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NOTES ON SOLVABILITY OF CURVES ON SURFACES

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In this article, we study subloci of solvable curves in \mathcal{M}_g which are contained in either a K3-surface or a quadric or a cubic surface. We give a bound on the dimension of such subloci. In the case of complete intersection genus *g* curves in a cubic surface, we show that a general such curve is solvable.

1. Introduction

We consider the following classical question about solvability, which Enriques stated as unsolved in 1897 during the Congress of Mathematicians in Zurich.

Problem 1.1. Given a complex curve *C*, we denote by $K(\overline{C})$ the Galois closure of K(C). Is there a curve *D* and a covering $\pi: C \to D$ such that the field extension $K(D) \hookrightarrow \widetilde{K(C)}$ is solvable?

When considering this problem, we restrict ourselves to considering the case $D = \mathbb{P}^1$. Given a covering $\pi \colon C \twoheadrightarrow \mathbb{P}^1$, we can consider the Galois group of the splitting field of the extension $K(C) \colon \mathbb{C}(x)$, where K(C) is the function field of the curve and $\mathbb{C}(x)$ is the rational function field over \mathbb{C} . In particular, this Galois group is the monodromy group of the covering $M(\pi)$ (see [Har79, Proposition pp. 189]).

For high genera we have the fundamental Zariski's Theorem (see [Zar26]).

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Theorem 1.2 (Zariski's Theorem). Let C be a very general smooth complex projective curve of genus $g \ge 7$, then for every $\pi : C \to \mathbb{P}^1$ surjective of degree d > 0, the monodromy group M(f) is not solvable.

If we consider lower genera $g \le 6$, then $gon(C) \le \lfloor \frac{g+3}{2} \rfloor \le 4$, hence there exists a covering $\pi: C \to \mathbb{P}^1$ of degree 4 and the monodromy group is a subgroup of S_4 , thus it is solvable. By Zariski's Theorem we have that if $g \ge 7$, then the sublocus $\mathcal{M}_{g,sol}$ defined by the solvable curves in the moduli space \mathcal{M}_g , is different from the whole space. We are interested into studying this sublocus.

In Section 4 we apply Zariski's argument to estimate the codimension of $\mathcal{M}_{g,\text{sol}} \subseteq \mathcal{M}_g$. Since a general covering factors as a primitive covering $C \to \mathbb{P}^1$ and another covering $\mathbb{P}^1 \to \mathbb{P}^1$, we reduce ourselves to the study of primitive solvable covering, which we denote by PS–covering or PS–curves.

In Section 5 we focus on curves lying on a *K*3 surface and we prove that a general element is not a PS–curve. We denote as $\overline{\mathcal{M}_{g,\text{sol}}^{K3}} \setminus \overline{\mathcal{M}_{g,4}}$ (closure taken in \mathcal{M}_g) the sublocus of \mathcal{M}_g parametrizing PS–curves lying on a *K*3 surface which are not four–gonal curves. Applying the Zariski's argument, we give an estimate on its codimension:

Theorem 1.3 (See Theorem 5.8). For $g \ge 7$, a general element of \mathcal{M}_g^{K3} is not a *PS*-curve. Furthermore, for a maximal dimensional irreducible component L of $\overline{\mathcal{M}_{g,s0}^{K3}} \setminus \mathcal{M}_{g,4}$ (closure taken in \mathcal{M}_g), we have

- 1. if $7 \le g \le 9$ and g = 11, the codimension of L in \mathcal{M}_g^{K3} is at least 7;
- 2. if g = 10 then the codimension of L in \mathcal{M}_{g}^{K3} is at least 12;
- 3. if g = 12 then the codimension of L in \mathcal{M}_{g}^{K3} is at least 14;
- 4. *if* g = 11 or $g \ge 13$ then the codimension of L in \mathcal{M}_{g}^{K3} is at least 15.

In the last section we apply Zariski's argument to study the subloci of complete intersection, primitive, solvable curves lying on cubic and quadric surfaces. Denote by g(a,b) the genus of a complete intersection curve in \mathbb{P}^3 obtained by the intersection of two general surfaces of degree *a* and *b*, then it holds ([Har13, Remark IV.6.4.1]):

$$g(a,b) = \frac{1}{2}ab(a+b-4)+1.$$

Denote by $\mathcal{M}_{g(a,b)}^{a}$ the subloci in $\mathcal{M}_{g(a,b)}$ of genus g(a,b) curves contained in a degree *a* hypersurface in \mathbb{P}^{3} . Denote by $\mathcal{M}_{g(a,b),sol}^{a}$ the sublocus of $\mathcal{M}_{g(a,b)}^{a}$ parametrizing PS–curves.

Theorem 1.4 (See Theorem 6.6). *The codimension* c(a,b) *of an irreducible component of* $\mathcal{M}^{a}_{g(a,b),sol}$ *in* $\mathcal{M}^{a}_{g(a,b)}$ *satisfies the following:*

- 1. If $a = 2, b \ge 5$ then c(a, b) > 0,
- 2. If $a = 3, 3 \le b \le 5$ then c(a, b) > 0,
- 3. If $a = 2, 2 \le b \le 4$ then c(a, b) = 0.

2. Notation and preliminaries

We work on the complex field $k = \mathbb{C}$, all curves are considered to be complex smooth projective curves.

We say that a property \mathcal{P} is *very general* if it holds in $\mathcal{M}_g \setminus \bigcup_{n \in \mathbb{N}} Z_n$, where Z_n is a proper closed Zariski subset of \mathcal{M}_g for any *n*. We call a curve very general if there exists $\{Z_n\}_{n \in \mathbb{N}}$ as above such that $[C] \notin \bigcup_{n \in \mathbb{N}} Z_n$.

Given a curve C, the gonality of C is defined as

$$gon(C) := \min\{d \in \mathbb{Z}_{>0} : \exists \pi \colon C \to \mathbb{P}^1 \text{ s.t. } deg(\pi) = d\}$$
$$= \min\{d \colon \exists g_d^1 \text{ over } C\}.$$

The notion of gonality gives a measure on how far is the curve from being rational. We denote as $\mathcal{M}_{g,k}$ the subspace defined by the curves *C* such that $gon(C) \leq k$. The locus $\mathcal{M}_{g,k}$ is known to be an irreducible subvariety of dimension 2g + 2k - 5 if $k \leq \frac{g+2}{2}$, and if $k \geq \lfloor \frac{g+3}{2} \rfloor$, then $\mathcal{M}_{g,k} = \mathcal{M}_g$. Thus $\lfloor \frac{g+3}{2} \rfloor$ is called the *general gonality*.

We define *k*-gonal locus as $X_k := \mathcal{M}_{g,k} \setminus \mathcal{M}_{g,k-1}$, X_k is a quasi-projective subvariety of \mathcal{M}_g whose points correspond to *k*-gonal curves. We have that

$$\dim(X_k) = \min\{2g - 5 + 2k, 3g - 3\}$$

for $k \leq \frac{g+2}{2}$, otherwise $\mathcal{M}_{g,k} = \mathcal{M}_g$ and dim $(X_k) = 0$.

The gonality gives a stratification of the moduli spaces of curve M_g for $g \ge 3$:

$$\mathcal{M}_{g,2} \subseteq \mathcal{M}_{g,3} \subseteq \cdots \subseteq \mathcal{M}_{g,k} \subseteq \cdots \subseteq \mathcal{M}_{g}.$$

For further details we refer the reader to [AC81].

Given a covering $\pi: C \to \mathbb{P}^1$, we denote by $\{b_1, \ldots, b_k\}$ the branch locus and by *F* the generic fiber. We denote by $M(\pi)$ the monodromy group of the covering, i.e. the image of the monodromy map

$$\mu_{\pi} \colon \pi_{1}\left(\mathbb{P}^{1} \setminus \{b_{1}, \dots, b_{k}\}\right) \longrightarrow \operatorname{Aut}(F) \cong S_{d}$$
$$[\gamma] \quad \mapsto \quad \mu_{\pi}\left([\gamma]\right)$$

where $\mu_{\pi}([\gamma])(p_i) = \widetilde{\gamma_{p_i}}(1)$ is defined by the lifted path $\widetilde{\gamma_{p_i}}$ with base point p_i . We recall that a group *G* is *solvable* if it admits a finite filtration of subgroups

$$\{1\} = G_t \subseteq \cdots \subseteq G_{i+1} \subseteq G_i \subseteq \ldots G_1 = G,$$

such that $G_{i+1} \triangleleft G_i$ is a normal subgroup and $G_i \backslash G_{i+1}$ is abelian for any *i*. We call a covering π undecomposable if it does not factor nontrivially; this is equivalent to ask that $M(\pi)$ is primitive, i.e. it does not have any block (see [Rot14, Proposition 1.2.10]). We say that a curve *C* is *solvable*, resp. *primitive*, if it admits a covering $\pi: C \to \mathbb{P}^1$ such that $M(\pi)$ is solvable, resp. primitive. We call a primitive and solvable curve a PS–curve.

Definition 2.1. We denote by $\mathcal{M}_{g,sol}$ the sublocus of \mathcal{M}_g defined by the curves that admit a cover to \mathbb{P}^1 with solvable monodromy group.

Given a covering $\pi: C \to \mathbb{P}^1$ of degree d with l branch points, we consider its monodromy group $M(\pi)$ that is a subgroup of S_d . We can choose l conjugacy classes in S_d, c_1, \ldots, c_l such that we have a natural map $b_i \mapsto c_i$. We can consider the *Hurwitz space*¹ $H(c_1, \ldots, c_l, d)$ which parametrizes the covering $\pi: C \to \mathbb{P}^1$ such that $M(\pi)$ is of type (c_1, \ldots, c_l) and deg $(\pi) = d$. We can define the *configuration space* X_l as

$$X_l := \left[\left(\mathbb{P}^1 \times \cdots \times \mathbb{P}^1 \right) - \bigcup_{i \neq j} \Delta_{ij} \right] / \operatorname{Sym}_l,$$

where $\Delta_{ij} = \{(z_1, \ldots, z_l) \in \mathbb{P}^1 \times \cdots \times \mathbb{P}^1 : z_i = z_j\}$. If the Hurwitz space is nonempty, then we have a surjective map given by associating to each covering its branch divisor:

$$H(c_1,\ldots,c_l,d) \xrightarrow{h} X_l$$
$$\pi \mapsto (b_1 + \cdots + b_l)$$

We have the following diagram

$$\begin{array}{ccc} H(c_1,\ldots,c_l,d) & \stackrel{\mu}{\longrightarrow} & \mathcal{M}_g \\ & & \downarrow^h \\ & & X_l \end{array}$$

where μ sends a cover $\pi: C \to \mathbb{P}^1$ to the corresponding point $[C] \in \mathcal{M}_g$.

¹For a nice and detailed introduction on Hurwitz spaces we refer to [RW06]

3. Zariski's argument

Here we briefly review the Zariski's argument, for further details we refer the reader to [Zar26] and [PS05]. First of all, we recall some preliminary result about solvable groups.

Proposition 3.1 (Proposition 2.1,[PS05]). Let $G \subseteq S_d$ be a primitive solvable subgroup acting on a set X, and let $x \in X$. Then

- *1. there exists a unique minimal normal subgroup* $A \triangleleft G$ *;*
- 2. A is an elementary abelian p-group for some p prime;
- 3. $G = AG_x$ and $A \cap G = 1_G$;
- 4. A acts regularly on X, i.e. for any $x \in X$ the map $a \mapsto ax$ gives a bijection from A to X.

In particular $d = |A| = p^k$ for a prime number p.

Proposition 3.2 ([Zar26]). Let $d = p^k$ with p prime and let G be a primitive solvable subgroup of S_d acting on a set X. Then for any $g \in G$

$$|X^g| = |\{x \in X : gx = x \quad \forall x \in X\}| \le p^{k-1}.$$

The Zariski's argument is a count of moduli obtained by applying the classical Riemann-Hurwitz formula (see [Har13, IV, Corallary 2.4]). If we consider a *d* : 1 covering $\pi : C \to \mathbb{P}^1$, by means of the Riemann-Hurwitz formula we get

$$2g(C) - 2 = -2d + r, (1)$$

where $d = \deg(\pi)$ and r is the degree of the ramification divisor

$$R_{\pi} = \sum_{p \in X} [\operatorname{mult}_{p}(\pi) - 1] \cdot p.$$

We recall that the *branch divisor* is defined as $\pi_*(R_{\pi})$. We denote by b(y) the multiplicity of a branch point $y \in \mathbb{P}^1$, i.e.

$$b(y) = \sum_{p \in \pi^{-1}(y)} [\operatorname{mult}_p(\pi) - 1].$$

The following bound on b(y) is the key result for the Zariski's argument and the proof if it is given for the reader's convenience.

Proposition 3.3 (Zariski's argument [Zar26]). Let $\pi: X \to Y$ be a d: 1 primitive and solvable covering of curves. Then, there exists a prime p such that $d = p^k$ for some integer k and for any branch point $y \in Y$ the following holds

$$b(y) \ge \frac{p^k - p^{k-1}}{2}.$$
 (2)

Proof. Let $M(\pi)$ be the monodromy group of π and let us consider the generic fiber *F*. There is a natural action of $M(\pi)$ defined on the fiber: $M(\pi) \frown F$. We denote by $\operatorname{orb}(g)$ the number of orbits of $\langle g \rangle$ for $g \in M(\pi)$. By induction on *d* one can prove that

$$b(y) = \sum_{p \in \pi^{-1}(y)} [\operatorname{mult}_p(\pi) - 1] = d - \operatorname{orb}(g).$$

We denote by *n* the number of fixed points of *g*. Since $b(y) \ge \operatorname{orb}(g) - n$, we have

$$2b(y) \ge b(y) + \operatorname{orb}(g) - n = d - n$$

 $\Rightarrow b(y) \ge \frac{d - n}{2}.$

Since $M(\pi)$ is assumed to be primitive, then by Proposition 3.1 and Proposition 3.2: $d = p^k$ and $n \le p^{k-1}$.

4. Solvable locus in moduli of curves: the general case

We denote as $\mathcal{M}_{g,sol}$ the sublocus of \mathcal{M}_g defined by the solvable curves of genus g. In 1991 Michael G. Neubauer proved that $\mathcal{M}_{g,sol}$ is not dense in \mathcal{M}_g in the interesting case g > 6 (see [Neu92]).

Theorem 4.1 ([Neu92, Theorem 1.11]). Let g > 6. Then $\mathcal{M}_{g,sol}$ is a quasi-projective subvariety of \mathcal{M}_g with strictly positive codimension.

We can give an estimate on the codimension of $\mathcal{M}_{g,sol}$ by applying the Zariski's argument (Proposition 3.3).

Proposition 4.2. Let $g \ge 7$. Let $Z \subset M_g$ be an irreducible family of smooth projective curves of genus g such that the general element in the family admits a d : 1 solvable and primitive covering of \mathbb{P}^1 with $d \ge 5$. Then, dim $Z \le g+4$.

Proof. We proceed by contradiction. Suppose that dim $\mathcal{Z} > g + 4$. Take a general curve $C \in \mathcal{Z}$ and let $\pi : C \to \mathbb{P}^1$ be a d : 1 branched covering for some $d \ge 5$, such that the corresponding monodromy group is primitive and

solvable. By Proposition 3.1, this implies $d = p^k$ for some positive integer k and $p \ge 2$. By Proposition 3.3, for any branched point $y \in \mathbb{P}^1$, it holds $b(y) \ge (p^k - p^{k-1})/2$. We denote by l the number of branch points of π and we consider X_l , the l-dimensional configuration space, which is a covering of an open subscheme of $(\mathbb{P}^1)^l$. Since C is chosen to be general, there exists a Hurwitz space $H(c_1, \ldots, c_l, d)$, parametrizing d : 1 covers of \mathbb{P}^1 by genus g curves with monodromy group of type (c_1, \ldots, c_l) such that $T := \mu(H(c_1, \ldots, c_l, d))$ contains \mathcal{Z} (here μ is the forgetful map from $H(c_1, \ldots, c_l, d)$ to \mathcal{M}_g).

As the map from $H(c_1, \ldots, c_l, d)$ to the configuration space is generically finite,

$$\dim H(c_1,\ldots,c_l,d)=l.$$

Since the group of automorphism of \mathbb{P}^1 is 3-dimensional, dim T = l - 3. By the Riemann-Hurwitz formula and by Zariski's argument (2),

$$2g - 2 \ge -2p^k + l \cdot \frac{p^k - p^{k-1}}{2}.$$

By assumption, $l - 3 = \dim T \ge \dim Z > g + 4$, we have

$$2 \cdot \frac{2g - 2 + 2p^k}{p^k - p^{k-1}} - 3 > g + 4.$$

Since $d = p^k \ge 5$, we get $p^{k-1}(p-1) \ge 4$. Applying this to the previous inequality, we have

$$g - 1 + \frac{4p}{p-1} - 3 \ge 2\frac{2g - 2}{p^k - p^{k-1}} + \frac{4p^k}{p^k - p^{k-1}} - 3 > g + 4$$

which is equivalent to (4p)/(p-1) > 8. This implies p < 2, which contradicts the assumption $p \ge 2$.

5. Curves on K3 surfaces

We consider the moduli of genus g curves contained in polarized K3 surfaces and we study the sub-locus of solvable curves. Let us first recall the definition of a K3 surface and some useful properties.

Definition 5.1. A *K*3 *surface* is a complete non-singular surface *X* such that the canonical sheaf \mathcal{K}_X is trivial and $H^1(\mathcal{O}_X) = 0$. A *polarization on X* is an ample, primitive² invertible sheaf \mathcal{L} on *X*. The pair (X, \mathcal{L}) is said to be a *canonically polarized K3 surface of genus g* if \mathcal{L} is a polarization on *X* satisfying

$$\mathcal{L}^2=2g-2,$$

²By primitive, we mean that \mathcal{L} is not the power of any other invertible sheaf.

where by \mathcal{L}^2 we mean the self-intersection of any curve *C* in the linear system defined by \mathcal{L} .

Definition 5.2. We say that two polarized K3 surfaces (X, \mathcal{L}) and (Y, \mathcal{L}') are isomorphic if there exists $\phi : X \to Y$ isomorphism of schemes satisfying $\phi^* \mathcal{L} = \mathcal{L}'$.

The moduli functor

 $\widetilde{\kappa_g}$: Schemes/ $\mathbb{C} \longrightarrow \{\text{Sets}\},\$

is defined as the functor which associates (up to isomorphism) to a \mathbb{C} -scheme *S* the set of pairs $((\pi : \mathcal{X}_S \to S), \mathcal{L})$ where

- 1. π is a smooth, proper morphism;
- 2. \mathcal{L} is an invertible sheaf on \mathcal{X}_S such that, for every geometric point $s \in S$, the fiber $(\mathcal{X}_s, \mathcal{L}|_{\mathcal{X}_s})$ is a canonically polarized K3 surface of genus *g*.

Similarly, we can define the functor

$$\mathcal{P}_g$$
: Schemes/ $\mathbb{C} \longrightarrow \{\text{Sets}\},\$

which associates to a \mathbb{C} -scheme *S* (up to isomorphism) the set of triples $((\pi': \mathcal{C}_S \to S), (\pi: \mathcal{X}_S \to S), \mathcal{L})$ where

- 1. π', π are smooth, proper morphisms;
- 2. $C_S \subset \mathcal{X}_S$;
- 3. \mathcal{L} is an invertible sheaf on \mathcal{X}_S such that, for every geometric point $s \in S$, the fiber $(\mathcal{X}_s, \mathcal{L}|_{\mathcal{X}_s})$ is a canonically polarized K3 surface of genus g and $\mathcal{C}_s \in |\mathcal{L}|_{\mathcal{X}_s}|$.

Theorem 5.3 ([CLM93]). There exists a coarse moduli spaces \mathcal{P}_g and κ_g corepresenting the moduli functors $\widetilde{\mathcal{P}}_g$ and $\widetilde{\kappa}_g$. The natural projection map induces a \mathbb{P}^g -bundle structure on $\mathcal{P}_g \to \kappa_g$. Moreover, dim $(\kappa_g) = 19$ and dim $(\mathcal{P}_g) = 19 + g$.

Morally, \mathcal{P}^g parametrizes the pairs (C,S) where C is s smooth projective curve, and S is a polarized K3 surface containing C.

Theorem 5.4 ([CLM93]). *The natural forgetful map* $\phi_g : \mathcal{P}_g \to \mathcal{M}_g$ *is dominant if and only if* $2 \le g \le 9$ *and* g = 11. *Moreover,* ϕ_g *is generically finite if and only if* g = 11 *and* $g \ge 13$. *For* g = 10 *the map* ϕ_g *has fiber dimension* 3 *and* ϕ_{12} *has fiber dimension* 1.

Using the formula for the dimension of \mathcal{P}_g in Theorem 5.3 and that of the fiber of the forgetful map ϕ_g as in Theorem 5.4, we can directly prove that:

Corollary 5.5. The following hold:

- 1. *if* $2 \le g \le 9$ *then* dim Im $\phi_g = 3g 3$;
- 2. *if* g = 10 *then* dim Im $\phi_g = 16 + g$;
- 3. *if* g = 12 *then* dim Im $\phi_g = 18 + g$;
- 4. *if* g = 11 *or* $g \ge 13$ *then* dim Im $\phi_g = 19 + g$.

Definition 5.6. We denote by \mathcal{M}_g^{K3} the image of ϕ_g and by $\mathcal{M}_{g,sol}^{K3}$ the sublocus of \mathcal{M}_g^{K3} parametrizing PS–curves.

Definition 5.7. Given positive integers *g*,*r*,*d*, the *Brill-Noether number*, is

$$\rho(g,r,d) = g - (r+1)(g - d + r).$$

Given a curve $C \in \mathcal{M}_g$, denote by $W_d^r(C) \subset \mathcal{M}_g$ the space of all degree d invertible sheaves \mathcal{L} satisfying $h^0(\mathcal{L}) \geq r+1$.

Theorem 5.8. For $g \ge 7$, a general element of \mathcal{M}_g^{K3} is not a PS–curve. Furthermore, for a maximal dimensional irreducible component L of $\overline{\mathcal{M}_{g,sol}^{K3} \setminus \mathcal{M}_{g,4}}$ (closure taken in \mathcal{M}_g), we have

- 1. if $7 \le g \le 9$ and g = 11, the codimension of L in \mathcal{M}_{g}^{K3} is at least 7;
- 2. if g = 10 then the codimension of L in \mathcal{M}_{g}^{K3} is at least 12;
- 3. if g = 12 then the codimension of L in \mathcal{M}_{g}^{K3} is at least 14;
- 4. if g = 11 or $g \ge 13$ then the codimension of L in \mathcal{M}_{g}^{K3} is at least 15.

Proof. By $[L^+86]$ if $\rho(g, 1, d) < 0$ then for a general curve *C* of genus *g* contained in a *K*3 surface, $W_d^r(C) = \emptyset$, so in particular, there does not exist any *d* : 1 covering from *C* to \mathbb{P}^1 . For $g \ge 7$, $\rho(g, 1, d) < 0$ if and only if $d \le 4$. For $d \le 4$, a *d*-gonal curve in solvable, so we want to exclude the sublocus $\mathcal{M}_{g,4}$ in which the maximal gonality is reached by the four–gonals curves. Then

$$\overline{\mathcal{M}_{g,\mathrm{sol}}^{K3}\cap\mathcal{M}_{g,4}}=\overline{\mathcal{M}_{g}^{K3}\cap\mathcal{M}_{g,4}}\neq\mathcal{M}_{g}^{K3},$$

with closure taken in \mathcal{M}_g . Let *L* be an irreducible component of $\overline{\mathcal{M}_{g,\text{sol}}^{K3} \setminus \mathcal{M}_{g,4}}$. Since a general element in *L* is solvable, *d*-gonal for $d \ge 5$, by Proposition 4.2, dim $L \le g + 4$. Using Corollary 5.5 for $g \ge 7$, observe

$$\dim \operatorname{Im} \phi_g = \dim \mathcal{M}_g^{K3} > g + 4.$$

By Theorem 4.1, there are finitely many irreducible components of $\mathcal{M}_{g,sol}^{K3}$. Since there are finitely many irreducible components of $\mathcal{M}_{g,sol}^{K3}$ and every component is of dimension strictly less than that of \mathcal{M}_{g}^{K3} , a general element of \mathcal{M}_{g}^{K3} is not solvable. This proves the first part of the theorem.

The second part of the theorem follows directly using Proposition 4.2 and Corollary 5.5. This completes the proof of the theorem. $\hfill \Box$

6. Curves on quadric and cubic surfaces

In this section we study the subloci of solvable curves contained in quadric or cubic surfaces. The first step is to compute the fiber dimension of the moduli map (see Proposition 6.2). In order to compute the codimension of the solvable subloci of the above mentioned curves, we need to use Proposition 4.2. To do so, we need to know the gonality of such curves. This is done in Proposition 6.5. We combine these steps in Theorem 6.6 to compute the required codimension.

Note 6.1. Given a Hilbert polynomial *P* of a curve *C* in \mathbb{P}^3 , denote by Hilb_{*P*} the Hilbert scheme parametrizing all subschemes in \mathbb{P}^3 with Hilbert polynomial *P*. Let *a*, *b* be positive integers and $b \ge a$. Denote by g(a,b) (resp. P(a,b)) the genus (resp. Hilbert polynomial) of a complete intersection curve in \mathbb{P}^3 obtained by the intersection of a general surface of degree *a* and another of degree *b*.

Proposition 6.2. Suppose $a \ge 2$ and $b \ge 3$. Let X be a smooth, projective surface in \mathbb{P}^3 of degree a and C be the complete intersection of X with a general degree b surface in \mathbb{P}^3 . Then, the dimension of the fiber over $[C] \in \mathcal{M}_{g(a,b)}$ of the moduli map μ : Hilb_{P(a,b)} $\rightarrow \mathcal{M}_{g(a,b)}$ is at most $h^0(\mathcal{T}_{\mathbb{P}^3})$, where $\mathcal{T}_{\mathbb{P}^3}$ is the tangent sheaf on \mathbb{P}^3 .

Proof. Using deformation theory observe that the differential to the moduli map

$$d\mu: T_{[C]}\operatorname{Hilb}_{P(a,b)} \to T_{[C]}\mathcal{M}_{g(a,b)}$$

is the boundary map $H^0(\mathcal{N}_{C|\mathbb{P}^3}) \to H^1(\mathcal{T}_C)$ coming from the short exact sequence:

$$0 o {\mathcal T}_C o {\mathcal T}_{{\mathbb P}^3} \otimes {\mathcal O}_C o {\mathcal N}_{C|{\mathbb P}^3} o 0.$$

Using the genus formula for complete intersection curves (see [Har13, Remark IV.6.4.1]) one can check that g(a,b) > 1, and this implies deg $\mathcal{T}_C = 2 - 2g(a,b) < 0$. This means that the kernel of $d\mu$ is isomorphic to $H^0(\mathcal{T}_{\mathbb{P}^3} \otimes \mathcal{O}_C)$. Since $T_{[C]}\mu^{-1}([C])$ is isomorphic to ker $d\mu$, it suffices to prove that

$$H^0(\mathcal{T}_{\mathbb{P}^3}\otimes\mathcal{O}_C)\cong H^0(\mathcal{T}_{\mathbb{P}^3}).$$

Consider now the following Koszul complex associated to the curve C:

$$0 \to \mathcal{O}_{\mathbb{P}^3}(-a-b) \to \mathcal{O}_{\mathbb{P}^3}(-a) \oplus \mathcal{O}_{\mathbb{P}^3}(-b) \to \mathcal{O}_{\mathbb{P}^3} \to \mathcal{O}_C \to 0.$$

Tensoring by $\mathcal{T}_{\mathbb{P}^3}$, we get the exact sequence:

$$0 \to \mathcal{T}_{\mathbb{P}^3}(-a-b) \to \mathcal{T}_{\mathbb{P}^3}(-a) \oplus \mathcal{T}_{\mathbb{P}^3}(-b) \to \mathcal{T}_{\mathbb{P}^3} \to \mathcal{T}_{\mathbb{P}^3}|_C \to 0.$$
(3)

Choose coordinates X, Y, Z, W for \mathbb{P}^3 i.e., $\mathbb{P}^3 = \operatorname{Proj}\mathbb{C}[X, Y, Z, W]$. Recall, the twisted Euler sequence:

$$0 \to \Omega^{1}_{\mathbb{P}^{3}}(t) \to \mathcal{O}_{\mathbb{P}^{3}}(t-1)^{\oplus 4} \xrightarrow{\theta} \mathcal{O}_{\mathbb{P}^{3}}(t) \to 0$$

where θ is defined by (P_1, P_2, P_3, P_4) maps to $(P_1X + P_2Y + P_3Z + P_4W)$ for $P_i \in \Gamma(\mathcal{O}_{\mathbb{P}^3}(t-1))$ for $t \ge 1$. Hence,

$$H^0(\boldsymbol{\theta}): H^0(\mathcal{O}_{\mathbb{P}^3}(t-1)^{\oplus 4}) \to H^0(\mathcal{O}_{\mathbb{P}^3}(t))$$

is surjective for all $t \ge 1$. Observe, $H^1(\mathcal{O}_{\mathbb{P}^3}(t)) = 0 = H^2(\mathcal{O}_{\mathbb{P}^3}(t))$ for all $t \in \mathbb{Z}$ and $H^3(\mathcal{O}_{\mathbb{P}^3}(t)) = 0$ for all t > -4. Hence, $H^1(\Omega^1_{\mathbb{P}^3}(t)) = 0$ for all $t \ge 1$, $H^2(\Omega^1_{\mathbb{P}^3}(t)) = 0$ for all $t \in \mathbb{Z}$ and $H^3(\Omega^1_{\mathbb{P}^3}(t)) = 0$ for all t > -3. Dualizing, we have $H^2(\mathcal{T}_{\mathbb{P}^3}(-t-4)) = 0$ for all $t \ge 1$, $H^1(\mathcal{T}_{\mathbb{P}^3}(-t-4)) = 0$ for all $t \in \mathbb{Z}$ and $H^0(\mathcal{T}_{\mathbb{P}^3}(-t-4)) = 0$ for all t > -3. Expanding (3), we get the following exact sequences:

$$0 \to \mathcal{T}_{\mathbb{P}^3}(-a-b) \to \mathcal{T}_{\mathbb{P}^3}(-a) \oplus \mathcal{T}_{\mathbb{P}^3}(-b) \to M_1 \to 0 \tag{4}$$

 $0 \to M_1 \to \mathcal{T}_{\mathbb{P}^3} \to \mathcal{T}_{\mathbb{P}^3} |_C \to 0 \tag{5}$

The long exact sequence associated to (4) implies

$$H^0(M_1) = 0 = H^1(M_1).$$

Applying this to the long exact sequence associated to (5), we get

$$H^0(\mathcal{T}_{\mathbb{P}^3} \otimes \mathcal{O}_C) \cong H^0(\mathcal{T}_{\mathbb{P}^3}).$$

Definition 6.3. Denote by $\mathcal{M}_{g(a,b)}^{a}$ the subloci in $\mathcal{M}_{g(a,b)}$ of genus g(a,b) curves contained in a degree *a* hypersurface in \mathbb{P}^{3} . Denote by $\mathcal{M}_{g(a,b),sol}^{a}$ the sublocus of $\mathcal{M}_{g(a,b)}^{a}$ parametrizing PS–curves.

We recall a standard construction of a cubic surface obtained by blowing up 6 points. This description will be used to compute the gonality of a curve in a cubic surface (see Proposition 6.5).

Definition 6.4. Let X_3 be a smooth cubic surface and $\pi: X_3 \to \mathbb{P}^2$ the blow-up of \mathbb{P}^2 at six points $p_1, ..., p_6$ on the plane, no three collinear and not all six lying on a conic. Denote by $E_1, ..., E_6$ the exceptional curves in X_3 over $p_1, ..., p_6$, respectively. Let $l' \subset \mathbb{P}^2$ be a line and $l := \pi^*([l'])$.

Proposition 6.5. Let $a \ge 2$ and $[C] \in \mathcal{M}^a_{g(a,b)}$. Then, the gonality gon(C) satisfies:

- 1. If a = 2 then gon(C) = b,
- 2. If a = 3 then gon(C) = 2b.

Proof. By [Bas96, Theorem 4.2], gon(C) = ab - l where *l* is the maximum number of points of *C* on a line.

(1): Suppose a = 2. By definition,

$$C \sim \left(\sum_{i=1}^{b} p_i \times \mathbb{P}^1\right) + \left(\sum_{i=1}^{b} \mathbb{P}^1 \times p_b\right) \text{ for any set of } b \text{ points } p_1, \dots, p_b.$$

As $X \cong \mathbb{P}^1 \times \mathbb{P}^1$, any line on *X* is of the form $\mathbb{P}^1 \times \{x\}$ or $\{x\} \times \mathbb{P}^1$ for $x \in \mathbb{P}^1$. Since $(\mathbb{P}^1 \times \{x\}) \cdot (\mathbb{P}^1 \times \{y\}) = 0 = (\{x\} \times \mathbb{P}^1) \cdot (\{y\} \times \mathbb{P}^1)$ and $(\{x\} \times \mathbb{P}^1) \cdot (\mathbb{P}^1 \times \{y\}) = 1$ for any $x, y \in \mathbb{P}^1$, $C \cdot l = b$ for any line $l \subset X$. For any line *l* not contained in *X*, $C \cdot l \leq X \cdot l = 2$. Hence, the gonality gon $(C) = \min\{2b - b, 2b - 2, 2b - 1, 2b\} = b$. This proves (1).

(2): Suppose a = 3. Recall, X_3 (see Definition 6.4) contains 27 lines, E_i for i = 1, ..., 6, F_{ij} , $i \neq j$ and G_j for j = 1, ..., 6 where $F_{ij} \sim L - E_i - E_j$ and $G_j \sim 2L - \sum_{i\neq j} E_i$ (see [Har13, Proposition V.4.8 and Theorem V.4.9]). By [Har13, Proposition V.4.8], the hyperplane section *H* is linearly equivalent to $3L - \sum E_i$. Since $C \sim bH$, we have $C \cdot E_i = b, C \cdot L = 3b, C \cdot F_{ij} = 3b - 2b = b$ and $C \cdot G_j = 6b - 5b = b$. Hence, the gonality of *C* is 2*b*.

Theorem 6.6. The codimension c(a,b) of an irreducible component of $\mathcal{M}^{a}_{g(a,b),sol}$ in $\mathcal{M}^{a}_{g(a,b)}$ satisfies the following:

- 1. If $a = 2, b \ge 5$ then c(a, b) > 0,
- 2. If $a = 3, 3 \le b \le 5$ then c(a, b) > 0,
- 3. If $a = 2, 2 \le b \le 4$ then c(a, b) = 0.

Proof. By Proposition 6.2, the dimension of the fiber of the moduli map μ : Hilb_{*P*(*a,b*)} $\rightarrow \mathcal{M}_{g(a,b)}$ is at most $h^0(\mathcal{T}_{\mathbb{P}^3})$. It is easy to check that

dim Hilb_{P(a,b)} =
$$\binom{a+3}{3} + \binom{b+3}{3} - \binom{b-a+3}{3} - 2 - h^0(\mathcal{O}_{\mathbb{P}^3}(a-b)).$$

So,

$$\dim \operatorname{Im} \mu \geq \frac{a^3 + 11a}{3} + \frac{b^2a - ba^2}{2} + 2ba - 16 - h^0(\mathcal{O}_{\mathbb{P}^3}(a - b)).$$

By Proposition 6.5, the gonality of $C \in \mathcal{M}^a_{g(a,b)}$ is at least 5 in the case $a = 3, b \ge a$ and when $a = 2, b \ge 5$. For these values of a and b, by Proposition 4.2, the codimension c(a,b) of $\mathcal{M}^a_{g(a,b),sol}$ is at least

$$\frac{a^3+11a}{3}+\frac{2b^2a-2ba^2-b^2a^2}{4}+3ba-21-h^0(\mathcal{O}_{\mathbb{P}^3}(a-b)).$$

(1): Substituting a = 2 in the above equation, we observe that for $b \ge 5$, c(2,b) > 0.

(2): Substituting a = 3 in the above equation, we observe

$$c(3,b) \ge \frac{18b - 3b^2}{4} - 1.$$

For $3 \le b \le 5$, we have c(3,b) > 0.

(3): Substituting a = 2, by Proposition 6.5, the gonality of $C \in \mathcal{M}^a_{g(a,b)}$ is strictly less than 5 for $2 \le b \le 4$. Hence, C is solvable. This implies that $\mathcal{M}^a_{g(a,b),sol}$ coincides with $\mathcal{M}^a_{g(a,b)}$.

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