

## SURFACES WITH DEFECTIVE TANGENTIAL VARIETIES

ANDREY INSHAKOV

Surfaces with  $h$ -defective tangential varieties are classified for any  $h$ . For such surfaces the corresponding defects are calculated. Smooth surfaces with this property not coinciding with  $v_3(\mathbb{P}^2)$  are pointed out. It is shown that varieties of osculating (of any order) to curves are not defective.

### 1. Introduction.

In this paper we work over complex numbers. Suppose that  $X \subset \mathbb{P}^N$  is a smooth variety. It is a well-known fact that if  $N > 2 \dim X + 1$  then  $X$  can be projected isomorphically to  $\mathbb{P}^{2 \dim X + 1}$ . One can ask whether  $X$  can be projected isomorphically to  $\mathbb{P}^m$  with  $m < 2 \dim X + 1$ . In order to answer this question we notice that  $X$  can be projected isomorphically to  $\mathbb{P}^m$  iff  $\dim SX \leq m$ , where  $SX = \overline{\bigcup_{x,y \in X, x \neq y} \langle x, y \rangle}$  is a secant variety (by  $\langle U \rangle$  we denote the linear span of a set  $U$ ), because the projection  $\pi_L : \mathbb{P}^N \dashrightarrow \mathbb{P}^m$  from a linear subspace  $L$  is an isomorphism on  $X$  iff  $L \cap SX = \emptyset$ . By simple dimension count one can see that  $\dim SX$  does not exceed  $2 \dim X + 1$ , and equality holds for “general”  $X$ . Because of that, in general  $X$  can be isomorphically projected only to  $\mathbb{P}^{2 \dim X + 1}$ . But if we are interested in isomorphic projection to  $\mathbb{P}^m$ , then we should find varieties for which  $\dim SX \leq m < 2 \dim X + 1$  and also

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$\dim SX \leq m < N$ . Such varieties are called *1-defective*. Straightforward generalization leads us to varieties for which the dimension of the variety of  $(h+1)$ -secant  $h$ -dimensional subspaces  $S^h X$  is less than the expected one, i. e.  $\dim S^h X < \min\{N, (h+1) \cdot \dim X + h\}$ . Such varieties are called  *$h$ -defective*. It is more or less clear that there are no  $h$ -defective curves.

The main tool in studying defective varieties is the following lemma.

**Lemma.** (Terracini). *For general point  $x_0, \dots, x_h \in X$  and general point  $q \in \langle x_0, \dots, x_h \rangle \subset S^h X$  holds*

$$T_q S^h X = \langle T_{x_0} X, \dots, T_{x_h} X \rangle.$$

Defective surfaces were classified by many authors. Classically such surfaces were considered by Palatini [9] and [10] whose classification theorem has a serious gap. Then Terracini [13] completed Palatini's classification. Also Scorza [12] and Bronowski [1] worked on this topic. Both Palatini's and Terracini's papers are obscure and difficult to read. Chiantini and Ciliberto [3] classified weakly defective surfaces, of which defective surfaces form a special case. Their approach is easier and faster than the previous ones. The result is as follows:

**Theorem.** *A surface  $X$  is  $h$ -defective iff  $X$  is one of the following:*

- (1) *A non-degenerate surface  $X \subset \text{Cone}_L C$ , where  $C$  is a curve,  $L$  is a linear space of dimension  $h-1$ ,  $N \geq 3h+2$  such that for any linear subspace  $l \subset L$  one has  $\dim \pi_l(X) = 2$ ;*
- (2)  *$X = v_2(Y) \subset \mathbb{P}^{3h+2}$ , where  $Y \subset \mathbb{P}^{h+1}$  is a non-degenerate surface of minimal degree.*

1-defective threefolds were classified by Scorza [11]. In more recent times Zak [14], Fujita-Roberts [6] and Fujita [5] considered smooth defective threefolds. Ciliberto and Chiantini [4] reworked the Scorza classification in an easy and fast way.

For higher dimensional defective varieties only general properties are known, see Zak [14].

In [2] Bronowski first considered a surface whose tangential variety is defective and stated that [2, 3] *through a general point of 9-dimensional space there passes no 5-dimensional space containing 2 tangent planes to the Del Pezzo surface*. So, one can ask *whether  $v_3(\mathbb{P}^2) \subset \mathbb{P}^9$  is the only surface in  $\mathbb{P}^9$  with the following property: the  $\mathbb{P}^5$ 's spanned by two tangent planes to  $X$  do not fill up  $\mathbb{P}^9$ ?* This question can be reformulated in the following way: *when  $\dim S(TX) < 9$  (or  $TX$  is 1-defective)?*

Our main Theorem 3 gives an answer to this question, i.e. it describes all surfaces for which the tangential varieties are  $h$ -defective. It appears that  $v_3(\mathbb{P}^2)$  is not the only such surface. For example, a general surface on a cone with vertex a plane over a curve has 1-defective tangential variety when the dimension of the ambient space is big enough.

This paper is organized in the following way: in Sections 2 and 3 we gather the preliminaries; the varieties of osculating to curves are discussed in Section 4; in Section 5 the main property of surfaces with defective tangential varieties is pointed out; Section 6 is devoted to describing examples, including smooth ones, and calculating the corresponding defects; in Section 7 we state our main Theorem 3. In the subsequent Sections we give a proof of Theorem 3: in Section 8 we prove Theorem 3 in the case  $\dim TX = 3$ ; Section 9 contains a proof in the case  $\dim TX = 4$  and  $h = 1$ , in Subsection 9.1 we consider the case when the surface is mapped to a curve under the projection from the osculating space of order 2 at a general point, in Subsection 9.2 the case when the image of this projection is a surface is described; in Section 8 we prove Theorem 3 for  $h > 1$ . Finally, in Section 11 Corollary 5 is discussed.

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## 2. $h$ -secant varieties and their defects.

**Definition.** The variety  $S^h X = \overline{\bigcup_{x_0, \dots, x_h \in X, \dim \langle x_0, \dots, x_h \rangle = h} \langle x_0, \dots, x_h \rangle}$  is called  *$h$ -secant variety of the variety  $X$* .

By counting dimensions one can see that if  $N$  is big enough then the expected dimension of  $S^h X$  is  $\dim X \cdot (h + 1) + h$ . Hence for an arbitrary  $N$  the expected dimension of  $S^h X$  is  $\min\{N, \dim X \cdot (h + 1) + h\}$ .

**Definition.** The number  $d_h(X) = \dim X(h + 1) + h - \dim S^h X$  is called the *cumulative  $h$ -defect* of the variety  $X \subset \mathbb{P}^N$ . The number  $\delta_h(X) = \min N, \dim X(h + 1) + h - \dim S^h X$  is called the  *$h$ -th defect* of the variety  $X \subset \mathbb{P}^N$ .

**Remark 1.**

1.  $d_h(X)$  is called *cumulative defect* because of the following. It is clear that  $S^h X = S(X, S^{h-1} X)$ , where

$$S(Y, Z) = \overline{\bigcup_{y \in Y, z \in Z, y \neq z} \langle y, z \rangle}.$$

For a general point  $s \in S^h X$  the variety

$$\Sigma_s = \overline{\{x | x \in X, \exists t \in S^{h-1} X, t \neq x : s \in \langle x, t \rangle\}}$$

is called the *entry locus for s*. Put  $\dim \Sigma_s = \sigma_h(X)$ . One has  $\dim S^h X = \dim S(X, S^{h-1} X) = (\dim X + \dim S^{h-1} X + 1) - \sigma_h(X)$ . So,  $d_h(X) - d_{h-1}(X) = \dim X + 1 - \dim S^h X + \dim S^{h-1} X = (\dim X + 1 + \dim S^{h-1} X) - \dim S^h X = \sigma_h(X)$ , and  $d_h(X) = \sigma_1(X) + \dots + \sigma_h(X)$ .

2.  $\delta_h(X) = \min \{N - \dim S^h X, d_h(X)\} = \min \{N - (\dim X \cdot (h + 1) + h - d_h(X)), d_h(X)\} = \min \{d_h(X) + (N - \dim X \cdot (h + 1) - h), d_h(X)\}$ ;  $\delta_h(X) < d_h(X)$  iff  $N < \dim X(h + 1) + h$ .

3. As we saw above,  $d_{h+1}(X) = d_h(X) + \sigma_{h+1}(X)$ , and so  $d_{h+1}(X) \geq d_h(X)$ . Moreover, for  $N \geq \dim X(h + 2) + h + 1$  ( $h \leq \frac{N-1-2\dim X}{\dim X+1} = \frac{N-\dim X}{\dim X+1} - 1$ ) also  $\delta_{h+1}(X) \geq \delta_h(X)$ . For  $h > \frac{N-\dim X}{\dim X+1}$ ,  $\delta_{h+1}(X) = N - \dim S^{h+1} X \leq N - S^h X = \delta_h(X)$ .

4.  $\forall i, \delta_i(X) \geq 0, d_i(X) \geq 0$ . At the end, if for some  $h, \delta_h(X) = 0$  and  $\delta_{h+1}(X) > 0$ , then  $h \leq \frac{N-\dim X}{\dim X+1}$  ( $N \geq \dim X(h + 1) + h$ ) and  $\forall i < h, \delta_i(X) = 0$ , because  $\delta_i(X) \leq \delta_h(X) = 0$ . Also since  $N \geq \dim X(h + 1) + h, \forall i \leq h, d_h(X) = 0, d_{h+1}(X) \geq \delta_{h+1}(X) > 0$ .

**Definition.** A variety  $X \subset \mathbb{P}^N$  is called *h-defective*, if  $\delta_h(X) > 0$  and  $\delta_{h-1}(X) = 0$ .

**Remark 2.** If  $X$  is *h-defective* then  $d_h(X) > 0$  and  $d_{h-1}(X) = 0$ . If  $d_h(X) > 0$  and  $d_{h-1}(X) = 0$  then  $S^h X = \mathbb{P}^N$  or  $X$  is *h-defective*.

**Proposition 1.** Suppose that a variety  $X \subset \mathbb{P}^N$  is non-degenerate,  $q_0 \in S^{m-1} X$  is a general point and  $\pi$  is the projection with the center at  $T_{q_0} S^{m-1} X$ . Then  $\dim \pi(X) = \dim X - d_m(X) + d_{m-1}(X)$  and for any  $k \geq 1$  one has  $d_k(\pi(X)) = d_{k+m}(X) - d_m(X) - k(d_m(X) - d_{m-1}(X))$ . If  $d_m(X) = 0$  then  $\dim \pi(X) = \dim X$  and for any  $k \geq 1, \delta_k(\pi(X)) = \delta_{k+m}(X)$ .

*Proof.* Choose general points  $y_0, y_1, \dots \in X$  and general points  $q_1, q_2, \dots \in \mathbb{P}^N$  such that  $\forall i \geq 1, q_i \in \langle y_0, \dots, y_{m-1+i} \rangle$ , i. e.  $q_i \in S^{m-1+i}X$ . Suppose in addition that  $q_0 \in \langle y_0, \dots, y_{m-1} \rangle$ . Then by Terracini's lemma for  $k \geq 1$  one has  $T_{q_k} S^{m-1+k}X = \langle T_{y_0}X, \dots, T_{y_{m-1+k}}X \rangle = \langle T_{q_0} S^{m-1}X, T_{y_m}X, \dots, T_{y_{m-1+k}}X \rangle = \langle T_{q_0} S^{m-1}X, \langle T_{y_m}X, \dots, T_{y_{m-1+k}}X \rangle \rangle$ . We have  $\dim \pi(X) = \dim T_{\pi(y_m)}\pi(X) = \dim \pi(T_{y_m}X) = \dim T_{q_1} S^m X - \dim T_{q_0} S^{m-1}X - 1 = (\dim X \cdot (m+1) + m - d_m(X)) - (\dim X \cdot m + m - 1 - d_{m-1}(X)) - 1 = \dim X - d_m(X) + d_{m-1}(X)$ . Hence  $d_k(\pi(X)) = \dim \pi(X) \cdot (k+1) + k - \dim S^k(\pi(X)) = (\dim X - d_m(X) + d_{m-1}(X)) \cdot (k+1) + k - \dim T_{\pi(q_{k+1})} S^k(\pi(X)) = (\dim X - d_m(X) + d_{m-1}(X)) \cdot (k+1) + k - \langle T_{\pi(y_m)}\pi(X), \dots, T_{\pi(y_{m+k})}\pi(X) \rangle = (\dim X - d_m(X) + d_{m-1}(X)) \cdot (k+1) + k - \langle \pi(T_{y_m}X), \dots, \pi(T_{y_{m+k}}X) \rangle = (\dim X - d_m(X) + d_{m-1}(X)) \cdot (k+1) + k - (\dim T_{q_k} S^{m+k}X - \dim T_{q_0} S^{m-1}X - 1) = (\dim X - d_m(X) + d_{m-1}(X)) \cdot (k+1) + k - ((\dim X \cdot (m+k+1) + m+k - d_{m+k}(X)) - (\dim X \cdot m + m - 1 - d_{m-1}(X)) - 1) = (d_{m+k}(X) - d_m(X)) - k(d_m(X) - d_{m-1}(X))$ .

If  $d_m = 0$  then  $d_{m-1} = 0$  and  $\dim \pi(X) = \dim X - d_m(X) + d_{m-1}(X) = \dim X$ . More,  $N_1 = \dim \pi(\mathbb{P}^N) = N - \dim T_{q_0} S^{m-1}X - 1 = N - (\dim X \cdot m + m - 1 - d_{m-1}(X)) - 1 = N - \dim X \cdot m - m$ . At the end,  $\delta_k(\pi(X)) = \min\{d_k(\pi(X)) + (N_1 - \dim \pi(X) \cdot (k+1) - k), d_k(\pi(X))\} = \min\{((d_{m+k}(X) - d_m(X)) - k(d_m(X) - d_{m-1}(X))) + ((N - \dim X \cdot m - m) - \dim X \cdot (k+1) - k), (d_{m+k}(X) - d_m(X)) - k(d_m(X) - d_{m-1}(X))\} = \min\{d_{m+k}(X) + (N - \dim X \cdot (m+k+1) - (m+k)), d_{m+k}(X)\} = \delta_{m+k}(X)$ .  $\square$

The following fact was also proved in [14 Chapter V, Proposition 1.7].

**Corollary 1.** For any  $k > 1, d_{k+1}(X) - d_k(X) \geq d_k(X) - d_{k-1}(X)$ , i.e.  $\sigma_{k+1}(X) \geq \sigma_k(X)$  in the notation of Remark 1.

**Remark 3.** Really, if  $X$  is smooth, then much more stronger statement is true:

**Theorem.** ([14] Chapter V, Theorem 1.8) For any  $k > 1, S^k(X) \neq \mathbb{P}^N$ , one has  $\sigma_{k+1}(X) \geq \sigma_k(X) + \sigma_1(X)$ , i.e.  $d_{k+1}(X) - d_k(X) \geq (d_k(X) - d_{k-1}(X)) + d_1(X)$ .

### 3. Osculating and tangent spaces.

By  $G(n, N)$  we denote the grassmannian of linear subspaces of dimension  $n$  in  $\mathbb{P}^N$ . For any point  $\alpha \in G(n, N)$  denote the corresponding linear subspace by  $\mathbb{P}^n_\alpha$ .

Let  $f : X \dashrightarrow G(n, N)$  be a family of  $\mathbb{P}^n$ 's in  $\mathbb{P}^N$  and put  $U_f = \bigcup_{x \in X} \overline{\mathbb{P}^n_{f(x)}}$ .

**Definition.** A family  $g : X \dashrightarrow G(m, N)$  is generally tangent to the family  $f$  if for a general point  $x \in X$  and a general point  $u \in \mathbb{P}_{f(x)}^n$  one has  $\mathbb{P}_{g(x)}^m \supset T_u U_f$ .

This definition could be reformulated in terms of Grassmannian as follows:

**Definition.** A family  $g : X \dashrightarrow G(m, N)$  is generally tangent to the family  $f$  if for a general point  $x \in X$  one has  $T_{f(x)} f(X) \subset T_{f(x)} G_{g(x)}(n, m) \subset T_{f(x)} G(n, N)$ , where  $G_\alpha(n, m)$  is the Grassmannian of  $\mathbb{P}^n$ 's in  $\mathbb{P}_\alpha^N \subset \mathbb{P}^N$  for  $\alpha \in G(n, N)$ .

**Definition.** A family  $g : X \dashrightarrow G(m, N)$  generally tangent to  $f$  is called tangent to  $f$  if  $m$  is minimal possible. Such  $m$  is called the dimension of the family  $f$  and is denoted by  $\dim f$ .

**Example 1.**

1. If  $f : \mathbb{P}^1 \dashrightarrow G(n, 2n + 1)$  is the family of fibers of the Segre variety  $\mathbb{P}^1 \times \mathbb{P}^n$  then  $\dim f = 2n + 1$ .
2. If  $f : \mathbb{P}^1 \dashrightarrow G(n, N)$  is the family of osculating spaces of order  $n$  to  $v_N(\mathbb{P}^1) \subset \mathbb{P}^N$ , then  $\dim f = n + 1$ .

**Remark 4.** Having a family  $f : X \dashrightarrow G(n, N)$  and a linear subspace  $L \subset \mathbb{P}^N$  one can construct the new (projected) family  $f_L$  in the following way: suppose that for a general point  $x \in X$ ,  $\dim \mathbb{P}_{f(x)}^n \cap L = l_f$ . Then a family  $f_L : X \dashrightarrow G(n - l_f - 1, N - \dim L - 1)$  is such that for a general point  $x \in X$ ,  $\pi_L(\mathbb{P}_{f(x)}^n) = \mathbb{P}_{f_L(x)}^{n-l_f-1}$ , where  $\pi_L$  is the projection from  $L$ . It is easy to see that if a family  $g : X \dashrightarrow G(m, N)$  is generally tangent to the family  $f$ , then the family  $g_L$  is generally tangent to the family  $f_L$ .

Suppose now that  $X \subset \mathbb{P}^N$  is a projective variety.

**Definition.**  $T^0 : X \dashrightarrow G(0, N)$  is a family of points of  $X$ ,  $T^0(x) = x$  for any  $x \in X$ . For any  $k \geq 1$  the family  $T^k : X \dashrightarrow G(n_k, N)$  is tangent to the family  $T^{k-1}$ ,  $n_k = \dim T^{k-1}$ . Consider the following diagram:

$$\begin{array}{ccccc} \Gamma \subset X \times G(n_k, N) & I \subset G(n_k, N) \times \mathbb{P}^N & & & \\ q_1 \swarrow & \searrow q_2 & q_3 \swarrow & \searrow q_4 & \\ X & G(n_k, N) & \mathbb{P}^N & & \end{array}$$

where  $\Gamma = \overline{\{(x, \alpha) | \alpha = f(x)\}}$  is the closure of the graphic of  $f$ ,  $I = \{(\alpha, p) | p \in \mathbb{P}_\alpha^{n_k}\}$ ,  $q_i$ ,  $1 \leq i \leq 4$ , are the projections. The cone  $T_x^k X =$

$q_4(q_3^{-1}(q_2(q_1^{-1}(x))))$  is called *the osculating cone of order  $k$  to the variety  $X$  at the point  $x$* . The number  $n_k = \dim T^{k-1}$  is denoted by  $\dim_k X$ .  $T^k X = q_4(q_3^{-1}(q_2(q_1^{-1}(X))))$ , which is the union of all osculating cones, is called *the variety of osculatings of order  $k$  to  $X$* .

**Proposition 2.** *Suppose that  $x \in X$  is a general point,  $\dim X = n$  and  $F : U_0 \dashrightarrow X$ ,  $F(0) = x$  is a local parametrization ( $U_0 \subset \mathbb{C}^n$  is a neighborhood of 0,  $u_i, 1 \leq i \leq n$ , are the coordin in  $\mathbb{C}^n$ ). Then*

- (1)  $T_x^k X$  is a linear subspace and for a general point  $p \in T_x^{k-1} X$  one has  $T_p T^{k-1} X \subset T_x^k X$ ;
- (2) 
$$T_x^k X = \langle F(0), F_{u_1}(0), \dots, F_{u_n}(0), \dots, \underbrace{F_{u_1 \dots u_1 u_1}}_k(0), \dots, \underbrace{F_{u_1 \dots u_1 u_n}}_k(0), \dots, \underbrace{F_{u_n \dots u_n}}_k(0) \rangle$$
;
- (3) a hyperplane  $H \subset \mathbb{P}^N$  contains  $T_x^k X$  iff the equation  $H(F(u)) = 0$  has zero at 0 of order at least  $k + 1$  (here we put  $H(*) = 0$  for the corresponding linear equation).

*Proof.*

1. Since  $x$  is a general point,  $q_1^{-1}(x) = \{(x, T^k(x))\}$  and  $T_x^k X = \mathbb{P}_{T^k(x)}^{\dim_k X}$  is a liner subspace. By definition, the family  $T^k$  is tangent to the family  $T^{k-1}$ , i.e.  $\mathbb{P}_{T^k(x)}^{\dim_k X} \supset T_p T^{k-1} X$  for a general point  $p \in \mathbb{P}_{T^{k-1}(x)}^{\dim_{k-1} X}$ . So,  $T_x^k X \supset T_p T^{k-1} X$  for a general point  $p \in T_x^{k-1} X$ .

2. We prove this by induction on  $k$ . For  $k = 0$  it is clear. Suppose that

$$T_x^k X = \langle F(0), F_{u_1}(0), \dots, F_{u_n}(0), \dots, \underbrace{F_{u_1 \dots u_1 u_1}}_k(0), \dots, \underbrace{F_{u_1 \dots u_1 u_n}}_k(0), \dots, \underbrace{F_{u_n \dots u_n}}_k(0) \rangle$$

for a general point  $x \in X$ . Then for any numbers  $1 \leq j_1, \dots, j_{k+1} \leq n$  the variety  $T^k X$  contains points  $F_{u_{j_1} \dots u_{j_k}}(u(t))$ , where  $u_i(t) = 0$  for  $i \neq j_{k+1}$  and  $u_{j_{k+1}}(t) = t$ ,  $t \in \mathbb{C}^1$  is a parameter from a small neighborhood of 0. Hence  $T_x^{k+1} X$  should contain the point  $F_{u_{j_1} \dots u_{j_{k+1}}}(0)$  as well as  $T_x^k X$ . Therefore

$$T_x^{k+1} X \supset \langle F(0), F_{u_1}(0), \dots, F_{u_n}(0), \dots, \underbrace{F_{u_1 \dots u_1 u_1}}_{k+1}(0), \dots \rangle$$

$$\dots, \underbrace{F_{u_1 \dots u_1 u_n}}_{k+1}(0), \dots, \underbrace{F_{u_n \dots u_n}}_{k+1}(0)\rangle.$$

On the other hand, it is clear that the space

$$\langle F(0), F_{u_1}(0), \dots, F_{u_n}(0), \dots, \underbrace{F_{u_1 \dots u_1 u_1}}_{k+1}(0), \dots, \underbrace{F_{u_1 \dots u_1 u_n}}_{k+1}(0), \dots, \underbrace{F_{u_n \dots u_n}}_{k+1}(0) \rangle$$

contains any tangent line to  $T^k X$  at a general point  $p \in T_x^k X$ . Since, by definition,  $T_x^{k+1} X$  should be minimal subspace containing  $T_p T^k X$  for a general point  $p \in T_x^k X$ , one has

$$T_x^{k+1} X = \langle F(0), F_{u_1}(0), \dots, F_{u_n}(0), \dots, \underbrace{F_{u_1 \dots u_1 u_1}}_{k+1}(0), \dots, \underbrace{F_{u_1 \dots u_1 u_n}}_{k+1}(0), \dots, \underbrace{F_{u_n \dots u_n}}_{k+1}(0) \rangle.$$

3. Since  $H(*)$  is a linear function, for any numbers  $1 \leq j_1, \dots, j_m \leq n$ ,  $m \leq k$ , one has  $(H \circ F)_{u_{j_1} \dots u_{j_m}}(0) = (H \circ F_{u_{j_1} \dots u_{j_m}})(0)$ . So,  $(H \circ F)_{u_{j_1} \dots u_{j_m}}(0) = 0$  iff the hyperplane  $H$  contains the point  $F_{u_{j_1} \dots u_{j_m}}(0)$ . Moreover,  $H(F(u)) = 0$  has zero at 0 of order at least  $k + 1$  iff for any number  $0 \leq m \leq k$  and any numbers  $1 \leq j_1, \dots, j_m \leq n$  one has  $(H \circ F)_{u_{j_1} \dots u_{j_m}}(0) = 0$ . Hence,  $H(F(u)) = 0$  has zero at 0 of order at least  $k + 1$  iff the hyperplane  $H$  contains all points of type  $F_{u_{j_1} \dots u_{j_m}}(0)$ , i.e.  $H \supset T_x^k X$ .  $\square$

**Corollary 2.**  $\dim T^{k-1} X \leq \dim_k X \leq \binom{n+k}{k} - 1$ .

The following fact will be used throughout the paper because one of our principal methods is taking projections from various subspaces.

**Proposition 3.** *If  $L \subset \mathbb{P}^N$  is a linear space, then for a general point  $x \in X$  and any  $k \geq 0$  one has  $\pi_L(T_x^k X) = T_{\pi_L(x)}^k \pi_L(X)$  and  $\pi_L(T^k X) = T^k(\pi_L(X))$ .*

*Proof.* This fact essentially follows from Remark 4 and definitions.  $\square$

**Proposition 4.** *If  $X \subset \mathbb{P}^N$  is a non-degenerate curve and  $k \leq N$ , then  $\dim_k X = k$ .*



*Proof.* Assume the opposite. Since  $\dim_0 X = 0$  and  $\dim_{j+1} X \geq \dim_j X$  for any  $j \geq 0$ , one can find an index  $l < k$  such that  $l = \dim_l X = \dim_{l+1} X$ . Hence, for a general point  $x \in X$  one has  $T_x^l X = T_x^{l+1} X$ . By Proposition 2 for a general point  $p \in T_x^l X$  it is true that  $T_p T^l X \subset T_x^{l+1} X = T_x^l X$ . Since  $T_x^l X \subset T_p T^l X$  and  $T_x^l X \subset T^l X$ ,  $T^l X = T_x^l X$ . Moreover,  $X \subset T^l X$ . Therefore  $T_x^l X = \mathbb{P}^N$  and  $l = \dim_l X = N$ . Since  $l < k \leq N$ , we obtain a contradiction.  $\square$

For any point  $x \in X$  we have three well-defined objects related to the notion of tangency:

- (1) the tangent (Zariski) space  $T_x X$ ;
- (2) the tangent star  $\Theta_x X$ , which is formed by all lines being limits of  $\langle y, z \rangle$ ,  $y, z \in X$ ,  $y \neq z$ , while  $y$  and  $z$  tend to  $x$ ;
- (3) the tangent cone  $T_x^1 X$ , which is formed by all lines being limits of  $\langle x, y \rangle$ ,  $y \in X$ ,  $y \neq x$ , while  $y$  tends to  $x$ ;

It is clear that  $T_x^1 X \subset \Theta_x X \subset T_x X$ . In this section we introduced the osculating cone of order 1  $T_x^1 X$ .

**Example 2.** If  $X = \text{Cone}_p(C) \subset \mathbb{P}^N$  is non-degenerate then

- (1)  $T_p X = \mathbb{P}^N$ ;
- (2)  $\Theta_p X = \text{Cone}_p(S(C))$ ;
- (3)  $T_p^1 X = \text{Cone}_p(C)$ ;
- (4)  $T_p^1 X = \text{Cone}_p(T^1 C)$ .

**Proposition 5.** For any point  $x \in X$  one has  $T_x^1 X \subset T_x^1 X \subset \Theta_x X \subset T_x X$ .

*Proof.* It is clear, that if  $x \in X$  is a smooth point, then all four objects coincide. If  $x$  is a singular point, then by definition,  $T_x^1 X$  is obtained as various limits of  $T_y^1 X$  while  $y$  tends to  $x$ ,  $y \in X$  is smooth. Since  $T_y^1 X = T_y X$ ,  $T_x^1 X$  consists of lines which are limits of lines, tangent to  $X$  at  $y$  while  $y$  tends to  $x$ . So,  $T_x^1 X \subset \Theta_x X$ .

On the other hand, for any line  $l \subset T_x^1 X$ ,  $l \ni x$ , there exists a curve  $K \subset X$  such that  $x \in K$  and  $T_x^1 K = l$  (e.g. one can take general intersection of  $X$  with a linear subspace of dimension  $\text{codim } X - 1$  containing  $l$ ). For this curve an easy local computation shows that  $T_x^1 K = T_x^1 K$ . Hence,  $l = T_x^1 K = T_x^1 K \subset T_x^1 X$ .  $\square$

From now on, we put  $TX = T^1 X$ . If for a point  $x \in X$  the osculating cone  $T_x^k X$  is a linear space of proper dimension ( $\dim_k X$ ), then we will call  $T_x^k X$  also *osculating space of order  $k$  at the point  $x$* .

One can see that the expected dimension for  $T^k X$  is  $\dim X + \dim_k X$ . If  $\dim T^k X \leq 1 + \dim_k X$ , then it is possible to give a full classification.

**Proposition 6.** *Suppose that  $X \subset \mathbb{P}^N$  is a non-degenerate variety.*

- (1) *If  $\dim T^k X = \dim_k X$  then  $T^k X = \mathbb{P}^N$ .*
- (2) *If  $\dim T^k X = 1 + \dim_k X$  then one of the following conditions holds:*
  - (a)  $T^k X = \mathbb{P}^N$ ;
  - (b) *one can find a (maybe empty) linear space  $M \subset \mathbb{P}^N$  and a curve  $C$  such that  $X \subset \text{Cone}_M(T^m C)$  and  $\dim M + m = \dim_k X - k - 1$ .*

*Proof.*

1. This is evident.

2. If  $\dim T^k X = 1 + \dim_k X$  and  $T^k X \neq \mathbb{P}^N$ , then there exists only one-dimensional family of different linear spaces  $T_x^k X$ , i.e.  $\dim T^k(X) = 1$ . Put  $K = T^k(X) \subset G(\dim_k X, N)$ . For a general point  $\alpha \in K$  put  $Y_\alpha = (T^k)^{-1}(\alpha) \subset X$ ,  $L_\alpha = \langle \bigcup_{x \in Y_\alpha} T_x^{k-1} X \rangle$ . Then the codimension of  $L_\alpha$  in  $\mathbb{P}_\alpha^{\dim_k X}$  is at most 1. Really, take a general point  $x \in Y_\alpha$  and use again the local parametrization of  $X$  in a small neighborhood of  $x$  as in Proposition 2, and suppose additionally that  $Y_\alpha$  is (locally) defined by the equation  $u_n = 0$ . By Proposition 2,  $L_\alpha$  contains the following points:

$$F(0), F_{u_1}(0), F_{u_n}(0), \dots, \underbrace{F_{u_1 \dots u_1 u_1}}_{k-1}(0), \underbrace{F_{u_1 \dots u_1 u_n}}_{k-1}(0), \dots, \underbrace{F_{u_n \dots u_n}}_{k-1}(0)$$

(because  $L_\alpha \supset T_x^{k-1} X$ ) and points of type  $F_{u_{j_1} \dots u_{j_{k-1}}}(u(t))$ , where  $u_i(t) = 0$  for  $i \neq j_k$  and  $u_{j_k}(t) = t$ ,  $t \in \mathbb{C}^1$  is a parameter from a small neighborhood of 0,  $1 \leq j_1, \dots, j_{k-1} \leq n$ ,  $1 \leq j_k < n$  (because  $L_\alpha \supset T_y^{k-1} X$ ,  $y \in Y_\alpha$ ). Hence,  $L_\alpha$  contains  $F_{u_{j_1} \dots u_{j_k}}(0)$ . Varying the numbers  $j_1, \dots, j_k$  one can obtain that  $\mathbb{P}_\alpha^{\dim_k X} = T_x^k X = \langle L_\alpha, \underbrace{F_{u_n \dots u_n}}_k(0) \rangle$ .

Put  $Z = \overline{\bigcup_{\alpha \in K} L_\alpha}$ . By our construction, there exists  $r$  such that

$$L_\alpha \subset S^r \left( \bigcup_{x \in Y_\alpha} T_x^{k-1} X \right)$$

and for any  $v < r$  one has  $L_\alpha \neq S^v \left( \bigcup_{x \in Y_\alpha} T_x^{k-1} X \right)$ . Therefore, for a general point  $q \in L_\alpha$  there exist points  $x_0, \dots, x_r \in Y_\alpha$  and points  $p_0, \dots, p_r \in T^{k-1} X$ ,  $p_i \in T_{x_i}^{k-1} X$ ,  $0 \leq i \leq r$ , such that  $q \in \langle p_0, \dots, p_r \rangle$ . Arguing as it is usually done in proving Terracini lemma, one has  $T_q Z \subset \langle T_{p_0} T^{k-1} X, \dots, T_{p_r} T^{k-1} X \rangle$ .

Moreover, for any  $i$ ,  $0 \leq i \leq r$ ,  $T_{p_i} T^{k-1} X \subset T_{x_i}^k X$ . Since  $x_i \in Y_\alpha$ ,  $T_{x_i}^k X = \mathbb{P}_\alpha^{\dim_k X}$ . So,  $T_{p_i} T^{k-1} X \subset \mathbb{P}_\alpha^{\dim_k X}$  and  $T_q Z \subset \mathbb{P}_\alpha^{\dim_k X}$ . Hence, we have an one-dimensional family of linear spaces  $L_\alpha$  such that for a general point  $q \in L_\alpha$  one has  $T_q Z$  depends only on  $\alpha$  ( $Z = \bigcup_{\alpha \in K} L_\alpha$ ). According to the classification of one-dimensional families of linear spaces (see e.g. [7,2.4]), there exist a linear space  $M$ , a curve  $C$ , a number  $l$  and a map  $\varphi : K \rightarrow C$  such that  $L_\alpha = \langle M, T_{\varphi(\alpha)}^l C \rangle$  and  $\dim L_\alpha = \dim M + l + 1$ . Moreover,  $\mathbb{P}_\alpha^{\dim_k X} = \langle M, T_{\varphi(\alpha)}^{l+1} C \rangle$  and  $\dim_k X = \dim M + l + 1 + 1$ . Since  $T^k X = Cone_M(T^{l+1} C)$ ,  $X \subset Cone_M(T^{l+1-k} C)$ . Put  $m = l + 1 - k$ . Then  $X \subset Cone_M(T^m C)$  and  $\dim_k X = \dim M + l + 1 + 1 = \dim M + (m - 1 + k) + 1 + 1 = \dim M + m + k + 1$ , i.e.  $\dim M + m = \dim_k X - k - 1$ .  $\square$

The following fact is well-known (e.g. [7], 5.37).

**Corollary 3.** *If  $X \subset \mathbb{P}^N$  is a non-degenerate surface such that  $\dim TX = 3$ , then  $N = 3$ ,  $X = Cone_p(C)$  or  $X = TK$ , where  $p \in \mathbb{P}^N$  is a point,  $C$  and  $K$  are curves.*

#### 4. Curves.

**Theorem 1.** *Suppose that  $C \subset \mathbb{P}^N$  is a non-degenerate curve. The variety  $T^k C$  is non- $h$ -defective for every  $h$  and  $k$ , i.e.  $\dim S^h(T^k C) = \min N, kh + k + 2h + 1$  for all  $k \geq 0, h \geq 0$ .*

*Proof.* We prove this fact by induction on  $h$ . For  $h = 0$  by Proposition 4,  $\dim T^k C = \min\{N, k + 1\}$ . Suppose, that  $T^k C$  is non- $h$ -defective. We claim that  $T^k C$  is non- $(h + 1)$ -defective. Since  $0 = \delta_h(T^k C) = \min\{N - \dim S^h(T^k C), d_h(T^k C)\}$ , one has either  $S^h(T^k C) = \mathbb{P}^N$  or  $d_h(T^k C) = 0$ . In the first case  $S^{h+1}(T^k C) = \mathbb{P}^N$ , and  $T^k C$  is non- $(h + 1)$ -defective. In the second case, by Proposition 1 applied to  $X = T^k C$  and  $m = h + 1$ , we have  $\dim \pi(T^k C) = \dim T^k C - d_{h+1}(T^k C) + d_h(T^k C) = k + 1 - d_{h+1}(T^k C)$ . But  $\pi(T^k C) = T^k(\pi(C))$ , and  $\dim \pi(T^k C) = \dim T^k(\pi(C)) = \min\{N - \dim S^h(T^k C) - 1, k + 1\} = \min\{N - (kh + k + 2h + 1) - 1, k + 1\}$ . Hence,  $d_{h+1}(T^k C) = k + 1 - \dim \pi(T^k C) = k + 1 - \min\{N - (kh + k + 2h + 2), k + 1\} = \max\{kh + 2k + 2h + 3 - N, 0\}$ ,  $\dim S^{h+1}(T^k C) = (k + 1)(h + 2) + h + 1 - d_{h+1}(T^k C) = kh + 2k + 2h + 3 - \max\{kh + 2k + 2h + 3 - N, 0\} = \min\{N, (k + 1)(h + 2) + h + 1\}$ .  $\square$

### 5. General property of surfaces with defective tangential varieties.

**Theorem 2.** *Suppose that  $\delta_h(TX) > 0$ . Then for general points  $x_0, \dots, x_h \in X$ ,  $p_0, \dots, p_h \in TX$ ,  $p_i \in T_{x_i}X$ ,  $0 \leq i \leq h$ ,  $q \in \langle p_0, \dots, p_h \rangle$  the linear space  $T_q S^h(TX)$  osculates  $X$  at the points  $x_0, \dots, x_h$  of order 2 (in other words,  $\langle T_{p_0}TX, \dots, T_{p_h}TX \rangle = \langle T_{x_0}^2X, \dots, T_{x_h}^2TX \rangle$ ).*

*Proof.* By Proposition 2,  $T_{x_i}^2X \supset T_{p_i}TX$  for  $0 \leq i \leq h$ . By the Terracini lemma  $T_q S^h(TX) = \langle T_{p_0}TX, \dots, T_{p_h}TX \rangle$ . Hence,

$$T_q S^h(TX) = \langle T_{p_0}TX, \dots, T_{p_h}TX \rangle \subset \langle T_{x_0}^2X, \dots, T_{x_h}^2TX \rangle.$$

So, it is enough to show that for any  $i$ ,  $0 \leq i \leq h$ , one has  $T_{x_i}^2X \subset \langle T_{p_0}TX, \dots, T_{p_h}TX \rangle = T_q S^h(TX)$ .

If  $\dim_2 X = 4$  or  $3$ , then  $\dim_2 X = \dim TX$  and  $T_{x_i}^2X = T_{p_i}TX$ . Really, if  $\dim TX = 4$  then  $\dim_2 X \geq 4$  and, hence,  $\dim_2 X = 4$ . If  $\dim TX = 3$ , then by Corollary 3  $X \subset \mathbb{P}^3$ ,  $X = \text{Cone}_t(K)$  or  $X = TK$  for a certain curve  $K$  and a point  $t$ . In all these cases one can see that  $\dim_2 X = 3 = \dim TX$ . Since  $T_{x_i}^2X = T_{p_i}TX$ ,  $T_{x_i}^2X \subset \langle T_{p_0}TX, \dots, T_{p_h}TX \rangle$ .

Consider the case  $\dim_2 X = 5$ . Since  $d_h(TX) > 0$ ,  $\exists k \leq h: d_k(TX) > 0$ ,  $d_{k-1}(TX) = 0$ .  $\dim S^k(TX) - \dim S^{k-1}(TX) = (5k+4-d_k(TX)) - (5(k-1)+4-d_{k-1}(TX)) = 5-d_k(TX) < 5$ . Take a general point  $q_1 \in \langle p_0, \dots, p_{k-1} \rangle$  and a general point  $q_2 \in \langle p_0, \dots, p_k \rangle$ . Then by the Terracini lemma  $T_{q_1} S^{k-1}(TX) = \langle T_{p_0}TX, \dots, T_{p_{k-1}}TX \rangle$ ,  $T_{q_2} S^k(TX) = \langle T_{p_0}TX, \dots, T_{p_k}TX \rangle$ . Therefore,  $\dim \langle T_{q_1} S^{k-1}(TX), T_{p_k}TX \rangle - \dim T_{q_1} S^{k-1}(TX) = \dim T_{q_2} S^k(TX) - \dim T_{q_1} S^{k-1}(TX) = \dim S^k(TX) - \dim S^{k-1}(TX) = 5 - d_k(TX)$ . Hence,  $\dim T_{q_1} S^{k-1}(TX) \cap T_{p_k}TX = 4 - (5 - d_k(TX)) = d_k(TX) - 1 \geq 0$ . Let us vary  $p_k \in T_{x_k}X$ . Then all tangent spaces  $T_{p_k}TX$  under the projection from  $T_{x_k}X$  will be mapped to tangent lines to some conic in the plane  $\pi_{T_{x_k}X}(T_{x_k}^2X)$ . So, in order for  $T_{q_1} S^{k-1}(TX)$  to intersect  $T_{p_k}TX$  in a linear space of dimension  $d_k(TX) - 1$  for general  $p_k \in T_{x_k}X$  we need either  $\dim T_{q_1} S^{k-1}(TX) \cap T_{x_k}X = d_k(TX) - 1$  or  $\dim T_{q_1} S^{k-1}(TX) \cap T_{x_k}^2X = d_k(TX)$ . In the first case  $d_k(TX) - 1 \leq \dim T_{x_k}X = 2$ ,  $d_k(TX) \leq 3$ . After the projection  $\pi$  from  $T_{q_1} S^{k-1}(TX)$  we have  $\dim T_{\pi(x_k)}\pi(X) = 2 - (d_k(TX) - 1) - 1 = 2 - d_k(TX)$ . Since  $x_k \in X$  is general,  $\dim \pi(X) = 2 - d_k(TX)$ . If  $d_k(TX) = 3$  or  $2$  then  $\pi(X)$  is an empty set or a point respectively, and  $T_{\pi(x_k)}^2\pi(X) = \pi(X) = T_{\pi(x_k)}\pi(X)$ . Hence,  $\pi(T_{x_k}^2X) = \pi(T_{x_k}X)$ , and  $T_{x_k}^2X \subset \langle T_{q_1} S^{k-1}(TX), T_{x_k}X \rangle = T_{q_2} S^k(TX)$ . If  $d_k(TX) = 1$  then  $\pi(X)$  is a curve,  $\dim T_{\pi(x_k)}^2\pi(X) \leq 2$ . Therefore,  $\dim \langle T_{q_1} S^{k-1}(TX), T_{x_k}^2X \rangle \leq \dim T_{q_1} S^{k-1}(TX) + 3$ , and  $\dim T_{q_1} S^{k-1}(TX) \cap T_{x_k}^2X \geq 2$ . But in this case for general  $p_k \in T_{x_k}X$ ,  $\dim T_{q_1} S^{k-1}(TX) \cap T_{p_k}TX \geq$

1 because  $T_{p_k}TX$  is a hyperplane in  $T_{x_k}^2X$ . Since  $\dim T_{q_1}S^{k-1}(TX) \cap T_{p_k}TX = d_k(TX) - 1$ ,  $d_k(TX) \geq 2$ , which is not the case.

If  $\dim T_{q_1}S^{k-1}(TX) \cap T_{x_k}^2X = d_k(TX)$ , then

$$\begin{aligned} \dim \langle T_{q_1}S^{k-1}(TX), T_{x_k}^2X \rangle &= \dim T_{q_1}S^{k-1}(TX) + 5 - d_k(TX) = \\ &= (5k + 4) + 5 - d_k(TX) = \dim T_{q_2}S^k(TX). \end{aligned}$$

Since  $\langle T_{q_1}S^{k-1}(TX), T_{x_k}^2X \rangle \supset \langle T_{q_1}S^{k-1}(TX)T_{p_k}TX \rangle = T_{q_2}S^k(TX)$ ,  $\langle T_{q_1}S^{k-1}(TX), T_{x_k}^2X \rangle = T_{q_2}S^k(TX)$ , and  $T_{x_k}^2X \subset T_{q_2}S^k(TX)$ .

Using the symmetry and that  $T_qS^h(TX) \supset T_{q_2}S^k(TX)$ , one can obtain that  $T_{x_i}^2X \subset T_qS^h(TX)$  for any  $i$ ,  $0 \leq i \leq h$  and, hence,  $T_qS^h(TX)$  osculates of order 2 to  $X$  at the points  $x_0, \dots, x_h$ .  $\square$

**Corollary 4.**  $\delta_h(TX) > 0$  iff  $\min\{5h + 4, N\} - \dim \langle T_{x_0}^2X, \dots, T_{x_h}^2X \rangle > 0$ . Moreover, in this case  $\delta_h(TX) = \min\{5h + 4, N\} - \dim \langle T_{x_0}^2X, \dots, T_{x_h}^2X \rangle$ .

*Proof.* By the Terracini lemma, one has for general points  $p_0, p_1, \dots, p_h \in TX$  and  $q \in \langle p_0, \dots, p_h \rangle$ , that  $T_qS^h(TX) = \langle T_{p_0}TX, \dots, T_{p_h}TX \rangle$  and  $\dim S^h(TX) = \dim \langle T_{p_0}TX, \dots, T_{p_h}TX \rangle$ . Suppose that  $x_0, \dots, x_h \in X$  are points such that  $\forall i, 0 \leq i \leq h, p_i \in T_{x_i}X$ . Then by Proposition 2  $\forall i, T_{p_i}TX \subset T_{x_i}^2$ . Hence,  $\delta_h(TX) = \min\{(h + 1) \dim TX + h, N\} - \dim \langle T_{p_0}TX, \dots, T_{p_h}TX \rangle \geq \min\{5h + 4, N\} - \dim \langle T_{x_0}^2X, \dots, T_{x_h}^2X \rangle$ . So, if  $\min\{5h + 4, N\} - \dim \langle T_{x_0}^2X, \dots, T_{x_h}^2X \rangle > 0$ , then  $\delta_h(TX) > 0$ .

By Theorem 2, in the case when  $\delta_h(TX) > 0$  one has

$$\langle T_{p_0}TX, \dots, T_{p_h}TX \rangle = \langle T_{x_0}^2X, \dots, T_{x_h}^2X \rangle$$

and, hence,  $\delta_h(TX) = \min\{5h + 4, N\} - \dim \langle T_{x_0}^2X, \dots, T_{x_h}^2X \rangle > 0$ .  $\square$

## 6. Examples of surfaces with defective tangential varieties.

### General examples 6.1.

#### Proposition 7.

- (1) If  $X = v_3(\mathbb{P}^2) \subset \mathbb{P}^9$ , then  $TX$  is 1-defective and  $\delta_1(TX) = 1$ ;
- (2) If  $X \subset \text{Cone}_L(TC)$ , where  $L \subset \mathbb{P}^N$  is a linear subspace,  $0 \leq \dim L \leq h - 1$ ,  $C$  is a curve,  $N \geq \dim L + 4h + 5$ ,  $\pi_L(X) = TC$ ,  $X \neq TK$  for any curve  $K$ ,  $h \geq 1$ , then  $TX$  is  $h$ -defective and  $\delta_h(TX) = \min\{h -$

$\dim L_{\min}, N - \dim L_{\min} - 4h - 4\}$ , where  $L_{\min}$  is such a linear space of minimal dimension;

- (3) If  $X \subset \text{Cone}_L(C)$ , where  $L \subset \mathbb{P}^N$  is a linear subspace,  $0 < \dim L \leq 2h$ ,  $C$  is a curve,  $N \geq \dim L + 3h + 4$ ,  $X \neq \text{Cone}_p(K)$  for any point  $p$  and any curve  $K$ ,  $h \geq 1$ , then  $TX$  is  $h$ -defective and  $\delta_h(TX) = \min\{2h + 1 - \dim L_{\min}, N - \dim L_{\min} - 3h - 3\}$ , where  $L_{\min}$  is such a linear space of minimal dimension;
- (4)  $X = \text{Cone}_p(C)$ , where  $p \in \mathbb{P}^N$  is a point,  $C$  is a curve,  $N > 3h + 3$ , then  $TX$  is  $h$ -defective and  $\delta_h(TX) = \min\{N - 3h - 3, h\}$ .

*Proof.* 1.  $X = v_3(\mathbb{P}^2) \subset \mathbb{P}^9$ ,  $h = 1$ . Let us show that for general points  $x_0, x_1 \in X$  one has  $\dim\langle T_{x_0}^2 X, T_{x_1}^2 X \rangle = 8$ . For any hyperplane  $H \subset \mathbb{P}^9$ ,  $v_3^{-1}(X \cap H)$  is a cubic. Moreover,  $H \supset T_x^2 X$  iff the cubic  $v_3^{-1}(X \cap H)$  has multiplicity 3 at the point  $v_3^{-1}(x) \in \mathbb{P}^2$ . Hence,  $H \supset \langle T_{x_0}^2 X, T_{x_1}^2 X \rangle$  iff the cubic  $v_3^{-1}(X \cap H)$  has multiplicity at least 3 at the points  $v_3^{-1}(x_0)$  and  $v_3^{-1}(x_1)$ , i. e.  $v_3^{-1}(X \cap H)$  is a triple line  $\langle v_3^{-1}(x_0), v_3^{-1}(x_1) \rangle$ . Since the map  $v_3 : \mathbb{P}^2 \dashrightarrow \mathbb{P}^9$  is defined by the complete linear system of cubics in  $\mathbb{P}^2$ , there exists a unique hyperplane  $H$  with this property. So,  $\dim\langle T_{x_0}^2 X, T_{x_1}^2 X \rangle = 8$ . Therefore, by Corollary 4,  $\delta_1(TX) = \min\{5 \cdot 1 + 4, N\} - \dim\langle T_{x_0}^2 X, T_{x_1}^2 X \rangle = \min\{9, 9\} - 8 = 9 - 8 = 1$ .

2. If  $X \subset \text{Cone}_L(TC)$ ,  $\pi_L(X) = TC$  and  $X \neq TK$  for any curve  $K$ , then  $\dim TX = 4$ . Really, if  $\dim TX = 3$  then by Corollary 3  $X$  is a surface in  $\mathbb{P}^3$ , a cone  $\text{Cone}_p(K)$ , or  $X = TK$  for a certain curve  $K$ . The first is not the case because  $N \geq \dim L + 4h + 5 \geq 5$ . The second is not the case because for any linear space  $L$ ,  $\pi_L(X)$  could be a curve or a cone over a curve, but not  $TC$ . The last is not the case by the hypothesis.

Since  $X \subset \text{Cone}_L(TC)$  and  $\pi_L(X) = TC$ , for a general point  $p \in TX$  one has  $\pi_L(T_p TX) = T_{\pi_L(p)} T \pi_L(X) = T_{\pi_L(p)} T^2 C = T_y^3 C$ , where  $y \in C$  is a point such that  $\pi_L(p) \in T_y^2 C$ . Hence  $\dim \pi_L(T_p TX) = \dim T_y^3 C = 3$ , and, since  $\dim T_p TX = \dim TX = 4$ ,  $\dim L \cap T_p TX = 0$ . Suppose that  $L$  has minimal possible dimension. Since  $\dim L \leq h - 1$ , for general points  $p_0, \dots, p_h \in TX$  one has  $\dim\langle L \cap T_{p_0} TX, \dots, L \cap T_{p_h} TX \rangle \leq h - 1$ , and  $\langle L \cap T_{p_0} TX, \dots, L \cap T_{p_h} TX \rangle = \langle L \cap T_{p_0} TX, \dots, L \cap T_{p_m} TX \rangle$  for some  $m < h$ ; so, for general  $p_{m+1} \in TX$  one has  $L \cap T_{p_{m+1}} TX \in \langle L \cap T_{p_0} TX, \dots, L \cap T_{p_m} TX \rangle$ . Put  $M = \langle L \cap T_{p_0} TX, \dots, L \cap T_{p_m} TX \rangle$ . Then, for a general point  $p \in TX$ ,  $\dim M \cap T_p TX = 0$  and  $\dim \pi_M(T_p TX) = 3$ . So,  $\dim T \pi_M(X) = \dim \pi_M(TX) = 3$ , and by Corollary 3,  $\pi_M(X)$  is a surface in  $\mathbb{P}^3$ , a cone over a curve, or  $TK$  for some curve  $K$ . But  $M \subseteq L$ ,  $N \geq \dim L + 4h + 5$  and  $\pi_L(X) = \pi_{\pi_M(L)}(\pi_M(X))$  is  $TC$ , so,  $\pi_M(X)$  can only be  $TK$  for some curve  $K$ . Hence,  $X \subset \text{Cone}_M(TK)$ . Since  $L$  has minimal dimension and  $M \subseteq L$ , one has  $M = L$ . Therefore  $L = \langle L \cap T_{p_0} TX, \dots, L \cap$

$T_{p_m}TX\rangle = \langle L \cap T_{p_0}TX, \dots, L \cap T_{p_h}TX\rangle$  and  $L \subset \langle T_{p_0}TX, \dots, T_{p_h}TX\rangle$ . So,  $\dim\langle T_{p_0}TX, \dots, T_{p_h}TX\rangle = \dim L + 1 + \dim\langle T_{y_0}^3C, \dots, T_{y_h}^3C\rangle$ , where  $y_0, \dots, y_h \in C$  are points such that  $\pi_L(p_i) \in T_{y_i}^2C$  ( $0 \leq i \leq h$ ). Since  $N \geq \dim L + 4h + 5$ ,  $\dim\langle C\rangle = N - \dim L - 1 \geq (4h + 5) - 1 = 4h + 4$ . So, by Theorem 1,  $\dim\langle T_{y_0}^3C, \dots, T_{y_h}^3C\rangle = \min\{N - \dim L - 1, 4h + 3\} = 4h + 3$ . Therefore  $\delta_h(TX) = \min\{5h + 4, N\} - \dim\langle T_{p_0}TX, \dots, T_{p_h}TX\rangle = \min\{5h + 4, N\} - (\dim L + 1 + (4h + 3)) = \min\{5h + 4 - \dim L - 1 - (4h + 3), (N - \dim L) - 1 - (4h + 3)\} = \min\{h - \dim L, N - \dim L - 4h - 4\}$ .

3. If  $X \subset Cone_L(C)$  and  $X \neq Cone_p(K)$  for any curve  $K$  and any point  $p$ , then  $\dim TX = 4$ . Really, if  $\dim TX = 3$  then by Corollary 3  $X$  is a surface in  $\mathbb{P}^3$ , a cone  $Cone_p(K)$ , or  $X = TK$  for a certain curve  $K$ . The first is not the case because  $N \geq \dim L + 3h + 4 \geq 4$ . The second is not the case because of the hypothesis. The last is not the case because if  $X = TK \subset Cone_L C$ , then for a general point  $x \in K$ ,  $\pi_L(T_x K) \subset \pi_L(X) = C$  is a point or a line. Since  $C$  is not a line ( $\dim\langle C\rangle = N - \dim L - 1 \geq 3h + 3 \geq 3$ ),  $\pi_L(T_x K)$  is a point. So,  $\pi_L(K)$  is a point and  $X$  is degenerate, which is not the case.

If  $X \subset Cone_L(C)$  then for a general point  $p \in TX$  one has  $\pi_L(T_p TX) = T_{\pi_L(p)}T\pi_L(X) = T_{\pi_L(p)}TC = T_y^2C$ , where  $y \in C$  is a point such that  $\pi_L(p) \in T_y C$ . Hence  $\dim \pi_L(T_p TX) = \dim T_y^2C = 2$ , and, since  $\dim T_p TX = \dim TX = 4$ ,  $\dim L \cap T_p TX = 1$ . Suppose that  $L$  has minimal possible dimension. Since  $\dim L \leq 2h$ , for general points  $p_0, \dots, p_h \in TX$  one has  $\dim\langle L \cap T_{p_0}TX, \dots, L \cap T_{p_h}TX\rangle \leq 2h$ , and  $\langle L \cap T_{p_0}TX, \dots, L \cap T_{p_{h-1}}TX\rangle \cap \langle L \cap T_{p_h}TX\rangle \neq \emptyset$ . If  $\dim(L \cap T_{p_h}TX) \cap \langle L \cap T_{p_0}TX, \dots, L \cap T_{p_{h-1}}TX\rangle = 1$ , then  $L \cap T_{p_h}TX \subset \langle L \cap T_{p_0}TX, \dots, L \cap T_{p_{h-1}}TX\rangle$  and  $\dim T_{\pi(p)}T\pi(X) = \dim \pi(T_p TX) = 4 - 1 - 1 = 2$ , where  $\pi$  is the projection from  $\langle L \cap T_{p_0}TX, \dots, L \cap T_{p_{h-1}}TX\rangle \subset L$ . Since  $p_h \in TX$  is a general point,  $\pi(X)$  is a curve. Since  $L$  has minimal dimension,  $\dim L \leq \dim\langle L \cap T_{p_0}TX, \dots, L \cap T_{p_{h-1}}TX\rangle$ . So,  $L = \langle L \cap T_{p_0}TX, \dots, L \cap T_{p_{h-1}}TX\rangle = \langle L \cap T_{p_0}TX, \dots, L \cap T_{p_h}TX\rangle$ .

If  $\dim(L \cap T_{p_h}TX) \cap \langle L \cap T_{p_0}TX, \dots, L \cap T_{p_{h-1}}TX\rangle = 0$ , one has  $\dim T_{\pi(p_h)}T\pi(X) = \dim \pi(T_{p_h}TX) = 3$ , where  $\pi$  is the projection from  $\langle L \cap T_{p_0}TX, \dots, L \cap T_{p_{h-1}}TX\rangle \subset L$ . Since  $p_h \in TX$  is a general point,  $\dim \pi(TX) = 3$ . So by Corollary 3,  $\pi(X)$  is a surface in  $\mathbb{P}^3$ ,  $Cone_q K$ , or  $TK$  for some curves  $C$  and  $K$  and a point  $q$ . But since  $X \subset Cone_L(C)$  and  $N \geq \dim L + 3h + 4$ ,  $\pi(X) \subset Cone_{\pi(L)}(C)$ ,  $\pi(X)$  can only be of the type  $Cone_q(K)$ , and  $\pi(L) = q$  because  $L$  has minimal dimension. So,  $\pi(L) = q \in T_{\pi(p_h)}T\pi(X) = \pi(T_{p_h}TX)$ , and  $L \subset \langle T_{p_h}TX, \langle L \cap T_{p_0}TX, \dots, L \cap T_{p_{h-1}}TX\rangle\rangle = \langle L \cap T_{p_0}TX, \dots, L \cap T_{p_h}TX\rangle$ .

So,  $L = \langle L \cap T_{p_0}TX, \dots, L \cap T_{p_h}TX\rangle$ . Hence,  $\dim\langle T_{p_0}TX, \dots, T_{p_h}TX\rangle =$

$\dim L + 1 + \dim \langle T_{y_0}^2 C, \dots, T_{y_h}^2 C \rangle$ , where  $y_0, \dots, y_h \in C$  are points such that  $\pi_L(p_i) \in T_{y_i} C$  ( $0 \leq i \leq h$ ). Since  $N \geq \dim L + 3h + 4$ ,  $\dim \langle C \rangle = N - \dim L - 1 \geq 3h + 3$ . So, by Theorem 1,  $\dim \langle T_{\pi_L(y_0)}^2 C, \dots, T_{\pi_L(y_h)}^2 C \rangle = \min\{N - \dim L - 1, 3h + 2\} = 3h + 2$ . Therefore  $\delta_h(TX) = \min\{5h + 4, N\} - \dim \langle T_{p_0} TX, \dots, T_{p_h} TX \rangle = \min\{5h + 4, N\} - (\dim L + 1 + (3h + 2)) = \min\{5h + 4 - \dim L - 3h - 3, N - \dim L - 3h - 3\} = \min\{2h + 1 - \dim L, N - \dim L - 3h - 3\}$ .

4. If  $X = \text{Cone}_p(C)$ , then  $TX = \text{Cone}_p(TC)$  and  $S^h(TX) = \text{Cone}_p(S^h(TC))$ . By Theorem 1, the dimension of  $S^h(TC)$  is always equal to the expected one, therefore, since  $C \subset \mathbb{P}^{N-1}$  is a non-degenerate curve,  $\dim S^h(TX) = 1 + \dim S^h(TC) = 1 + \min\{N - 1, 3h + 2\}$ . So,  $\dim S^h(TX) = \min\{N, 3h + 3\} = 3h + 3$ . Hence  $\delta_h(TX) = \min\{N, 3(h + 1) + h\} - \dim S^h(TX) = \min\{N, 4h + 3\} - 3h - 3 = \min\{N - 3h - 3, h\}$ .

**Proposition 8.** *The different classes of surfaces described in Proposition 7 have empty intersection with each other even for different values of  $h$ .*

*Proof.* It is clear, that the surfaces described in items 1 and 4 cannot belong to other classes.

Assume that there exists a surface  $X \subset \mathbb{P}^N$  such that the following conditions hold:

2.  $X \subset \text{Cone}_{L_1}(TC_1)$ , where  $L_1 \subset \mathbb{P}^N$  is a linear subspace,  $0 \leq \dim L_1 \leq h_1 - 1$ ,  $C_1$  is a curve,  $N \geq \dim L_1 + 4h_1 + 5$ ,  $\pi_{L_1}(X) = TC_1$ ,  $X \neq TK$  for any curve  $K$ ,  $h_1 \geq 1$ ;
3.  $X \subset \text{Cone}_{L_2}(C_2)$ , where  $L_2 \subset \mathbb{P}^N$  is a linear subspace,  $0 < \dim L_2 \leq 2h_2$ ,  $C_2$  is a curve,  $N \geq \dim L_2 + 3h_2 + 4$ ,  $X \neq \text{Cone}_p(K)$  for any point  $q$  and any curve  $K$ ,  $h_2 \geq 1$ .

Then, as we saw above, for a general point  $x \in X$ ,  $p \in TX$ ,  $\dim L_1 \cap T_p TX = 0$ ,  $\dim L_2 \cap T_p TX = 1$ .

If  $L_1 \cap T_p TX \subset L_2$ , then for  $L = L_1 \cap L_2$  one has  $\dim L \cap T_p TX = 0$  and  $3 = \dim \pi_L(T_p TX) = \dim T_{\pi_L(p)} T\pi_L(X) = \dim T\pi_L(X)$ , where  $\pi_L$  is the projection from  $L$ . Hence, by Corollary 3,  $\pi_L(X)$  is a surface in  $\mathbb{P}^3$ ,  $TC$  or  $\text{Cone}_r(C)$  for a certain curve  $C$  and a point  $r$ . Since  $\dim \pi_L(\mathbb{P}^N) = N - \dim L - 1 \geq N - \dim L_1 - 1 \geq 4h_1 + 5 \geq 9$ ,  $\pi_L(X)$  cannot be a surface in  $\mathbb{P}^3$ . Moreover, since  $L \subset L_1$ , after taking the projection from  $\pi_L(L_1)$ , one has  $\pi_{\pi_L(L_1)} \pi_L(X) = \pi_{L_1}(X) = TC_1$ . So,  $X = TC$ . On the other hand, since  $L \subset L_2$ , after taking the projection from  $\pi_L(L_2)$ , one has  $\pi_{\pi_L(L_2)} \pi_L(X) = \pi_{L_2}(X) = C_2$ , i.e.  $\pi_{\pi_L(L_2)} TC = C_2$ . Hence, for a general point  $y \in C$ ,  $T_y C \cap \pi_L(L_2) \neq \emptyset$ . So,  $\pi_{\pi_L(L_2)} C$  is a point, but not a curve, which is impossible.



Therefore,  $L_1 \cap T_p TX \not\subset L_2$ . Hence, after the projection from  $L_2$  one has  $\pi_{L_2}(L_1) \cap T_{\pi_{L_2}(p)} T\pi_{L_2}(X) = \pi_{L_2}(L_1 \cap T_p TX) \neq \emptyset$ . Since  $\pi_{L_2}(X) = C_2$ ,  $T_{\pi_{L_2}(p)} T\pi_{L_2}(X) = T_y^2 C_2$  for a certain point  $y \in C_2$ . Since  $p \in TX$  is a general point,  $\pi_{L_2}(L_1) \cap T_y^2 C_2 \neq \emptyset$  for a general point  $y \in C_2$ . So, after taking the projection from  $\pi_{L_2}(L_1)$  one has  $\dim_2 \pi_{\pi_{L_2}(L_1)}(C_2) = \dim \pi_{\pi_{L_2}(L_1)}(T_y^2 C_2) \leq 2 - 1 = 1$ . By Proposition 4,  $\langle \pi_{\pi_{L_2}(L_1)}(C_2) \rangle \leq 1$ . Hence, the codimension of  $\pi_{L_2}(L_1)$  in  $\pi_{L_2}(\mathbb{P}^N)$  is not more than 2, which is equivalent to  $\dim \langle L_1, L_2 \rangle \geq N - 2$ .

But on the other hand from the restrictions for  $L_1$  one has  $N \geq \dim L_1 + 4h_1 + 5 \geq \dim L_1 + 4(\dim L_1 + 1) + 5 = 5 \dim L_1 + 9$  or  $\dim L_1 \leq \frac{N-9}{5}$ . From the restrictions for  $L_2$  one has  $N \geq \dim L_2 + 3h_2 + 4 \geq \dim L_2 + 3 \frac{\dim L_2}{2} + 4 = \frac{5}{2} \dim L_2 + 4$  or  $\dim L_2 \leq \frac{2(N-4)}{5}$ . So,  $\dim L_1 + \dim L_2 \leq \frac{N-9}{5} + \frac{2(N-4)}{5} = \frac{3N-17}{5} < \frac{5N-15}{5} = N-3$  and  $\dim \langle L_1, L_2 \rangle \leq \dim L_1 + \dim L_2 + 1 < N-3+1 = N-2$ . This contradiction proves our Proposition.  $\square$

**6.2. Smooth examples** There exist smooth varieties among the surfaces with defective tangent surfaces described in Proposition 7.

- (1)  $X = v_3(\mathbb{P}^2)$  (this example was known to Bronowski, see [2,3]).
- (2) If  $X = Scroll_{2h-1,k}$ ,  $k \geq 3(h+1)$ , then  $X \subset Cone_L(C)$  for  $C = v_k(\mathbb{P}^1)$  and a certain  $L \subset \mathbb{P}^N$ ,  $\dim L = 2h-1$ ;  $\dim_2 X = 4$ . One has  $\delta_{h+l}(TX) = \min\{2(h+l)+1-(2h-1), (k+(2h-1)+1)-(2h-1)-3(h+l)-3\} = \min\{2l+2, k-3h-3-3l+1\}$ , and  $\delta_{h+l}(TX) > 0$  iff  $0 \leq l \leq \frac{k-3(h+1)}{3}$ .
- (3) If  $X = Scroll_{2h,k}$ ,  $k \geq 3(h+1)$ , then  $X \subset Cone_L(C)$  for  $C = v_k(\mathbb{P}^1)$  and a certain  $L \subset \mathbb{P}^N$ ,  $\dim L = 2h$ ;  $\dim_2 X = 4$ . One has  $\delta_{h+l}(TX) = \min\{2(h+l)+1-2h, (k+2h+1)-2h-3(h+l)-3\} = \min\{2l+1, k-3h-3-3l+1\}$ , and  $\delta_{h+l}(TX) > 0$  iff  $0 \leq l \leq \frac{k-3(h+1)}{3}$ .
- (4) If  $X = Scroll_{0,2h-1,k} \cap G$ , where  $k \geq 3(h+1)$ ,  $G \subset \mathbb{P}^N$  is a non-degenerate general hypersurface, then  $X \subset Cone_L(C)$ , for  $C = v_k(\mathbb{P}^1)$  and a certain  $L \subset \mathbb{P}^N$ ,  $\dim L = 2h$ ;  $\dim_2 X = 5$ . As we saw above,  $\delta_{h+l}(TX) = \min\{2l+1, k-3h-3-3l+1\}$ , and  $\delta_{h+l}(TX) > 0$  iff  $0 \leq l \leq \frac{k-3(h+1)}{3}$ . Particularly, for  $h = 1$  we obtain 1-defective surface  $X$  with  $\delta_1(TX) = 1$ ,  $X \subset Cone_L(C)$ , where  $L$  is a plane.

**7. Main theorem.**

Let  $X$  be a non-degenerate surface in  $\mathbb{P}^N$ ,  $N > 2$ .

**Theorem 3.** *The tangential variety  $TX$  to a surface  $X \subset \mathbb{P}^N$  has positive  $h$ -th-defect iff the pair of  $X$  and  $h$  is one of the pairs described in Proposition*

7.

**Corollary 5.** *The tangential variety  $TX \subset \mathbb{P}^N$  to a surface  $X \subset \mathbb{P}^N$  is  $h$ -defective iff  $X$  is one of the following surfaces:*

- (1)  $v_3(\mathbb{P}^2) \subset \mathbb{P}^9$ ,  $h = 1$ ;  $\delta_1(TX) = 1$ ;
- (2)  $\text{Cone}_p(C)$ , where  $p \in \mathbb{P}^N$  is a point,  $C$  is a curve,  $N \geq 7$ ,  $h = 1$ ;  $\delta_1(TX) = 1$ ;
- (3) a non-degenerate subvariety of  $\text{Cone}_L(TC)$ , where  $L \subset \mathbb{P}^N$  is a linear subspace,  $\dim L = h-1$ ,  $C$  is a curve,  $N \geq 5h+4$ , such that  $\pi_L(X) = TC$  and for any (even empty) linear subspace  $l \subset L$ ,  $\dim T(\pi_l(X)) > 3$ ;  $\delta_h(TX) = 1$ ;
- (4) a non-degenerate subvariety of  $\text{Cone}_L(C)$ , where  $L \subset \mathbb{P}^N$  is a linear subspace,  $2h - 1 \leq \dim L \leq 2h$ ,  $C$  is a curve,  $N \geq \dim L + 3h + 4$ , such that for any linear subspace  $l \subset L$   $\dim \pi_l(X) = 2$ ;  $\delta_h(TX) = \min\{2h + 1 - \dim L, N - \dim L - 3h - 3\}$ .

### 8. Proof of Theorem 3, the case $\dim TX = 3$ .

In this case by Corollary 3,  $X$  is a surface in  $\mathbb{P}^3$ , a cone  $\text{Cone}_p(C)$  over a curve  $C$ , or  $X = TK$  for a certain curve  $K$ .

1. If  $X \subset \mathbb{P}^3$  then  $TX = \mathbb{P}^3 = S^h(TX)$ , and the dimension of  $S^h(TX)$  is equal to the expected one for any  $h$ .

2. If  $X = \text{Cone}_p(C)$ , then as we saw in the proof of Proposition 7, item 4,  $\dim S^h(TX) = \min\{N, 3h + 3\}$  and  $\delta_h(TX) = \min\{N, 3(h + 1) + h\} - \dim S^h(TX) = \min\{N, 4h + 3\} - \min\{N, 3h + 3\}$ . Therefore  $\delta_h(TX) > 0$  iff  $N > 3h + 3$  ( $N \geq 3h + 4$ ).

3. If  $X = TK$  then  $TX = T^2K$ , which is always non- $h$ -defective by Theorem 1.

### 9. Proof of Theorem 3, the case $\dim TX = 4$ , $h = 1$ .

By Corollary 2 applied to  $k = n = 2$ ,  $4 \leq \dim_2 X \leq 5$ .

Consider general points  $x, y \in X$ ,  $p \in T_x X$ ,  $r \in T_y X$ ,  $q \in \langle p, r \rangle$ . Since  $\delta_1(TX) > 0$ , by Theorem 2  $T_q S(TX) = \langle T_x^2 X, T_y^2 X \rangle$ , and  $\dim \langle T_x^2 X, T_y^2 X \rangle < \min\{9, N\}$ .

Hence,  $\dim T_x^2 X \cap T_y^2 X = \dim T_x^2 X + \dim T_y^2 X - \dim \langle T_x^2 X, T_y^2 X \rangle \geq 2 \dim_2 X - \min\{9, N\} + 1 = \max\{2 \dim_2 X - 8, 2 \dim_2 X - N + 1\}$ . Fix  $x \in X$  and consider the projection  $\pi$  from  $T_x^2 X$ ,  $\pi : \mathbb{P}^N \dashrightarrow \mathbb{P}^{N - \dim_2 X - 1}$ . Then for

a general point  $y \in X$ ,  $T_{\pi(y)}^2 \pi(X) = \pi(T_y^2 X)$ , so  $\dim T_{\pi(y)}^2 \pi(X) \leq \dim_2 X - \max\{2 \dim_2 X - 8, 2 \dim_2 X - N + 1\} - 1 = \min\{7 - \dim_2 X, N - \dim_2 X - 2\} \leq 7 - \dim_2 X \leq 7 - 4 = 3$ .

If  $\dim T_{\pi(y)}^2 \pi(X) \leq 1$ , then  $\pi(X) = \langle \pi(X) \rangle = \dim T_{\pi(y)}^2 \pi(X)$ , and  $X \subset \langle T_x^2 X, T_y^2 X \rangle$ . Hence,  $\dim \langle T_x^2 X, T_y^2 X \rangle = N$ , which is impossible because  $\dim \langle T_x^2 X, T_y^2 X \rangle < \min\{9, N\}$ .

If  $\dim T_{\pi(y)}^2 \pi(X) = 2$ , then  $\pi(X)$  is a curve. Since  $\dim T_{\pi(y)}^2 \pi(X) \leq \min\{7 - \dim_2 X, N - \dim_2 X - 2\} \leq N - \dim_2 X - 2$ ,  $N \geq \dim_2 X + 4$ . More,  $\dim T_x^2 X \cap T_y^2 X = \dim T_y^2 X - \dim T_{\pi(y)}^2 \pi(X) - 1 = \dim_2 X - 3$ .

In the case  $\dim T_{\pi(y)}^2 \pi(X) = 3$ ,  $\pi(X)$  is a surface. Since  $\dim T_{\pi(y)}^2 \pi(X) \leq \min\{7 - \dim_2 X, N - \dim_2 X - 2\}$ ,  $7 - \dim_2 X \geq 3$  and  $N - \dim_2 X - 2 \geq 3$ , which is equivalent to  $\dim_2 X \leq 4$  and  $N \geq \dim_2 X + 5$ . Since  $\dim_2 X \geq 4$ ,  $\dim_2 X = 4$  and  $N \geq 9$ . More,  $\dim T_x^2 X \cap T_y^2 X = \dim T_y^2 X - \dim T_{\pi(y)}^2 \pi(X) - 1 = \dim_2 X - 3 - 1 = 0$ .

**9.1.**  $\dim \pi(X) = 1$ . In this case  $N \geq \dim_2 X + 4$ ,  $\dim T_x^2 X \cap T_y^2 X = \dim_2 X - 3$ .

**Proposition 9.** *If  $\dim \pi(X) = 1$ , then one of the following conditions holds:*

- (1)  $X \subset \text{Cone}_L(C)$ ,  $\dim L = \dim_2 X - 3$ ,  $C$  is a curve ( $N \geq \dim_2 X + 4 = \dim L + 7$ );
- (2)  $\dim_2 X = 5$ ,  $N = 9$ ,  $X = v_3(\mathbb{P}^2)$ .

*Proof.* Consider a general fiber  $K = \overline{X \cap (\pi^{-1}(\pi(y)) \setminus T_x^2 X)}$  for a general point  $y \in X$ . Take another general point  $z \in K \subset X$ . If  $K \not\subset T_z^2 X$  consider the projection  $\pi' = \pi_{T_z^2 X}$ . Since  $\dim T_x^2 X \cap T_z^2 X = \dim_2 X - 3$  and  $z \in \pi^{-1}(\pi(y)) \supset T_x^2 X$ ,  $\dim T_z^2 X \cap \pi^{-1}(\pi(y)) = \dim_2 X - 2$ , and  $\dim \pi'(\pi^{-1}(\pi(y))) \leq \dim \pi^{-1}(\pi(y)) - \dim(T_z^2 X \cap \pi^{-1}(\pi(y))) - 1 = \dim_2 X + 1 - (\dim_2 X - 2) - 1 = 2$ . So,  $\pi'(K)$  lies in a plane, but it is also a subset of the curve  $\pi'(X)$ . Hence,  $\pi'(K)$  is a subset of  $\pi'(X) \cap \pi'(\pi^{-1}(\pi(y)))$ , which is a finite number of points. So, for every component  $K_i \subset K$  one has  $\pi'(K_i)$  is a point and  $K_i$  is also a component of a fiber under the projection from  $T_z^2 X$ . More, the linear span of such a component has codimension at least 2 in  $\pi^{-1}(\pi(y))$ , so its dimension is at most  $\dim_2 X + 1 - 2 = \dim_2 X - 1$ .

Now take all components of all fibers under all projections from  $T_y^2 X$  for a general  $y \in X$ . By taking the closure, we obtain the family  $\mathcal{L}$  of curves on  $X$ .

**Lemma 1.**  $\dim \mathcal{L} \leq 2$ . *If  $\dim \mathcal{L} = 1$ , then  $X$  sits in a cone over a curve with the vertex of dimension  $\dim_2 X - 3$ .*

*Proof.* If for general component  $K$  of the fiber under the projection  $\pi_{T_x^2 X}$  one has  $K \subset T_z^2 X$  for  $z \in K$ , then  $K$  does not depend on  $x \in X$ . So, in this case  $\dim \mathcal{L} = 1$ . If  $K \not\subset T_z^2 X$ , then primarily, after taking all components of all fibers under all projections from  $T_x^2 X$  for a general  $x \in X$ , we can obtain a family of dimension no more than 3. Since every component of a general fiber is also a component under the projection from the osculating space related to a general point of this component,  $\dim \mathcal{L} \leq 2$ .

Suppose now, that  $\dim \mathcal{L} = 1$ . This means that through a general point  $y \in X$  there passes only finite number of fibers. Take one such fiber  $K$ . Then for a general point  $x \in X$ ,  $K \subset \langle y, T_x^2 X \rangle$ . So, for general  $z \in X$ ,  $K \subset \langle y, T_x^2 X \rangle \cap \langle y, T_z^2 X \rangle$ . We will show now that  $\langle y, T_x^2 X \rangle \cap \langle y, T_z^2 X \rangle = \langle y, T_x^2 X \cap T_z^2 X \rangle$ . Assume the opposite. Then there exists a line  $l \ni y$ ,  $l \cap T_x^2 X \neq \emptyset$  and  $l \cap T_z^2 X \neq \emptyset$ , but  $l \cap T_x^2 X \neq l \cap T_z^2 X$ . Hence,  $T_z^2 X \cap \langle y, T_x^2 X \rangle \supset T_z^2 X \cap T_x^2 X$  and  $\dim T_z^2 X \cap \langle y, T_x^2 X \rangle > \dim T_z^2 X \cap T_x^2 X = \dim_2 X - 3$ . So,  $\dim T_z^2 X \cap \langle y, T_x^2 X \rangle \geq \dim_2 X - 2$  and after the projection from  $\langle y, T_x^2 X \rangle$  (to  $\mathbb{P}^{N - \dim_2 X - 2}$ ) one can get that the osculating space to the image has dimension  $\dim_2 X - (\dim_2 X - 2) - 1 = 1$ ; so the image is a line in  $\mathbb{P}^{N - \dim_2 X - 2}$  and  $N = \dim_2 X + 2 + 1 = \dim_2 X + 3$ , which is not the case.

So,  $\langle y, T_x^2 X \rangle \cap \langle y, T_z^2 X \rangle = \langle y, T_x^2 X \cap T_z^2 X \rangle$  and the subspace  $\langle y, T_x^2 X \cap T_z^2 X \rangle$  contains  $\langle K \rangle$ , i. e.  $\pi_{T_x^2 X \cap T_z^2 X}(K)$  is a point. Varying  $y \in X$  one can obtain that  $\dim \pi_{T_x^2 X \cap T_z^2 X}(X) = 1$ , and  $X$  sits in a cone with the vertex  $T_x^2 X \cap T_z^2 X$  of dimension  $\dim_2 X - 3$  over a certain curve  $C$ . If  $X \subset \text{Cone}_M(C_1)$ ,  $0 \leq \dim M < \dim_2 X - 3$ , then  $T_x^2 X = \text{Cone}_M(T_j^2 C)$ , where  $j \in C$ ,  $x \in \langle M, j \rangle$ , and  $\dim_2 X = \dim T_x^2 X = \dim M + 1 + 2 < \dim_2 X$ , which is impossible.

**Lemma 2.** *If  $\dim \mathcal{L} = 2$ , then  $\dim_2 X = 5$ ,  $N = 9$  and  $X = v_3(\mathbb{P}^2)$ .*

*Proof.* Through a general point  $x \in X$  there passes an one-dimensional subfamily of  $\mathcal{L}$ . We saw, that all curves from this subfamily are components of fibers under the projection  $\pi$  from  $T_x^2 X$ . Hence any fiber under this projection contains  $x$ . Take now a general hyperplane  $H \supset T_x^2 X$ . Then  $H \cap X$  contains  $\deg \pi(X)$  fibers, passing through  $x$ . So, the multiplicity  $\mu$  of  $H \cap X$  at  $x$  is at least  $\deg \pi(X)$ . On the other hand, by Proposition 2, since  $H \supset T_x^2 X$ ,  $\mu \geq 3$ ; since  $H \not\supset T_x^3 X$ ,  $\mu < 4$ . So,  $\mu = 3$  and  $\deg \pi(X) \leq \mu = 3$ . Since  $\pi(X)$  is irreducible and non-degenerate in  $\mathbb{P}^{N - \dim_2 X - 1}$ ,  $N - \dim_2 X - 1 \geq 3$ ,  $\deg \pi(X) = 3$ ,  $N = \dim_2 X + 4$ . Moreover, for general  $K_1, K_2 \in \mathcal{L}$  one has  $K_1 \cap K_2 \neq \emptyset$ , and if  $x \in K_1 \cap K_2$ ,  $x$  is general for  $X$ , then after the projection  $\pi$  from  $T_x^2 X$  it appears that  $\pi(K_1)$  and  $\pi(K_2)$  are points on  $v_3(\mathbb{P}^1)$ . Hence,  $K_1$  and  $K_2$  are linearly equivalent. Moreover, if  $H \subset \mathbb{P}^N$  is a hyperplane,  $H \cap X$  is linearly equivalent to  $3K$ ,  $K \in \mathcal{L}$ .

Now we prove the irreducibility of a general fiber of  $\pi$ . Through the point  $x$  there passes only one component of every fiber because of the same multiplicity counting. Now, take all components, passing through  $x$ . The closure of the variety swept out by them is 2-dimensional, so it is  $X$ . Hence, a general point of  $X$  lies on a component, containing  $x$ , of the corresponding fiber. So, such components are fibers themselves.

Show now that for a general point  $z \in X$  the intersection  $T_z^2 X \cap X$  consists of a finite number of points. Assume the opposite. Then  $T_z^2 X \supset C_z$ ,  $C_z$  is a curve. One has  $\pi(C_z) \subset \pi(T_z^2 X \cap X) = T_{\pi(z)}^2 \pi(X) \cap \pi(X) = \pi(z)$ , because  $\pi(X) = v_3(\mathbb{P}^1)$ . So,  $C_z \subset \pi^{-1}(\pi(z))$ . For a general point  $y \in \pi^{-1}(\pi(z)) \cap X$  we also have  $C_y \subset \pi^{-1}(\pi(y)) = \pi^{-1}(\pi(z))$ . Since  $X \cap \pi^{-1}(\pi(z))$  is a curve,  $C_y = C_z$ . Varying  $x, z \in X$  one can obtain that for general points  $z, y \in X$ ,  $C_y = C_z$ . Put  $C = C_y$  for general  $y \in X$ . So, for general points  $y, z \in X$ ,  $C \subset T_y^2 X$ ,  $C \subset T_z^2 X$  and  $C \subset T_y^2 X \cap T_z^2 X$ . Since  $\dim T_y^2 X \cap T_z^2 X = \dim_2 X - 3$  and  $\dim \langle C \rangle \geq 1$ , after the projection  $\pi_{\langle C \rangle}$  one has  $\dim_2 X - 2 \geq \dim T_{\pi_{\langle C \rangle}(y)}^2 \pi_{\langle C \rangle}(X) \geq \dim_2 X - (\dim_2 X - 3) - 1 = 2$ . If  $\dim_2 \pi_{\langle C \rangle}(X) = 2$ , then  $C' = \pi_{\langle C \rangle}(X)$  is a curve and  $X \subset Cone_{\langle C \rangle}(C')$ ,  $\dim \langle C \rangle \leq \dim_2 X - 3$ . In this case  $\dim \mathcal{L} = 1$ , which is impossible. So,  $\dim_2 \pi_{\langle C \rangle}(X) = \dim_2 X - 2 \neq 2$ . Hence,  $\dim_2 X = 5$  and  $\dim_2 \pi_{\langle C \rangle}(X) = 3$ . Moreover, since  $\dim T_z^2 X \cap T_y^2 X = 2$  and  $\dim \langle C \rangle = 1$ ,  $\langle C \rangle \neq T_y^2 X \cap T_z^2 X$  and  $T_{\pi_{\langle C \rangle}(y)}^2 \pi_{\langle C \rangle}(X) \cap T_{\pi_{\langle C \rangle}(z)}^2 \pi_{\langle C \rangle}(X) \neq \emptyset$ . Since  $\dim T \pi_{\langle C \rangle}(X) = 3$ , by Corollary 3,  $\pi_{\langle C \rangle}(X) = Cone_p(C')$  for a certain point  $p$  and a curve  $C'$ . Hence,  $X \subset Cone_{\pi_{\langle C \rangle}^{-1}(p)}(C')$ ,  $\dim \pi_{\langle C \rangle}^{-1}(p) = 1 + 1 = 2$ . And again we obtain that  $\dim \mathcal{L} = 1$ , which is not the case. So,  $T_z^2 X \cap X$  for a general point  $z \in X$  does not contain curves.

**The case  $\dim_2 X = 4$ .**  $X \subset \mathbb{P}^8$ , for general points  $x, y \in X$ ,  $\dim T_x^2 X \cap T_y^2 X = 1$ , fibers under all projections from  $T_x^2 X$  for general  $x \in X$  are irreducible space curves (in general) and make a 2-dimensional linear system  $\mathcal{L}$  on  $X$ .

Consider a general curve  $K \in \mathcal{L}$  and a general point  $t \in X \setminus K$ . Since  $K$  is not a fiber under  $\pi_{T_t^2 X}$ ,  $\pi_{T_t^2 X}(K) = v_3(\mathbb{P}^1)$ . But  $\dim \langle K \rangle \leq 3$ , so,  $K = v_3(\mathbb{P}^1)$ .

Since  $\pi(X)$  is a curve,  $\dim T_x^2 X \cap T_y X = 0$  for a general point  $y \in X$ . Consider the projection  $\pi'$  from  $T_x X$ . So, for a general point  $y \in X$ ,  $\dim T_x X \cap T_y^2 X = 0$  and  $3 = \dim \pi'(T_y^2 X) = \dim T_{\pi'(y)}^2 \pi'(X) = \dim_2 \pi'(X) = \dim T \pi'(X)$ . Hence, by Corollary 3,  $\pi'(X)$  is a surface in  $\mathbb{P}^3$ ,  $Cone_p(C)$  or  $TC$  for a certain point  $p$  and a certain curve  $C$ . Since  $\dim \langle \pi'(X) \rangle = 8 - 2 - 1 = 5 > 2$ ,  $\pi'(X)$  cannot be a surface in  $\mathbb{P}^3$ . Moreover, for a general curve  $K \in \mathcal{L}$ ,  $K \ni x$ ,  $K = v_3(\mathbb{P}^1)$  and  $T_x K \subset T_x X$ . So,  $\pi'(K)$  is a line or a point. If  $\pi'(K)$

is a point, then  $\pi'(X)$  is a curve,  $\deg \pi'(X) \geq 5 - 1 + 1 = 5$ . Arguing as above, one can obtain that for any hyperplane  $H \subset T_x X$  the multiplicity of  $H \cap X$  at  $x$  is not less than 5, but by Proposition 2 it should be equal to 2. So,  $\pi'(X)$  is a surface and  $\pi'(K)$  is a line. Moreover, the line  $\pi'(K)$  contains the point  $\pi'(T_x^2 K) \in \pi'(T_x^2 X)$ . So,  $\pi'(X)$  is swept out by one-dimensional family of lines intersecting the line  $\pi'(T_x^2 X)$ . If  $\pi'(X) = TC$ , then this family of lines has to be the family of tangent lines to  $C$ . So, all tangent lines to  $C$  intersect the line  $\pi'(T_x^2 X)$ . Hence,  $C$  is a plane curve and  $\pi'(X) = TC$  is a plane, which is impossible. Therefore,  $\pi'(X) = Cone_p(C)$ . Consider the 3-dimensional linear subspace  $L_x = \pi'^{-1}(p)$ . One has  $\pi_{L_x}(X) = C$  is a curve. So, for any hyperplane  $H \supset L_x$  the multiplicity of  $H \cap X$  at  $x$  is at least  $\deg C$ . Since  $\dim \langle C \rangle = 8 - 3 - 1 = 4$ ,  $\deg C \geq 4$ . By Proposition 2,  $H \supset T_x^3 X$ . Therefore,  $L_x \supset T_x^3 X$  and  $\dim_3 X \leq \dim L_x = 3 < 4 = \dim_2 X$ , which is impossible.

So,  $\dim_2 X = 4$  is not the case.

**The case  $\dim_2 X = 5$ .**  $X \subset \mathbb{P}^9$ ,  $\pi_{T_x^2 X}(X)$  is a twisted cubic, fibers under the projections from  $T_x^2 X$  for general  $x \in X$  are irreducible curves in  $\mathbb{P}^4$  (in general) and make a 2-dimensional linear system  $\mathcal{L}$  on  $X$ .

Consider a general curve  $K$  and a general point  $t \in X \setminus K$ . Since  $K$  is not a fiber under  $\pi_{T_t^2 X}$ ,  $\pi_{T_t^2 X}(K) = v_3(\mathbb{P}^1)$ . So  $\dim \langle K \rangle \geq 3$ , and  $\dim \langle K \rangle$  is equal to 3 or 4. Consider these cases.

The case  $\dim \langle K \rangle = 4$ . Put  $\langle K \rangle \cap T_t^2 X = p_K$ ,  $p_K$  is a point. Then  $\pi_{p_K}(K) = \pi_{T_t^2 X}(K) = \pi_{T_t^2 X}(X)$  is a twisted cubic. For a general point  $y \in K$ ,  $\pi_{p_K}^{-1}(\pi_{p_K}(y)) = \langle p_K, y \rangle$  and  $\pi_{p_K}^{-1}(\pi_{p_K}(y)) = \langle K \rangle \cap \pi_{T_t^2 X}^{-1}(\pi_{T_t^2 X}(y)) = \langle K \rangle \cap \langle K_1, T_t^2 X \rangle \supset \langle K \rangle \cap \langle K_1 \rangle$ , where  $K_1 \in \mathcal{L}$ ,  $K_1 \ni y$  is the corresponding fiber under the projection from  $T_t^2 X$ . So, for two (general in  $\mathcal{L}$ ) curves  $K$  and  $K_1$  the linear subspaces  $\langle K \rangle$  and  $\langle K_1 \rangle$  intersect each other at most by a line. Moreover,  $K \cap \langle p_K, y \rangle \subset X \cap \langle p_K, y \rangle = X \cap (\langle K \rangle \cap \langle K_1, T_t^2 X \rangle) \subset X \cap \langle K_1, T_t^2 X \rangle = K_1 \cup (X \cap T_t^2 X)$ . So,  $K_1 \supset ((p_K, y) \cap K) \setminus T_t^2 X$ .

Assume that  $p_K \in K$ . So,  $p_K \in X$  and  $p_K \in T_t^2 X \cap X$ . As we saw above,  $T_t^2 X \cap X$  does not contain curves. Hence,  $p_t = p_K$  does not depend on  $K$  and depends only on  $t$ . Since for a general point  $t \in X$ ,  $p_t \subset \langle K \rangle \cap \langle K_1 \rangle$  for general curves  $K, K_1 \in \mathcal{L}$ , there exists a line  $l \subset \mathbb{P}^9$  such that  $l \ni p_t$  for a general point  $t \in X$  or  $p = p_t$  does not depend on  $t \in X$ . In the first case after the projection  $\pi_l$  from  $l$  one has for general  $K, K_1 \in \mathcal{L}$  the intersection  $\pi_l(K) \cap \pi_l(K_1)$  cannot be empty, and  $\dim \langle K \rangle \cap \langle K_1 \rangle = \dim Cone_l((\pi_l(K)) \cap (\pi_l(K_1))) \geq 1 + 1 + 0 = 2$ , which is not the case. If  $p = p_t$  does not depend on  $t$ , then  $T_t^2 X \ni p$  and after the projection  $\pi_p : \mathbb{P}^9 \dashrightarrow \mathbb{P}^8$  one has  $\dim_2 \pi_p(X) = \dim T_{\pi_p(t)}^2(\pi_p(X)) = \dim \pi_p(T_t^2 X) = 4$  and  $\pi_{T_{\pi_p(t)}^2(\pi_p(X))}(\pi_p(X)) = \pi_{T_t^2 X}(X)$  is a twisted cubic. As

we saw above in the case  $\dim_2 X = 4$ , this is impossible.

So,  $p_K \notin K$  and  $K_1 \supset (\langle p_K, y \rangle \cap K) \setminus T_t^2 X = \langle p_K, y \rangle \cap K$ . Also since  $\pi_{p_K}(K) = v_3(\mathbb{P}^1)$ ,  $\pi_{p_K}^{-1}(\pi_{p_K}(y)) \cap K = \langle p_K, y \rangle \cap K$  contains at least 2 different points for a general point  $y \in K$ . Hence,  $\langle K \rangle \cap \langle K_1 \rangle = \langle K \cap K_1 \rangle$  is a line. Moreover,  $K_1$  and  $K$  are fibers under the projection from  $T_y^2 X$ , because  $y \in K_1, y \in K$ . Two fibers can intersect only in the points of  $T_y^2 X \cap X$ , which does not contain curves, and if  $K_2 \in \mathcal{L}$  is another fiber under this projection, then  $K \cap K_1 \cap K_2 = K \cap K_1$ , i.e. the set  $K_1 \cap K$  depend only on  $y \in K \cap K_1$ . Hence if we vary  $t \in X$ , the fibers of the projection  $\pi_{T_t^2 X} : K \dashrightarrow v_3(\mathbb{P}^1)$  remain the same and  $T_t^2 X \ni p_K$ . Take 4 general curves  $K_1, K_2, K_3, K_4 \in \mathcal{L}$  and consider the lines  $\langle K_1 \cap K_2 \rangle$  and  $\langle K_3 \cap K_4 \rangle$ . Take a curve  $K_5 \in \mathcal{L}$ , containing a point from  $K_1 \cap K_2$  and a point from  $K_3 \cap K_4$ . So,  $K_5 \supset K_1 \cap K_2, K_5 \supset K_3 \cap K_4$  and the lines  $\langle K_1 \cap K_2 \rangle$  and  $\langle K_3 \cap K_4 \rangle$  meet at  $p_{K_5}$ . Hence, we have a family of lines meeting each other. Since  $X \not\subset \mathbb{P}^2$ , the point  $p_K$  does not depend on  $K \in \mathcal{L}$ . Put  $p = p_K$ . Again after taking the projection  $\pi_p$  from that point  $p$  we obtain that  $\dim_2 \pi_p(X) = \dim T_{\pi_p(t)}^2 \pi_p(X) = \dim \pi_p(T_t^2 X) = 4$  and  $\pi_{T_{\pi_p(t)}^2(\pi_p(X))}(\pi_p(X)) = \pi_{T_t^2 X}(X)$  is a twisted cubic. As we saw above in the case  $\dim_2 X = 4$ , this is impossible.

So,  $\dim \langle K \rangle = 4$  is not the case.

If  $\dim \langle K \rangle = 3$ , then  $K = v_3(\mathbb{P}^1)$ . Also,  $\pi_{T_t^2 X}|_K$  is an isomorphism, and  $\#K \cap K_1 = \# \langle K \rangle \cap \langle K_1 \rangle = 1$ , where  $K_1 \in \mathcal{L}$  is a general fiber of  $\pi_{T_t^2 X}$ , and the corresponding intersection is transverse. More, if we vary curves  $K$  and  $K_1$ , the point  $K \cap K_1$  will also vary. Take two general curves  $K_1, K_2 \in \mathcal{L}$  and put  $M = \langle K_1, K_2 \rangle$ . Then  $\dim M = \dim \langle K_1 \rangle + \dim \langle K_2 \rangle - \dim(\langle K_1 \rangle \cap \langle K_2 \rangle) = 3 + 3 - 0 = 6$ . Consider the projection  $\pi_M : \mathbb{P}^9 \dashrightarrow \mathbb{P}^2$  from  $M$ . For a general curve  $K \in \mathcal{L}$ ,  $\dim M \cap \langle K \rangle \geq 1$ . So,  $\pi_M(K)$  is a point or a line. In the first case also  $\pi_M(X) = \pi_M(K)$  is a point, which is not the case. Hence,  $\pi_M(K)$  is a line. Since  $\pi_M(X)$  is non-degenerate,  $\pi_M(X) = \mathbb{P}^2$ . Moreover, since for a general hyperplane  $H \subset \mathbb{P}^9$  the section  $H \cap X$  is linearly equivalent to  $3K$  and  $\pi_M(K)$  is a line,  $\pi_M^{-1}$  is defined by a linear system of cubics on  $\mathbb{P}^2$ . But  $\dim H^0(\mathbb{P}^2, \mathcal{O}_{\mathbb{P}^2}(3)) = \binom{5}{2} = 10$  and we need  $\langle \pi_M^{-1}(\mathbb{P}^2) \rangle = \mathbb{P}^9$ . Therefore,  $\pi_M^{-1}$  is defined by the complete linear system of cubics on  $\mathbb{P}^2$  and  $X = v_3(\mathbb{P}^2)$ .  $\square$

**9.2.**  $\dim \pi(X) = 2$ . In this case  $\dim_2 X = 4$ ,  $N \geq 9$ , for general points  $x, y \in X$ ,  $\dim T_x^2 X \cap T_y^2 X = 0$ ,  $\dim T_{\pi(y)}^2 \pi(X) = 3$ .

**Proposition 10.** *If  $\dim \pi(X) = 2$ , then one of the following conditions holds:*

- (1)  $X \subset \text{Cone}_L(C)$ , where  $L$  is a plane,  $C$  is a curve ( $N \geq \dim L + 7$ );

(2)  $X \subset \text{Cone}_p(TK)$ , where  $p$  is a point,  $K$  is a curve ( $N \geq \dim p + 9$ ).

*Proof.* Start our proof by the following lemma.

**Lemma 3.**

- (1) If  $\exists p \in \mathbb{P}^N$ ,  $T_x^2 X \ni p$  for general  $x \in X$ , then  $X \subset \text{Cone}_p(TC)$  for a certain curve  $C$ .
- (2) If  $\exists L \subset \mathbb{P}^N$ ,  $L$  is a plane,  $\dim T_x^2 X \cap L \geq 1$  for general  $x \in X$ , then  $X \subset \text{Cone}_L(C)$  for a certain curve  $C$ .
- (3) It is not the case that there exists a linear space  $M \subset \mathbb{P}^N$ ,  $\dim M \leq 6$ , such that for general  $x \in X$ ,  $\dim M \cap T_x^2 X \geq 2$ .

*Proof.*

1. After the projection from  $p$  one has for a general point  $x \in X$ ,  $\dim T_{\pi_p(x)}^2 \pi_p(X) = \dim \pi_p(T_x^2 X) = 3$ . Hence by Corollary 3,  $\pi_p(X)$  is a surface in  $\mathbb{P}^3$ ,  $\text{Cone}_q(C)$  for a certain point  $q$  and a certain curve  $C$ , or  $TC$  for a certain curve  $C$ . In the first case  $N = 4$ , which is not the case. In the second case  $T_x^2 X$  always contains the line  $\pi_p^{-1}(q)$ , so for general  $y \in X$ ,  $\dim T_x^2 X \cap T_y^2 X \geq 1$ , which is not the case. So,  $\pi_p(X)$  is  $TC$ , and  $X \subset \text{Cone}_p(TC)$ .

2. After the projection from  $L$  one has for a general point  $x \in X$ ,  $\dim T_{\pi_L(x)}^2 \pi_L(X) = \dim \pi_L(T_x^2 X) = 2$ . Hence,  $\pi_L(X)$  is a plane or a curve  $C$ . In the first case  $N = 5$ , which is not the case. So,  $\pi_L(X)$  is  $C$ , and  $X \subset \text{Cone}_L(C)$ .

3. After the projection from  $M$  one has for a general point  $x \in X$ ,  $\dim T_{\pi_M(x)}^2 \pi_M(X) = \dim \pi_M(T_x^2 X) = 1$ . Hence,  $\pi_M(X)$  is a line. In this case  $N \leq 8$ , which is not the case.  $\square$

By construction,  $\pi(X)$  is a non-degenerate surface in  $\mathbb{P}^{N-\dim_2 X-1} = \mathbb{P}^{N-5}$ ,  $N-5 \geq 9-5 = 4$ . So,  $\dim T\pi(X) > 2$ . But  $3 = \dim T_{\pi(y)}^2 \pi(X) \geq \dim T\pi(X)$ . Therefore  $\dim T\pi(X) = 3$ . By Corollary 3,  $\pi(X)$  is a surface in  $\mathbb{P}^3$ ,  $\text{Cone}_q(C)$  or  $TC$ . Since  $\pi(X)$  is non-degenerate,  $\pi(X)$  cannot be a surface in  $\mathbb{P}^3$ . So,  $\pi(X)$  has the following property: it is swept out by an one-dimensional family of lines  $l_\alpha$ ,  $\alpha \in R_x \subset G_x(1, N-5)$ ,  $\dim R_x = 1$ , and for a general point  $z \in l_\alpha$  one has  $T_z \pi(X) = T_\alpha^1$ ,  $T_z^2 \pi(X) = T_\alpha^2$ , where  $T_\alpha^1, T_\alpha^2 \subset \mathbb{P}^{N-5}$  are certain spaces non dependent on the position of  $z$  inside  $l_\alpha$ ,  $\dim T_\alpha^1 = 2$ ,  $\dim T_\alpha^2 = 3$ .

Take the preimage of one such line:  $K = \overline{X \cap (\pi^{-1}(l_\alpha) \setminus T_x^2 X)}$  for a general point  $\alpha \in R_x$ ,  $K \subset \pi^{-1}(T_\alpha^2)$ ,  $\dim \pi^{-1}(T_\alpha^2) = 8$ . Take a general point  $z \in K \subset X$ . Then  $\pi^{-1}(T_\alpha^2) = \langle T_x^2 X, T_z^2 X \rangle$ . Consider the projection  $\pi' = \pi|_{T_z^2 X}$ . Then  $\pi'(\langle T_x^2 X, T_z^2 X \rangle) = \pi'(T_x^2 X) = T_\beta^2$  for some  $\beta \in R_z \subset$



$G_z(1, N - 5)$ . So, if  $K \not\subset T_z^2 X$ , then  $\pi'(K) = l_\beta$ . So, we need to consider two cases:  $K \not\subset T_z^2 X$  and  $K \subset T_z^2 X$ .

**The case**  $K \not\subset T_z^2 X$ . We have at most 2-dimensional family  $\mathcal{L}$  of preimages of such lines under all projections from  $T_t^2 X$ ,  $t$  is a general point in  $X$ .

If  $\dim \mathcal{L} = 2$ , take a general point  $y \in X$  and consider the preimage  $K = X \cap (\pi^{-1}(l_\alpha) \setminus T_x^2 X)$  for  $l_\alpha \ni \pi(y)$ . Suppose  $z \in K$  is a general point. Since  $\pi(T_z^2 X) = T_\alpha^2$  and  $\text{codim } l_\alpha$  in  $T_\alpha^2$  is equal to 2,  $\dim T_z^2 X \cap \langle K \rangle \leq \dim T_z^2 X \cap \pi^{-1}(l_\alpha) = 2$ .  $K$  is not a plane curve or a line because  $K \not\subset T_z^2 X$ , and  $\dim T_z^2 K = 2$ . Since  $T_z^2 X \cap \langle K \rangle \supset T_z^2 K$ ,  $\dim T_z^2 X \cap \langle K \rangle = 2$  and  $T_z^2 X \cap \pi^{-1}(l_\alpha) = T_z^2 K$ . We saw that  $\pi_{T_z^2 X}(K)$  is a line. So,  $\dim \langle K \rangle = 4$ . Take now  $T_K = \bigcup_{z \in K \cap \text{Smooth}(X)} T_z X \subset \pi^{-1}(T_\alpha^1)$ . Since  $\text{codim } T_\alpha^1$  in  $T_\alpha^2$  is equal to 1,  $\dim T_z^2 X \cap \pi^{-1}(T_\alpha^1) = 3$ . More, for a general point  $q \in T_z X$  one has  $T_z^2 X \cap \pi^{-1}(T_\alpha^1) \subset T_q T_K$ . Since  $\dim T_z^2 X \cap \pi^{-1}(T_\alpha^1) = 3 = \dim T_K$ ,  $T_z^2 X \cap \pi^{-1}(T_\alpha^1) = T_q T_K$ . Consider now the projection  $\pi'' = \pi_{T_y^2 X}$ . Since  $\dim \langle K \rangle = 4$ ,  $\dim T_y^2 K \cap T_z^2 K = 0$ . Since  $T_z^2 K = T_z^2 X \cap \pi^{-1}(l_\alpha) \subset T_z^2 X \cap \pi^{-1}(T_\alpha^1) = T_q T_K$ ,  $\dim T_y^2 K \cap T_q T_K \geq 0$ . Hence,  $\dim \pi''(T_K) = \dim \pi''(T_q T_K) = \dim T_q T_K - 1 - \dim T_y^2 K \cap T_q T_K \leq 2$ . Since  $\dim \pi''(X) \geq \dim \pi_{T_y^2 X}(X) = 2$  and  $y$  and  $z$  are general points in  $X$  (it is possible to make points  $y, z \in X$  general, because  $\dim \mathcal{L} = 2$ ),  $\dim \pi''(X) = \dim \pi''(T_z X) = 2$  and  $\dim \pi''(T_z X) = \dim \pi''(T_K)$ . Hence,  $\pi''(T_z X) = \pi''(T_K)$  and the subspace  $M = \pi''^{-1}(\pi''(T_K))$  of dimension 5 has the following properties:  $T_K \subset M$ , i.e. for a general point  $z \in K$  one has  $T_z X \subset M$ ;  $\dim T_z^2 X \cap M = \dim T_q T_X \cap M \geq \dim T_q T_K \cap M = \dim T_K = 3$ . Hence,  $\dim T_y^2 X \cap M \geq 3$  too. Therefore,  $\dim \pi_{T_y^2 X}(M) \leq 1$ , and  $\dim \pi_{T_y^2 X}(T_z X) \leq 1$ , which is not the case because  $y, z \in X$  are general.

So,  $\dim \mathcal{L} = 1$ , and for a general point  $t \in X$  we have  $T_t^2 X \cap \langle K \rangle$  has codimension 2 in  $\langle K \rangle$ . Since for general  $y \in X$ ,  $\dim T_t^2 X \cap T_y^2 X = 0$ ,  $\dim \langle K \rangle \leq 4$ . If  $\dim \langle K \rangle = 4$ , then  $\dim T_t^2 X \cap \langle K \rangle = 2$ , and by Lemma 3 this is impossible. If  $\dim \langle K \rangle = 3$ , then we obtain a family of lines of type  $T_t^2 X \cap \langle K \rangle$ . If for general  $t, y \in X$  one has  $T_t^2 X \cap T_y^2 X \notin \langle K \rangle$ , then  $\dim \langle K, T_t^2 X \rangle \cap T_y^2 X \geq 2$ , which is impossible by Lemma 3. If for general  $t, y$  one has  $T_t^2 X \cap T_y^2 X \in \langle K \rangle$ , then all lines of type  $T_t^2 X \cap \langle K \rangle$  pass through a certain point  $p \in \mathbb{P}^N$  or lie in a plane  $L \subset \mathbb{P}^N$ . By Lemma 3,  $X \subset \text{Cone}_p(TC)$  or  $X \subset \text{Cone}_L(C)$ .

If  $\dim \mathcal{L} = 1$  and  $\dim \langle K \rangle \leq 2$ , then  $K \subset T_z^2 X$  for a general  $z \in K$ , which is not the case.

**The case**  $K \subset T_z^2 X$ . Since  $T_x^2 X \cap T_z^2 X$  is a point and  $\pi_{T_x^2 X}(K)$  is a line,

$\dim\langle K \rangle \leq 2$ . If  $\dim\langle K \rangle = 2$ , then  $\langle K \rangle \supset T_x^2 X \cap T_z^2 X$ . Take  $K_1 \subset T_x^2 X \cap X$ , which is the preimage of the corresponding line under the projection  $\pi_{T_z^2 X}$ .  $K \neq K_1$  because  $K \subset T_z^2 X$ ,  $K_1 \subset T_x^2 X$  and  $\dim T_x^2 X \cap T_z^2 X = 0$ . We also have  $\dim\langle K_1 \rangle = 2$  and  $\langle K_1 \rangle \supset T_x^2 X \cap T_z^2 X$ . So,  $\langle K \rangle \cap \langle K_1 \rangle \neq \emptyset$  and we have a family of planes, intersecting each other. Then (see e.g. [8]) these planes either lie in  $\mathbb{P}^5$ , either intersect one fixed plane  $L$  by lines or pass through one point  $p$ . By Lemma 3  $X \subset Cone_p(TC)$  or  $X \subset Cone_L(C)$ .

If  $\dim\langle K \rangle = 1$ , then  $X$  is swept out by one-dimensional family of lines  $R \subset G(1, N)$ .

**Lemma 4.** *If  $X \subset \mathbb{P}^N$  is swept out by one-dimensional family of lines,  $\dim T_x^2 X \cap T_y^2 X = 0$  for general points  $x, y \in X$  and  $N \geq 9$ , then either  $X \subset Cone_p(TC)$  or  $X \subset Cone_L(C)$  where  $p \in \mathbb{P}^N$  is a point,  $L \subset \mathbb{P}^N$  is a plane,  $C$  is a curve.*

*Proof.* For general  $\alpha \in R$  put  $T_\alpha = \overline{\cup_{z \in l_\alpha \cap Smooth(X)} T_z X}$  is a linear space,  $2 \leq \dim T_\alpha \leq 3$ . If  $\dim T_\alpha = 2$ , then  $\dim TX \leq 2 + 1 = 3$ , which is not the case. So,  $\dim T_\alpha = 3$ . Since for a general point  $\gamma \in R_x$  one has  $T_\gamma^1$  is a plane and  $T_\gamma^1 = \pi(T_\alpha)$  for some  $\alpha \in R$ ,  $T_x^2 X \cap T_\alpha \neq \emptyset$ . Now take a general point  $\alpha \in R$  and consider the projection from  $T_\alpha$  to  $\mathbb{P}^{N-4}$ . Then again by Corollary 3,  $\pi_{T_\alpha}(X)$  is a surface in  $\mathbb{P}^3$ ,  $Cone_q(K)$ , or  $TK$  for a certain point  $q \in \mathbb{P}^{N-4}$  and a certain curve  $K$ . Since  $N \geq 9$ , then the first is not the case. In all other cases again for a general line  $l \in \pi_{T_\alpha}(X)$  and for general points  $s, t \in l$ ,  $T_s \pi_{T_\alpha}(X) = T_t \pi_{T_\alpha}(X)$ . So, for general  $\beta \in R$ ,  $\dim \pi_{T_\alpha}(T_\beta) = 2$ . Hence, for general  $\alpha, \beta \in R$ ,  $T_\alpha \cap T_\beta \neq \emptyset$ . Knowing that consider again the projection  $\pi_{T_\alpha}$ .

If  $\pi_{T_\alpha}(X) = TK$ , then  $\pi_{T_\alpha}(T_\beta)$  is a osculating plane to  $K$  of order 2 for general  $\beta \in R$ . For general  $\gamma \in R$ ,  $\dim \pi_{\pi_{T_\alpha}(T_\beta)}(\pi_{T_\alpha}(T_\gamma)) = \dim_2 \pi_{\pi_{T_\alpha}(T_\beta)}(K) = \min\{2, \dim \pi_{\pi_{T_\alpha}(T_\beta)}(\pi_{T_\alpha}(\mathbb{P}^N))\} = \min\{2, (N - 3 - 1) - 2 - 1\} = \min\{2, N - 7\}$  by Proposition 4. Since  $N \geq 9$ ,  $\dim \pi_{\pi_{T_\alpha}(T_\beta)}(\pi_{T_\alpha}(T_\gamma)) = 2 = \dim \pi_{T_\alpha}(T_\gamma)$ . Hence,  $\pi_{T_\alpha}(T_\beta) \cap \pi_{T_\alpha}(T_\gamma) = \emptyset$ . Since  $T_\beta \cap T_\gamma \neq \emptyset$ ,  $T_\beta \cap T_\gamma \subset T_\alpha$ . So, there exists a point  $p \in \mathbb{P}^N$  such that for general  $\alpha \in R$  and general  $z \in l_\alpha$  one has  $T_z^2 X \supset T_\alpha \ni p$ . By Lemma 3,  $X \subset Cone_p(TC)$  for a certain curve  $C$ .

If  $\pi_{T_\alpha}(X) = Cone_q(K)$  then for general  $\beta \in R$ ,  $\pi_{T_\alpha}(T_\beta) \ni q$ . Hence, after projection from  $q$  one has  $\pi_q(\pi_{T_\alpha}(X)) = K$  and  $\pi_q(\pi_{T_\alpha}(T_\beta))$  is a tangent line to  $K$ . Since  $\dim\langle K \rangle = (N - 3 - 1) - 1 = N - 5 \geq 4$ , for general  $\beta, \gamma \in R$ ,  $\pi_q(\pi_{T_\alpha}(T_\beta)) \cap \pi_q(\pi_{T_\alpha}(T_\gamma)) = \emptyset$ . But  $T_\beta \cap T_\gamma \neq \emptyset$ . So,  $(T_\beta \cap \pi_{T_\alpha}^{-1}(q)) \cap (T_\gamma \cap \pi_{T_\alpha}^{-1}(q)) \neq \emptyset$ , and we have an one-dimensional family of lines  $(T_\beta \cap \pi_{T_\alpha}^{-1}(q))$ ,  $\beta \in R$ , intersecting each other. Hence, either there exists a point  $p \in \mathbb{P}^N$  such that for general  $\beta \in R$ ,  $p \in T_\beta$  or there exists a plane  $L \subset \mathbb{P}^N$  such that for general  $\beta \in R$ ,  $\dim L \cap T_\beta = 1$ . By Lemma

3, in the first case  $X \subset Cone_p(TC)$ , in the second case  $X \subset Cone_L(C)$ . If  $X \subset Cone_p(TC)$ , then  $\pi_{T_q}(X) = TK$  for a certain curve  $K$ , which is not the case. So,  $X \subset Cone_L(C)$ .  $\square$

### 10. Proof of Theorem 3, the case $\dim TX = 4, h > 1$ .

**Lemma 5.** *Suppose that  $X$  is non-degenerate,  $TX$  is not-1-defective,  $h \geq 2$  and for a general point  $p \in TX$  one has  $\pi_{T_p TX}(X) \subset Cone_L(TC) \subset \mathbb{P}^{N_1}$ , where  $C$  is a curve,  $\dim L \leq h - 2$ ,  $N_1 \geq \dim L + 4h + 1$ ,  $\pi_L(\pi_{T_p TX}(X)) = TC$ . Then  $X \subset Cone_M(TK) \subset \mathbb{P}^N$ ,  $K$  is a curve,  $\dim M \leq h - 1$ ,  $N \geq \dim M + 4h + 5$ ,  $\pi_M(X) = TK$ .*

*Proof.* Since  $\pi_{T_p TX}(X) \subset Cone_L(TC) \subset \mathbb{P}^{N_1}$ , for a general point  $x \in X$  one has  $L \cap T_{\pi_{T_p TX}(x)}^2 \pi_{T_p TX}(X) \neq \emptyset$ . So,  $\pi_{T_p TX}^{-1}(L) \cap T_x^2 X \neq \emptyset$ . Denote  $\pi_{T_p TX}^{-1}(L)$  by  $L_p$ . For another general point  $q \in TX$  take also  $L_q = \pi_{T_q TX}^{-1}(L)$  for the corresponding  $L$ . Then also  $L_q \cap T_x^2 X \neq \emptyset$  for general  $x \in X$ ,  $\dim L_p = \dim L_q$ . More,  $\dim L_p = \dim L + 5$ ,  $N = N_1 + 5 \geq \dim L + 4h + 6$ . Since  $\dim \pi_{L_p}(T_q TX) = \dim T_{\pi_{L_p}(q)} T_{\pi_{L_p}}(X) = \dim T_{\pi_{L_p}}(X) = \dim T(\pi_L(\pi_{T_p TX}(X))) = \dim T(TC) = \dim T^2 C = 3$ ,  $L_p \cap T_q TX \neq \emptyset$ . Also  $L_q \cap T_p TX \neq \emptyset$ , and since  $L_p \supset T_p TX$ ,  $L_q \supset T_q TX$  and  $T_p TX \cap T_q TX = \emptyset$  ( $TX$  is not-1-defective), one has  $\dim L_q \cap L_p \geq 1$ . As we saw above,  $\pi_{L_p}(X) = TC$ . If for general  $x \in X$ ,  $T_x^2 X \cap L_q \not\subset L_p$ , then  $\pi_{L_p}(L_q) \cap T_{\pi_{L_p}(x)}^2 \pi_{L_p}(X) \neq \emptyset$ . But  $T_{\pi_{L_p}(x)}^2 \pi_{L_p}(X) = T_y^3 C$ , where  $y \in C$  is a point for which  $\pi_{L_p}(x) \in T_y C$ . So,  $\pi_{L_p}(L_q) \cap T_y^3 C \neq \emptyset$  for general  $y \in C$ . Therefore  $\dim_3 \pi_{\pi_{L_p}(L_q)}(C) \leq 2$ . Hence, by Proposition 4,  $\dim \langle \pi_{\pi_{L_p}(L_q)}(C) \rangle \leq 2$ . So,  $\dim \pi_{L_p}(L_q) \geq (N - \dim L_p - 1) - 3 \geq (\dim L + 4h + 6) - (\dim L + 5) - 1 - 3 = 4h - 3$ . On the other hand,  $\dim \pi_{L_p}(L_q) = \dim L_q - \dim L_p \cap L_q - 1 \leq (\dim L + 5) - 1 - 1 \leq (h - 2 + 5) - 2 = h + 1$ . So, we have  $4h - 3 \leq h + 1$ , or  $h \leq \frac{4}{3}$ , which is not the case. Therefore, for general  $x \in X$ ,  $T_x^2 X \cap L_q \subset L_p$ . So, if we take  $M = L_p \cap L_q$ , then  $\dim \pi_M(T_x^2 X) = \dim \pi_{L_p}(T_x^2 X) = \dim T_{\pi_{L_p}(x)}^2 \pi_{L_p}(X) = \dim_2 TC = 3$ , and  $\dim T_{\pi_M(x)}^2 \pi_M(X) = 3$ . Hence, by Corollary 3,  $\pi_M(X)$  is a surface in  $\mathbb{P}^3$  or  $Cone_r(K)$  or  $TK$ , where  $K$  is a curve. Since  $\pi_{\pi_M(L_p)}(\pi_M(X)) = TC$ ,  $\pi_M(X) = TK$  for a certain curve  $K$ . Let us calculate the dimension of  $M$ . Since  $L_q \supset T_q TX$ ,  $\pi_{L_p}(L_q) \supset T_{\pi_{L_p}(q)} T_{\pi_{L_p}}(X) = T_{\pi_{L_p}(q)} T^2 C$ , and  $\dim \pi_{L_p}(L_q) \geq \dim T^2 C = 3$ . So,  $\dim M \leq \dim L_p - 3 - 1 = (\dim L + 5) - 3 - 1 = \dim L + 1 \leq h - 2 + 1 = h - 1$ ;  $N \geq \dim L + 4h + 6 \geq (\dim M - 1) + 4h + 6 = \dim M + 4h + 5$ .  $\square$

**Lemma 6.** *Suppose that  $X$  is non-degenerate,  $TX$  is not-1-defective,  $h \geq 2$*

and for a general point  $p \in TX$  one has  $\pi_{T_pTX}(X) \subset Cone_L(C) \subset \mathbb{P}^{N_1}$ , where  $C$  is a curve,  $\dim L \leq 2h - 2$ ,  $N_1 \geq \dim L + 3h + 1$ . Then  $X \subset Cone_M(K) \subset \mathbb{P}^N$ ,  $K$  is a curve,  $\dim M \leq 2h$ ,  $N \geq \dim M + 3h + 4$ .

*Proof.* Since  $\pi_L(\pi_{T_pTX}(X)) = C$ , for a general point  $x \in X$  one has  $L \cap T_{\pi_{T_pTX}(x)}^2 \pi_{T_pTX}(X) \neq \emptyset$ . So,  $\pi_{T_pTX}^{-1}(L) \cap T_x^2 X \neq \emptyset$ . Denote  $\pi_{T_pTX}^{-1}(L)$  by  $L_p$ . For another general point  $q \in TX$  take also  $L_q = \pi_{T_qTX}^{-1}(L)$  for the corresponding  $L$ . Then also  $L_q \cap T_x^2 X \neq \emptyset$  for general  $x \in X$ ,  $\dim L_p = \dim L_q$ . More,  $\dim L_p = \dim L + 5$ ,  $N = N_1 + 5 \geq \dim L + 3h + 1 + 5 = \dim L + 3h + 6$ . Since  $\dim \pi_{L_p}(T_qTX) = \dim T_{\pi_{L_p}(q)} T \pi_{L_p}(X) = \dim T \pi_{L_p}(X) = \dim T(\pi_L(\pi_{T_pTX}(X))) = \dim TC = 2$ ,  $\dim L_p \cap T_qTX = 1$ . Also  $\dim L_q \cap T_pTX = 1$ , and since  $L_p \supset T_pTX$ ,  $L_q \supset T_qTX$  and  $T_pTX \cap T_qTX = \emptyset$  ( $TX$  is not-1-defective), one has  $\dim L_q \cap L_p \geq 3$ . As we saw above,  $\pi_{L_p}(X) = C$ . If for general  $x \in X$ ,  $T_x^2 X \cap L_q \not\subset L_p$ , then  $\pi_{L_p}(L_q) \cap T_{\pi_{L_p}(x)}^2 \pi_{L_p}(X) \neq \emptyset$ . But  $T_{\pi_{L_p}(x)}^2 \pi_{L_p}(X) = T_y^2 C$ , where  $y \in C$ ,  $y = \pi_{L_p}(x)$ . So,  $\pi_{L_p}(L_q) \cap T_y^2 C \neq \emptyset$  for general  $y \in C$ . Therefore  $\dim_2 \pi_{\pi_{L_p}(L_q)}(C) \leq 1$ . Hence, by Proposition 4,  $\dim \langle \pi_{\pi_{L_p}(L_q)}(C) \rangle \leq 1$ . So,  $\dim \pi_{L_p}(L_q) \geq (N - \dim L_p - 1) - 2 \geq (\dim L + 3h + 6) - (\dim L + 5) - 1 - 2 = 3h - 2$ . On the other hand,  $\dim \pi_{L_p}(L_q) = \dim L_q - \dim L_p \cap L_q - 1 \leq \dim L + 5 - 3 - 1 \leq 2h - 2 + 5 - 3 - 1 = 2h - 1$ . So, we have  $3h - 2 \leq 2h - 1$ , or  $h \leq 1$ , which is not the case. Therefore, for general  $x \in X$ ,  $T_x^2 X \cap L_q \subset L_p$ . So, if we take  $M = L_p \cap L_q$ , then  $\dim \pi_M(T_x^2 X) = \dim \pi_{L_p}(T_x^2 X) = \dim T_{\pi_{L_p}(x)}^2 \pi_{L_p}(X) = \dim_2 C = 2$ , and  $\dim T_{\pi_M(x)}^2 \pi_M(X) = 2$ . Hence,  $\pi_M(X) = K$  is a curve. Let us calculate the dimension of  $M$ . Since  $L_q \supset T_qTX$ ,  $\pi_{L_p}(L_q) \supset T_{\pi_{L_p}(q)} T \pi_{L_p}(X) = T_{\pi_{L_p}(q)} TC$ , and  $\dim \pi_{L_p}(L_q) \geq \dim TC = 2$ . So,  $\dim M \leq \dim L_p - 2 - 1 = \dim L + 5 - 2 - 1 \leq 2h - 2 + 5 - 2 - 1 = 2h$ . At the end,  $N \geq \dim L + 3h + 6 \geq (\dim M - 5 + 2 + 1) + 3h + 6 = \dim M + 3h + 4$ .  $\square$

**Proposition 11.** *Suppose that for a non-degenerate surface  $X \subset \mathbb{P}^N$  the  $h$ -defect  $\delta_h(TX) > 0$ ,  $h \geq 2$ . Then one of the following conditions holds:*

- (1)  $X \subset Cone_L(TC)$ , where  $L \subset \mathbb{P}^N$  is a linear subspace,  $\dim L \leq h - 1$ ,  $C$  is a curve,  $N \geq \dim L + 4h + 5$ ,  $\pi_L(X) = TC$ ;
- (2)  $X \subset Cone_L(C)$ , where  $L \subset \mathbb{P}^N$  is a linear subspace,  $\dim L \leq 2h$ ,  $C$  is a curve,  $N \geq \dim L + 3h + 4$ .

*Proof.* Take general points  $x_0, \dots, x_h \in X$ ,  $p_0, \dots, p_h \in TX$  such that  $\forall i, 0 \leq i \leq h$ ,  $p_i \subset T_{x_i} X$ ,  $q_1 \in \langle p_0, \dots, p_{h-1} \rangle$ ,  $q \in \langle q_1, p_h \rangle$ . By the Terracini lemma  $T_q S^h(TX) = \langle T_{p_0} TX, \dots, T_{p_h} TX \rangle$ ,  $T_{q_1} S^{h-1}(TX) = \langle T_{p_0} TX, \dots, T_{p_{h-1}} TX \rangle$ .

**The case**  $\delta_1(TX) > 0$ . By the proved part of Theorem 3 (the case  $h = 1$ ) either  $X = v_3(\mathbb{P}^2)$ , either  $X \subset Cone_p(TC)$  ( $\pi_p(X) = TC$ ,  $X \neq TK$ ) or  $X \subset Cone_L(C)$ ,  $\dim L \leq 2$ .

If  $X = v_3(\mathbb{P}^2) \subset \mathbb{P}^9$  then  $\forall h > 1$ ,  $S^h(TX) = \mathbb{P}^9$ ,  $\delta_h(TX) = 0$ .

If  $X \subset Cone_p(TC)$ ,  $\pi_p(X) = TC$ ,  $X \neq TK$ , then  $TX = Cone_p(T^2C)$ . Hence,  $S^h(TX) = Cone_p(S^h(T^2C))$ . Since  $C \subset \mathbb{P}^{N-1}$ , by Theorem 1,  $\dim S^h(T^2C) = \min\{N - 1, \dim T^2C \cdot (h + 1) + h\} = \min\{N - 1, 4h + 3\}$  and  $\dim S^h(TX) = \min\{N - 1, 4h + 3\} + 1 = \min\{N, 4h + 4\}$ . Therefore  $\delta_h(TX) = \min\{N, 5h + 4\} - \min\{N, 4h + 4\}$  and  $\delta_h(TX) > 0$  iff  $N > 4h + 4$ , i. e.  $N \geq 4h + 5 = \dim\{p\} + 4h + 5$ .

If  $X \subset Cone_L(C)$ ,  $\dim L \leq 2$ , then for a general point  $p \in TX$ ,  $\dim T_p TX \cap L = 1$  ( $\dim \pi_L(T_p TX) = \dim T_{\pi_L(p)} TC = 2$ ). If  $\dim L = 0$  then  $\dim TX = 3$ , which is not possible. If  $\dim L = 1$  then  $T_p TX \supset L$ , we will consider this case next. If  $\dim L = 2$  and for general points  $p_0, p_1 \in TX$ ,  $L \neq \langle L \cap T_{p_0} TX, L \cap T_{p_1} TX \rangle$ , then  $M = L \cap T_p TX$  does not depend on  $p \in TX$  and  $X \subset Cone_M(K)$  for a certain curve  $K$ , put  $L = M$ . So, we can assume that  $L = \langle L \cap T_{p_0} TX, L \cap T_{p_1} TX \rangle$ . Therefore in any case for  $h > 1$ ,  $T_q S^h(TX) = \langle T_{p_0} TX, \dots, T_{p_h} TX \rangle \supset L$ . Hence,  $T_q S^h(TX) = \pi_L^{-1}(\pi_L(\langle T_{p_0} TX, \dots, T_{p_h} TX \rangle)) = \pi_L^{-1}(\langle T_{\pi_L(p_0)} TC, \dots, T_{\pi_L(p_h)} TC \rangle) = \pi_L^{-1}(T_{\pi_L(q)} S^h(TC))$ . Since  $\dim \langle C \rangle = N - \dim L - 1$  by Theorem 1,  $\dim T_{\pi_L(q)} S^h(TC) = \dim S^h(TC) = \min\{N - \dim L - 1, 2(h + 1) + h\} = \min\{N - \dim L - 1, 3h + 2\}$  and  $\dim S^h(TX) = \dim \pi_L^{-1}(T_{\pi_L(q)} S^h(TC)) = \dim L + 1 + \min\{N - \dim L - 1, 3h + 2\} = \min\{N, \dim L + 3h + 3\}$ . So,  $\delta_h(TX) = \min\{N, 5h + 4\} - \dim T_q S^h(TX) = \min\{N, 5h + 4\} - \min\{N, \dim L + 3h + 3\}$ . Since  $\dim L + 3h + 3 \leq (h + 1) + 3h + 3 = 4h + 4 < 5h + 4$ ,  $\delta_h(TX) > 0$  iff  $N > \dim L + 3h + 3$ , i. e.  $N \geq \dim L + 3h + 4$ .

**The case**  $\delta_1(TX) = 0$ . If  $d_1(TX) > 0$  then  $N = \dim S^1(TX)$ . Hence  $\mathbb{P}^N = S^1(TX) = S^h(TX)$ , which is not the case because  $\delta_h(TX) > 0$ . So,  $d_1(TX) = 0$ . Then by Proposition 1 for  $Y = TX$ ,  $m = 1$  and a point  $p \in TX$ ,  $\delta_{h-1}(T\pi_{T_p TX}(X)) = \delta_h(TX) > 0$ .

Let us use an induction on  $h$ . Suppose that  $h = 2$ . Then  $\delta_1(T\pi_{T_p TX}(X)) > 0$ . By the proved part of Theorem 3 (the case  $h = 1$ ) either  $T\pi_{T_p TX}(X) = v_3(\mathbb{P}^2)$ , either  $T\pi_{T_p TX}(X) \subset Cone_p(TC)$  ( $\pi_p(T\pi_{T_p TX}(X)) = TC$ ,  $T\pi_{T_p TX}(X) \neq TK$ ) other  $T\pi_{T_p TX}(X) \subset Cone_L(C)$ ,  $\dim L \leq 2$ .

If  $\pi_{T_p TX}(X) = v_3(\mathbb{P}^2)$  then  $\dim_2 \pi_{T_p TX}(X) = 5$ . Hence,  $\dim_2(X) = 5$ . By Theorem 2,  $T_q S^2(TX)$  contains  $T_{x_i}^2 X$  for  $0 \leq i \leq 2$ . If  $T_{x_2}^2 X \cap T_{x_1}^2 X = \emptyset$ , then after the projection  $\pi$  from  $\langle T_{x_2}^2 X, T_{x_1}^2 X \rangle$  we have  $\dim \pi(T_{x_0}^2(X)) = \dim T_q S^2(TX) - \dim \langle T_{x_2}^2 X, T_{x_1}^2 X \rangle - 1 = \min\{N, 5 \cdot 2 + 4\} - \delta_2(TX) - 11 - 1 = \min\{N - 12, 2\} - \delta_2(TX) \leq 1$ . Therefore  $\dim T_{\pi(x_0)}^2(\pi(X)) \leq$

1, and  $\pi(X) = \langle \pi(X) \rangle = T_{\pi(x_0)}^2(\pi(X))$ . So,  $\mathbb{P}^N = \pi^{-1}(\langle \pi(X) \rangle) = \pi^{-1}(T_{\pi(x_0)}^2(\pi(X))) = \langle T_{x_0}^2 X, T_{x_1}^2 X, T_{x_2}^2 X \rangle = T_q S^2(TX)$  and  $S^2(TX) = \mathbb{P}^N$ . But this is not the case because  $\delta_2(TX) > 0$ . Hence,  $T_{x_2}^2 X \cap T_{x_1}^2 X \neq \emptyset$  and  $T_x^2 X \cap T_y^2 X \neq \emptyset$  for general points  $x, y \in X$ . Take  $p \in T_x X$ . Since  $\dim T_{\pi_p TX(y)}^2(\pi_{T_p TX}(X)) = 5$ ,  $T_y^2 X \cap T_p TX = \emptyset$ . Therefore,  $\pi_{T_p TX}(T_x^2 X)$ , which is a point, belongs to  $\pi_{T_p TX}(T_y^2 X) = T_{\pi_{T_p TX}(y)}^2 \pi_{T_p TX}(X)$ . So, for a general point  $y \in X$ ,  $\pi_{T_p TX}(T_x^2 X) \in T_{\pi_{T_p TX}(y)}^2 \pi_{T_p TX}(X)$ . But if  $\pi_{T_p TX}(X)$  is  $v_3(2)$ , this is not so.

If  $\pi_{T_p TX}(X) \subset Cone_p(TC)$ ,  $\dim \langle \pi_{T_p TX}(X) \rangle \geq \dim\{p\} + 4 \cdot 1 + 5 = 9$ ,  $\pi_p(X) = TC$ , then by Lemma 5 applied to  $h = 2$ ,  $X \subset Cone_M(TK)$ ,  $N \geq \dim M + 4 \cdot 2 + 5$ ,  $\dim M \leq 2 - 1$ ,  $\pi_M(X) = TK$ ,  $K$  is a curve.

If  $\pi_{T_p TX}(X) \subset Cone_L(C)$ ,  $\dim L \leq 2 = 2 \cdot 1$ ,  $\dim \langle \pi_{T_p TX}(X) \rangle \geq \dim L + 7$ , then by Lemma 6 applied to  $h = 2$ ,  $X \subset Cone_M(K)$ ,  $\dim M \leq 2 \cdot 2$ ,  $N \geq \dim M + 3 \cdot 2 + 4$ ,  $K$  is a curve.

So, the statement is proved for  $h = 2$ .

In the case  $h > 2$  Lemmas 5 and 6 give the proof of the step from  $h - 1$  to  $h$ . □

## 11. Proof of Corollary 5.

To prove Corollary 5 one should notice that by definition  $TX$  is  $h$ -defective iff  $\delta_h(TX) > 0$  and not  $\delta_{h-1}(TX) > 0$ , apply Theorem 3 and Proposition 8.

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indirizzo Moscow State University, Department of Mechanics and Mathematics, Moscow (Russia) e-mail: inshakov@mccme.ru