs if and only if Q is a base point of \mathcal{L} . We get part (a) and the second half of part (b). We have $\dim(W \cap H^0(X, \mathcal{I}_Q \otimes \mathcal{L})) = \dim(W) - 1$ if and only if Q is not in the base locus of $|W|$. Since $W \neq 0$, a general $P \in X$ is not in the base locus of $|W|$. Hence we get the first half of part (b). \square

Lemma 3.6. *Let $S \subset \mathbb{P}^m$, $m \geq 2$, be a finite set such that for each $t \in \mathbb{Z}$ either $h^0(\mathcal{I}_S(t)) = 0$ or $h^1(\mathcal{I}_S(t)) = 0$. Fix an integer $x > 0$. Let $A \subset \mathbb{P}^m$ be a general subset with cardinality x . Then for each $t \in \mathbb{Z}$ either $h^0(\mathcal{I}_{S \cup A}(t)) = 0$ or $h^1(\mathcal{I}_{S \cup A}(t)) = 0$.*

Proof. By induction on x we reduce to the case $x = 1$. Since $h^1(\mathcal{I}_{S \cup A}(t)) = 0$ for each $t \geq \sharp(S \cup A)$, it is sufficient to check the condition for finitely many line bundles $\mathcal{O}_{\mathbb{P}^r}(t)$. Apply Lemma 3.5. \square

Theorem 3.7. *Fix integers d, r, g such that $r \geq 3$, $g \geq 0$ and $d \geq g + r$. Let $C \subset \mathbb{P}^r$ be a general smooth curve $C \subset \mathbb{P}^r$ such that $\deg(C) = d$, $p_a(C) = g$, C is non-degenerate and $h^1(C, \mathcal{O}_C(1)) = 0$. Then C is ordinary.*

In the previous statement the word “general” makes sense, because the set $Z(d, g, r)$ of all non-degenerate smooth and non-special curves in \mathbb{P}^r with degree d and genus g is irreducible ([19, page 62]).

Proof of Theorem 3.7. By Proposition 3.1 we may assume $d \geq 2r$. Set $k := k_0(d, r)$ and $\alpha := d - g - r$. Fix a hyperplane $H \subset \mathbb{P}^r$. Let $Z'(d, g, r)$ be the closure of $Z(d, g, r)$ in the Hilbert scheme of \mathbb{P}^r . Set $x := \lfloor r/2 \rfloor$. Assume for the moment $x \leq g$. Fix a rational normal curve $D \subset \mathbb{P}^r$ transversal to H . Let $W(r, 0)$ be the set of all curves $D \cup L_1 \cup \dots \cup L_x$ with L_i defined in the following way. Let L_1 be a secant line of D and with $D \cup L_1$ transversal to H . For each $i \in \{2, \dots, x\}$ define recursively the line L_i as any line meeting both D and L_{i-1} and such that $D \cup L_1 \cup \dots \cup L_i$ is a nodal curve of degree $r + i$ and arithmetic genus i transversal to H . Let $W(r, t)$ be the set of all nodal curves $Y = Y_1 \cup R_1 \cup \dots \cup R_t$ of degree $r + x + t$ and arithmetic genus $x + t$ with $Y_1 \in W(r, 0)$, each R_j a line intersecting D and intersecting Y_1 quasi-transversally and at exactly two points and with Y transversal to H , while $R_j \cap R_h = \emptyset$ for all $j \neq h$. Hence each curve of $W(r, t)$ is nodal, connected, with arithmetic genus $x + t$ and degree $r + x + t$. We have $W(r, t) \subset Z'(r + x + t, x + t, r)$ ([33], [23, Corollary 4.2 and Remark 4.1.1]). By [5, Lemma 1.4], applied to the integers k and $k + 1$ for any integer $t \geq 0$ there are $A_t \in W(r, t)$ and $B_t \in W(r, t)$ intersecting H transversally and with $h^0(H, \mathcal{I}_{A_t \cap H}(k)) = \max\{0, \binom{r+k-1}{r-1} - \deg(A_t)\}$, $h^0(H, \mathcal{I}_{B_t \cap H}(k + 1)) = \max\{0, \binom{r+k}{r-1} - \deg(B_t)\}$. Let A (resp. B) be the union of A_{g-x} (resp. B_{g-x})

and α general lines of \mathbb{P}^r meeting D at one point. We have $A \in Z'(d, g, r)$ and $B \in Z'(d, g, r)$ ([33], [23, Corollary 4.2 and Remark 4.1.1], [5, Lemma 0.2]). Since $A \cap H$ is the union of $A_{g-x} \cap H$ and α general points of H and $d = \deg(A) \geq \binom{r+k-1}{r-1}$, we have $h^0(H, \mathcal{I}_{A \cap H}(k)) = 0$ (Lemma 3.6). By the semicontinuity theorem for cohomology ([20, Theorem III.12.8]) we get $h^0(H, \mathcal{I}_{C \cap H}(k)) = 0$ for a general $C \in Z(d, g, r)$. Since $B \cap H$ is the union of $B_{g-x} \cap H$ and α general points of H and $d < \binom{r+k-1}{k-1}$, we have $h^1(H, \mathcal{I}_{B \cap H}(k+1)) = 0$ (Lemma 3.6). By the semicontinuity theorem for cohomology we get $h^1(H, \mathcal{I}_{C \cap H}(k+1)) = 0$ for a general $C \in Z(d, g, r)$. Since $Z(d, g, r)$ is irreducible, we get that a general $C \in Z(d, g, r)$ is ordinary.

Now assume $x > g$. Take a general $E \in Z(g+r, g, r)$ and a general hyperplane $H \subset \mathbb{P}^r$. Proposition 3.1 gives $h^0(H, \mathcal{I}_{E \cap H}(1)) = 0$ and $h^1(H, \mathcal{I}_{E \cap H}(t)) = 0$ for all $t \geq 2$. Let $F \subset \mathbb{P}^r$ be a general union of E and α lines meeting E at a unique point. We saw that $F \in Z'(d, g, r)$. Since $F \cap H$ is a union of $E \cap H$ and α general points of H , Lemma 3.5 gives $h^0(H, \mathcal{I}_{F \cap H}(k)) = 0$ and $h^1(H, \mathcal{I}_{F \cap H}(k+1)) = 0$. By the semicontinuity theorem for cohomology we have $h^0(H, \mathcal{I}_{C \cap H}(k)) = 0$ and $h^1(H, \mathcal{I}_{C \cap H}(k+1)) = 0$ for a general $C \in Z(d, g, r)$. \square

Proposition 3.8. *Fix integers r, g such that $r \geq 3$ and $0 \leq g \leq r(r-1)/2$. There is a smooth and non-degenerate ordinary curve $C \subset \mathbb{P}^r$ such that $p_a(C) = g$, $\deg(C) = g+r$, $h^1(C, \mathcal{O}_C(1)) = 0$ and $\mathcal{B}(C) = \emptyset$.*

Proof. We have $k_0(g+r, r) = 1$ if $g < r(r-1)/2$ and $k_0(r(r+1)/2, r) = 2$. There is a smooth and linearly normal curve $C \subset \mathbb{P}^r$ such that $\deg(C) = g+r$, $p_a(C) = g$, $h^1(C, \mathcal{O}_C(1)) = 0$ and with maximal rank, i.e. for all $t \in \mathbb{N}$ either $h^0(\mathcal{I}_C(t)) = 0$ or $h^1(\mathcal{I}_C(t)) = 0$ ([3] if $r = 4$, [4] if $r = 3$, [5] for all $r \geq 5$). Fix any hyperplane $H \subset \mathbb{P}^r$. Riemann-Roch gives $h^0(C, \mathcal{O}_C(2)) = 2d+1-g \leq \binom{r+2}{2}$ by hypothesis. Hence $h^1(\mathcal{I}_C(2)) = 0$. Since $h^1(C, \mathcal{O}_C(1)) = 0$, the Castelnuovo-Mumford lemma gives $h^1(\mathcal{I}_C(t)) = 0$ for all $t \geq 3$. Since $\deg(C) = g+r$ and $h^1(C, \mathcal{O}_C(1)) = 0$, Riemann-Roch gives $h^0(C, \mathcal{O}_C(1)) = r+1$. Since C is non-degenerate, we get $h^1(\mathcal{I}_C(1)) = 0$. Since C is connected, we have $h^1(\mathcal{I}_C) = 0$. Hence C is arithmetically Cohen-Macaulay. Since $h^1(\mathcal{I}_C(t)) = 0$ for all $t \geq 0$, (1) gives $h^1(H, \mathcal{I}_{C \cap H}(t)) = 0$ for all $t \geq 1$. Hence C is ordinary with $\mathcal{B}(C) = \emptyset$ if $g \neq r(r+1)/2$. If $g = r(r+1)/2$ we may use that $h^0(H, \mathcal{I}_{C \cap H}(2)) = 0 = h^1(H, \mathcal{I}_{C \cap H}(2))$ and hence $h^1(H, \mathcal{I}_{C \cap H}(3)) = 0$ by the Castelnuovo-Mumford lemma. \square

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EDOARDO BALLICO

Department of Mathematics

University of Trento

e-mail: ballico@science.unitn.it