

## ON GREEN AND GREEN-LAZARFELD CONJECTURES FOR SIMPLE COVERINGS OF ALGEBRAIC CURVES

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Let  $X$  be a smooth genus  $g$  curve equipped with a simple morphism  $f : X \rightarrow C$ , where  $C$  is either the projective line or more generally any smooth curve whose gonality is computed by finitely many pencils. Here we apply a method developed by Aprodu to prove that if  $g$  is big enough then  $X$  satisfies both Green and Green-Lazarsfeld conjectures. We also partially address the case in which the gonality of  $C$  is computed by infinitely many pencils.

### 1. Introduction

Let  $X$  be a smooth complex curve of genus  $g$ . For any spanned  $L \in \text{Pic}(X)$  and all integers  $i, j$  let  $K_{i,j}(X, L)$  denote the Koszul cohomology groups introduced in [10]. Green's conjecture states that  $K_{p,1}(X, \omega_X) = 0$  if and only if  $p \geq g - \text{Cliff}(X) - 1$ , where  $\text{Cliff}(X)$  is the Clifford index of  $X$ , while Green-Lazarsfeld conjecture (see [11], Conjecture (3.7)) predicts that for every line bundle  $L$  on  $X$  of sufficiently large degree  $K_{p,1}(X, L) = 0$  if and only if  $p \geq r - \text{gon}(X) + 1$ , where  $r$  is the (projective) dimension of  $L$  and  $\text{gon}(X)$  is the gonality of  $X$ .

Both Green and Green-Lazarsfeld conjectures have been verified for the general curve of genus  $g$  (see [16], [17], [7], [3]) and for the general  $d$ -gonal

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curve of genus  $g$  (see [15] for  $d \leq g/3$ , [16], Corollary 1 on p. 365, for  $d \geq g/3$ , [7], [4]). In particular, [4] shows that both conjectures are satisfied for any smooth  $d$ -gonal curve verifying a suitable linear growth condition on the dimension of Brill-Noether varieties of pencils. Such a condition holds for the general  $d$ -gonal curve, but for special curves it turns out to be rather delicate (see [14], Statement T, and [6], Proposition 1.3).

Here we consider the case in which  $X$  is a multiple covering. Let  $h : A \rightarrow B$  be a covering of degree  $\geq 2$  between smooth and connected projective curves. The covering  $h$  is said to be *simple* if it does not factor non-trivially, i.e. for any smooth curve  $D$  such that there are morphisms  $h_1 : A \rightarrow D$  and  $h_2 : D \rightarrow B$  with  $h = h_2 \circ h_1$  the morphism  $h_2$  is an isomorphism. Every covering of prime order is simple. By applying [4], Theorem 2, and [12], Theorem 1, we are going to prove the following result.

**Theorem 1.1.** *Let  $X$  be a smooth genus  $g$  curve equipped with a simple morphism  $f : X \rightarrow C$  of degree  $m \geq 2$ , where  $C$  is a smooth curve of genus  $q$  whose gonality  $z$  is computed by finitely many  $g_z^1$ . Assume  $g \geq \max\{1 + mq + (m - 1)(2mz - 5), 1 + mq + (m - 1)(mz - 1), 4mz - 9, 3mz - 6\}$ . Then  $X$  satisfies both Green and Green-Lazarsfeld conjectures.*

In the special case  $q = 0$ , the corresponding notion of simple linear series is classical (see for instance [1]) and Green's conjecture has already been established for  $m \geq 5$  in [5], Theorem 4.9, by exploiting [8] instead of [12]. By the way, for  $q = 0$  our previous statement simplifies as follows.

**Corollary 1.2.** *Let  $X$  be a smooth genus  $g$  curve carrying a simple  $g_m^1$  of degree  $m \geq 3$ . If  $g \geq 1 + (m - 1)(2m - 5) \geq 4$  then  $X$  satisfies both Green and Green-Lazarsfeld conjectures.*

If instead  $q > 0$  and we drop the assumption that the gonality of  $C$  is computed by finitely many pencils, we obtain with the same method the following partial result.

**Proposition 1.3.** *Let  $X$  be a smooth genus  $g$  curve equipped with a simple morphism  $f : X \rightarrow C$  of degree  $m \geq 2$ , where  $C$  is a smooth curve of genus  $q \geq 1$  and gonality  $z \geq 2$ . Assume  $g \geq 1 + mq + (m - 1)(2mz - 5)$ . If  $m = 2$  assume also  $g \geq 8z - 9$ . Then  $K_{p,1}(X, \omega_X) = 0$  for any  $p \geq g - mz + 2$  and  $K_{r-mz+2,1}(X, L) = 0$  for every line bundle  $L$  on  $X$  with  $h^0(X, L) = r + 1$  and  $\deg(L) \geq 3g$ .*

Finally, if  $f : X \rightarrow C$  is not simple, then  $f = f_s \circ \dots \circ f_1$  with  $s \geq 2$  and each  $f_i$  a simple covering. One could hope to apply Theorem 1.1 to each covering  $f_i$ , but the numerical restrictions on the intermediate curves make such an iterative approach effective only in very few cases.

## 2. The proofs

**Remark 2.1.** Let  $u : X' \rightarrow C'$  be a degree  $m$  morphism between smooth curves with  $X'$  of genus  $g$  and  $C'$  of genus  $q$ . Let  $v : X' \rightarrow \mathbb{P}^1$  be a degree  $x$  morphism such that the associated morphism  $(u, v) : X' \rightarrow C' \times \mathbb{P}^1$  is birational onto its image. Then  $g \leq mq + (m-1)(x-1)$  (Castelnuovo-Severi inequality, see for instance [13], Corollary at p. 26). Notice that  $(u, v)$  is not birational onto its image if and only if there are a smooth curve  $C''$  (namely, the normalization of  $(u, v)(X')$ ) and morphisms  $w : X' \rightarrow C''$ ,  $u_1 : C'' \rightarrow C'$  and  $v_1 : C'' \rightarrow \mathbb{P}^1$  such that  $\deg(w) \geq 2$ ,  $u = u_1 \circ w$  and  $v = v_1 \circ w$ . If  $u$  is simple, then  $u_1$  must be an isomorphism and  $(u, v)$  is not birational onto its image if and only if there is a morphism  $\eta = v_1 \circ u_1^{-1} : C' \rightarrow \mathbb{P}^1$  such that  $v = \eta \circ u$ . Hence in the set-up of Theorem 1.1 if  $g \geq 1 + mq + (m-1)(mz-1)$  then  $X$  has gonality  $mz$  and for every  $L \in \text{Pic}^{mz}(X)$  such that  $h^0(X, L) = 2$  there is  $R \in \text{Pic}^z(C)$  such that  $h^0(C, R) = 2$  and  $L \cong f^*(R)$ .

*Proof of Theorem 1.1.* By Remark 2.1,  $X$  has gonality  $mz$  and  $\dim(W_{mz}^1(X)) = 0$ . M. Aprodu proved that  $X$  has Clifford index  $mz-2$  and satisfies both Green and Green-Lazarfeld conjectures if  $\dim(W_{mz+t}^1(X)) \leq t$  for every integer  $t$  such that  $0 \leq t \leq g - 2mz + 2$  ([4], Theorem 2). Since the function  $x \rightarrow \dim(W_x^1(X))$  is strictly increasing in the interval  $[\text{gon}(X), g-1]$ , it is sufficient to prove  $\dim(W_{g-mz+2}^1(X)) = g - 2mz + 2$ . Assume  $\dim(W_{g-mz+2}^1(X)) > g - 2mz + 2$ , i.e.  $\dim(W_{g-mz+2}^1(X)) = g - mz - j$  for some integer  $j \leq mz - 3$ . We have  $j \geq 0$  by H. Martens' Theorem ([14], see for instance [2], IV., Theorem (5.1)). Notice also that  $g \geq 4j + 3$  and  $2j + 2 \leq g - mz + 2 \leq g - 1 - j$ , hence a theorem of R. Horiuchi yields  $\dim(W_{2j+2}^1(X)) = j$  ([12], Theorem 1). Let now  $\Gamma$  be any irreducible component of  $W_{2j+2}^1(X)$  such that  $\dim(\Gamma) = j$ . Since  $f$  is simple and  $g - mq > (m-1)(2mz-5) \geq (m-1)(2j+1)$ , by Remark 2.1 there are an integer  $y \leq \lfloor (2j+2)/m \rfloor$ , a non-empty open subset  $\Phi$  of  $\Gamma$  and an open subset  $\Psi$  of  $W_y^1(C)$  such that every element of  $\Phi$  is the pull-back of an element of  $\Psi$  plus  $2j+2-my$  base points. Thus  $j = \dim(\Gamma) = \dim(\Psi) + 2j+2-my \leq \dim(W_y^1(C)) + 2j+2-my$ . We have  $\Phi \neq \emptyset$ , so  $\Psi \neq \emptyset$  and  $y \geq z$ . Hence  $\dim(W_y^1(C)) \leq \dim(W_z^1(C)) + 2(y-z)$  ([9], Theorem 1). Since by assumption  $\dim(W_z^1(C)) = 0$ , by putting everything together we get  $j \leq 2(y-z) + 2j+2-my \leq 2j+2-mz$ , i.e.  $j \geq mz-2$ , contradiction.  $\square$

The following auxiliary result provides a suitable generalization of [4], Theorem 2, by repeating almost verbatim the same proof.

**Lemma 2.2.** Fix an integer  $n \geq 1$  and let  $C$  be a smooth  $d$ -gonal curve of genus  $g$  such that  $\dim G_{d+m}^1 \leq n-1+m$  for all  $m$  with  $n-1 \leq m \leq g-2d+n+1$ . Then  $K_{g-d+n,1}(C, \omega_C) = 0$  and  $K_{r-d+n,1}(C, L) = 0$  for every line bundle  $L$  on  $C$  with  $h^0(C, L) = r+1$  and  $\deg(L) \geq 3g$ .

*Proof.* Define integers  $k, \nu$  as follows:

$$k = g - d + n \quad (1)$$

$$\nu = 2k - g \quad (2)$$

and let  $X$  be the stable curve obtained from  $C$  by identifying  $\nu + 1$  pairs of general points on  $C$ . In particular, let  $p, q$  be a pair of points on  $C$  identified to a node on  $X$ . If  $K_{k,1}(C, \omega_C(p+q)) = 0$  then according to [7], Theorem 2.1, for every effective divisor  $E$  of degree  $e \geq 1$  we have  $K_{k+e,1}(C, \omega_C(p+q+E)) = 0$ . Thus if  $L$  is any line bundle on  $C$  of degree  $x \geq 3g$ , then  $h^0(C, L - \omega_C(p+q)) \geq 1$  and  $K_{k+x-2g,1}(C, L) = 0$ . On the other hand, by [7], Lemma 2.3 and [16], p. 367, we have  $K_{k,1}(C, \omega_C) \subseteq K_{k,1}(C, \omega_C(p+q)) \subseteq K_{k,1}(X, \omega_X)$ , therefore in order to prove our statement we may assume  $K_{k,1}(X, \omega_X) \neq 0$  and look for a contradiction. By (2),  $X$  has genus  $2k + 1$ , hence by [3], Proposition 8, there exists a torsion-free sheaf  $F$  on  $X$  with  $\deg(F) = k + 1$  and  $h^0(X, F) \geq 2$ . Let  $s$  with  $0 \leq s \leq \nu + 1$  be the number of nodes at which  $F$  is *not* locally free. If  $f : X' \rightarrow X$  is the partial normalization of  $X$  at all such nodes, then  $F = f_*(L)$ , where  $L = f^*(F)/\text{Tors}(f^*(F))$  is a line bundle on  $X'$  with  $\deg L = k + 1 - s$  and  $h^0(X', L) = h^0(X, F) \geq 2$ . By taking the pull-back of  $L$  on  $C$ , we obtain a  $g_{k+1-s}^1$  not separating  $\nu + 1 - s$  pairs of general points on  $C$ , hence it follows that  $\dim G_{k+1-s}^1(C) \geq \nu + 1 - s$ .

In order to reach a contradiction, assume first  $0 \leq s \leq g - 2d + 2$  (notice that if  $g = 2r - 1$  and  $d = r + 1$  this case does not occur). From (1) we obtain  $k + 1 - s = d - 2d + g + n + 1 - s$  with  $n - 1 \leq -2d + g + n + 1 - s \leq g - 2d + n + 1$ . Hence our numerical hypotheses imply that

$$\dim G_{k+1-s}^1(C) \leq g - 2d + 2n - s \leq \nu - s.$$

Assume now  $s > g - 2d + 2$ . We claim that also in this case

$$\dim G_{k+1-s}^1(C) = \max_r \{2(r-1) + \dim W_{k+1-s}^r(C)\} < \nu + 1 - s.$$

Indeed, we have

$$\begin{aligned} \dim W_{k+1-s}^r(C) &\leq \dim W_{k+1-s-(r-1)}^1(C) \leq \\ &\leq \dim W_d^1(C) + 2(k+1-s-(r-1)-d) \leq \\ &\leq 1 + 2(k+1-s-(r-1)-d) \end{aligned}$$

where the second inequality is provided by [9], Theorem 1. Hence from (2) it follows that  $\dim W_{k+1-s}^r(C) < \nu + 1 - s - 2(r-1)$  for any  $r$ , as claimed.  $\square$

*Proof of Proposition 1.3.* We argue as in the proof of Theorem 1.1 by applying Lemma 2.2 with  $n = 2$  instead of [4], Theorem 2. This time we need

to prove  $\dim(G_{g-mz+3}^1(X)) \leq g - 2mz + 4$ , therefore we assume by contradiction  $\dim(G_{g-mz+3}^1(X)) = g - 2mz + 1 - j$  with  $j \leq mz - 4$ . Once again the numerical hypotheses of [12], Theorem 1, are easily checked, hence we get  $j \leq \dim(W_z^1(C)) + 2j + 2 - mz$ . Since in any case  $\dim(W_z^1(C)) \leq 1$  by [9], Theorem 1, we obtain the desired contradiction  $j \geq mz - 3$ .  $\square$

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