

SKEW HURWITZ SERIES OVER QUASI BAER AND *PS*-RINGS

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In this paper, we consider some properties of rings which are shared by the ring R and the ring $T = (HR, \sigma)$ of skew Hurwitz series. In particular we show that:

- 1) If R is a ring with $\text{char}(R) = 0$ and σ is an R -automorphism such that $\sigma(e) = e$ and the left annihilator of every left ideal is σ -invariant, then the following are equivalent:
 - i) T is a quasi Baer ring.
 - ii) R is a quasi Baer ring.
- 2) If R is a right *PS*-ring with $\text{char}(R) = 0$, then T is a right *PS*-ring.

1. Introduction

Throughout this paper R denotes an associative ring with identity and $\text{char}(R) = 0$ which means that $nx = 0$ if and only if $x = 0$ which is a stronger condition than the usual definition that no positive multiple of the identity vanishes. Recall from [5] that R is a Baer ring if the right annihilator of every nonempty subset of R is generated as a right ideal by an idempotent, this definition is left-right symmetric see [5], and it was proved in [1] that Baer rings are ubiquitous which forms a very wide class.

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The concept of Baer rings was generalized by Clark [3] in 1967 to that of quasi Baer rings. A ring R is called quasi Baer if the right annihilator of every ideal is generated as a right ideal by an idempotent. Moreover, Clark [3] showed the left-right symmetric of this condition by proving that a ring R is quasi Baer if and only if the right annihilator of every right ideal of R is generated as a right ideal by an idempotent.

A natural question for a given class of rings is, how does the given class behaves with respect to ring extensions?

Birkenmeier et al proved in [2] that a ring R is quasi Baer if and only if $R[[X]]$ is quasi Baer, where X is an arbitrary non empty set of not necessarily commuting indeterminate. In a series of papers [6–8] Keigher introduced the notion of the ring HR of Hurwitz series over a commutative ring with identity and demonstrated that it has many interesting application in differential algebra. The ring HR has been named the ring of Hurwitz series over R to credit the contribution of Hurwitz to its definition.

The motivation of this paper is two folded:

- 1) To extend the notion of the ring of Hurwitz series HR to the ring of skew Hurwitz series $T = (HR, \sigma)$.
- 2) To study when the property of being right quasi Baer (PS) ring is shared between the ring T of Skew Hurwitz series over the ring R and R itself.

For any ring R with identity and R -automorphism σ , we denote by

$$T = (HR, \sigma) = \{f : N \rightarrow R\},$$

where N is the set of natural numbers. Let the operation of addition in T be component wise and the operation of multiplication for each $f, g \in T$ be defined by

$$(fg)(n) = \sum_{k=0}^n \binom{n}{k} f(k) \sigma^k g(n-k),$$

for all $n \in N$, where $\binom{n}{k}$ is the binomial coefficient.

It can be easily shown that T is a ring with identity h_1 , defined by $h_1(0) = 1$ and $h_1(n) = 0$ for all $n \geq 1$. It is called the ring of skew Hurwitz series over R .

We denote by $supp(f)$ the support of f , i.e.,

$$supp(f) = \{n \in N | f(n) \neq 0\},$$

and by $\pi(f)$ the smallest element in $supp(f)$. It is clear that R is canonically embedded as a subring of T via $r \in R \mapsto h_r \in T$, where $h_r(0) = r, h_r(n) = 0$ for every $n \geq 1$, hence $supp(h_r) = \{0\}$.

A right (left, two-sided) ideal I of a ring R is called σ -invariant if $\sigma(I) \subseteq I$. If R is a ring and σ is an R -endomorphism, R is called σ -compatible if $ab = 0$ if and only if $a\sigma(b) = 0$.

From now on let σ be an R -automorphism.

2. Quasi-Baer Rings of Skew Hurwitz Series

Theorem 2.1. *Suppose that R is a ring and $\text{char}(R) = 0$. If R is a quasi-Baer ring, then the skew Hurwitz series ring $T = (HR, \sigma)$ is a quasi-Baer ring.*

Proof. Let M be a left ideal of T . We claim that $\ell_T(M) = Th_e$, for some idempotent $h_e \in T$. Set $I_n = \{g(n) \in R \mid g \in M, n = \pi(g)\} \subset R$, and $I = \bigcup_{n \in \mathbb{N}} I_n$. Let J be the left ideal of R generated by I . Then there exists an idempotent e of R such that $\ell_R(J) = Re$.

First, to show that $Th_e \subseteq \ell_T(M)$, take $f \in M$, then $h_e f \in M$. If $h_e f \neq 0$, then $\text{supp}(h_e f)$ is a nonempty subset of \mathbb{N} . Let $t = \pi(h_e f)$. Then

$$0 \neq (h_e f)(t) = \sum_{k=0}^t \binom{t}{k} h_e(k) \sigma^k(f(t-k)) = ef(t) \in I_t \subseteq J,$$

but $ef(t) = e(ef(t)) = 0$, which is a contradiction. So, we inductively obtain that $(h_e f)(t) = 0$ for each $t \in \text{supp}(f)$. Hence $h_e f = 0$, which means that $Th_e \subseteq \ell_T(M)$.

Now we will show that $\ell_T(M) \subseteq Th_e$. Let $0 \neq g \in \ell_T(M)$ and let $s = \pi(g)$. For any $a \in J$, there exist

$$s_1, s_2, \dots, s_n \in \mathbb{N}, f_1, f_2, \dots, f_n \in M,$$

and $r_1, r_2, \dots, r_n \in R$, such that

$$a = r_1 f_1(s_1) + r_2 f_2(s_2) + \dots + r_n f_n(s_n).$$

Let $s_j = \pi(f_j)$, then $f_j(s_j) \in I_{s_j}$, $j = 1, 2, \dots, n$. Since $h_{r_j} f_j \in M$, we have $g(h_{r_j} f_j) = 0$. Clearly, $\pi(h_{r_j} f_j) = s_j$, thus

$$\begin{aligned} 0 &= g(h_{r_j} f_j)(s_j + s) = \sum_{k=0}^{s_j+s} \binom{s_j+s}{k} g(k) \sigma^k((h_{r_j} f_j)(s_j + s - k)) \\ &= \binom{s_j+s}{s_j} g(s) \sigma^s((h_{r_j} f_j)(s_j)). \end{aligned}$$

Since $\text{char}(R) = 0$, then $(g(s) \sigma^s((h_{r_j} f_j)(s_j))) = 0$, for any $j = 1, 2, \dots, n$. Thus $g(s) \sigma^s(a) = 0$. Since σ is an automorphism, there exists $d_1 \in R$ such that

$\sigma^s(d_1) = g(s)$. Then $\sigma^s(d_1a) = g(s)\sigma^s(a) = 0$. Consequently, $d_1 \in \ell_R(J) = Re$. Thus $d_1 = d_1e$, and it follows that $g(s) = g(s)\sigma^s(e)$.

Suppose that $u \in \text{supp}(g)$ and $g(v) = g(v)\sigma^u(e)$ for any $v \in \text{supp}(g)$ with $v < u$. We will show that $g(u) = g(u)\sigma^u(e)$ for any $u \in \text{supp}(g)$. Denote

$$(g_u)(x) = g(x) \quad \text{when } x < u \text{ and } (g_u)(x) = 0 \quad \text{when } x \geq u.$$

Thus $\pi(g - g_u) = u$. By hypothesis $g_u = g_u h_e \in Th_e \subseteq \ell_T(M)$.

Now $g - g_u \in \ell_T(M)$. Using the same procedure above, it follows that

$$(g - g_u)(u) = (g - g_u)(u)\sigma^u(e),$$

which implies that $g(u) = g(u)\sigma^u(e)$ and our claim holds.

Now from

$$(gh_e)(t) = \sum_{k=0}^t \binom{t}{k} g(k)\sigma^k(h_e(t-k)) = g(t)\sigma^t(h_e(0)) = g(t),$$

it follows that $g = gh_e \in Th_e$. Therefore, $Th_e = \ell_T(M)$, and we have that T is quasi-Baer.

Recall from [2] that an idempotent $e \in R$ is called left (resp. right) semicentral in R if, $ere = re$ ($ere = er$), for all $r \in R$. Equivalently, $e^2 = e \in R$ is left (resp. right) semicentral in R if eR (Re) is an ideal of R . Since the left annihilator of a left ideal is an ideal, we see that the left annihilator of a left ideal is generated by a right semicentral idempotent in a quasi-Baer ring. \square

Proposition 2.2. *Suppose that $f \in T$ is a right semicentral idempotent, then:*

- 1) $f(0) = e$ is a right semicentral idempotent of R .
- 2) If $f(0) = e$ is σ -invariant, then $Tf = Th_e$.

Proof. 1) Let $f(0) = e$, since $f \in T$ is a right semicentral idempotent, then $fh_r = fh_r f$ for any $r \in R$. Thus

$$er = f(0)r = (fh_r)(0) = (fh_r f)(0) = f(0)rf(0) = ere$$

which implies that $e = f(0)$ is a right semicentral idempotent of R .

2) If $f(0) = 0$, then $f = 0$. Otherwise, suppose that $f \neq 0$, then $\text{supp}(f) \neq \emptyset$. Let $t = \pi(f)$. Then

$$0 \neq f(t) = f^2(t) = \sum_{k=0}^t \binom{t}{k} f(k)\sigma^k(f(t-k)) = 0,$$

which is a contradiction. This shows us that $f = 0$ and $e = f(0) = 0$. Thus, $h_e = 0$ and we get that $Tf = Th_e$.

Now suppose that $f(0) \neq 0$. If $\text{supp}(f) = \{0\}$, then clearly $f = h_e$. So assume $\text{supp}(f) \neq \{0\}$. Denote the minimal element in $\text{supp}(f) \setminus \{0\}$ by t . Since $\sigma(e) = e$ and $f(s) = 0$ for any $s \in \mathbb{N}$ with $0 < s < t$, then

$$\begin{aligned} f(t)\sigma^t(r) &= (fh_r)(t) = (fh_rf)(t) = \sum_{k=0}^t \binom{t}{k} f(k)\sigma^k(rf(t-k)) \\ &= f(0)rf(t) + f(t)\sigma^t(r)\sigma^t(f(0)) = erf(t) + f(t)\sigma^t(r)e. \end{aligned}$$

Multiply the left-hand side by $e = f(0)$, we get

$$ef(t)\sigma^t(r) = erf(t) + ef(t)\sigma^t(r)e.$$

But $ef(t)\sigma^t(r) = ef(t)\sigma^t(r)e$. Hence

$$erf(t) = 0, \quad \text{and} \quad f(t)\sigma^t(r) = f(t)\sigma^t(r)e.$$

Suppose now that $w \in \text{supp}(f)$ is such that for any $u \in \text{supp}(f)$ with $0 < u < w$,

$$f(u)\sigma^u(r) = f(u)\sigma^u(r)e, \quad erf(u) = 0, \quad \forall r \in R.$$

Then

$$\begin{aligned} f(w)\sigma^w(r) &= (fh_r)(w) = (fh_rf)(w) = \sum_{k=0}^w \binom{w}{k} f(k)\sigma^k(rf(w-k)) \\ &= f(0)rf(w) + \sum_{k=1}^{w-1} \binom{w}{k} f(k)\sigma^k(rf(w-k)) + f(w)\sigma^w(rf(0)). \end{aligned}$$

Multiply the left-hand side by $f(0) = e$, we get

$$ef(w)\sigma^w(r) = erf(w) + \sum_{k=1}^{w-1} \binom{w}{k} ef(k)\sigma^k(rf(w-k)) + ef(w)\sigma^w(re).$$

But $ef(w)\sigma^w(r)e = ef(w)\sigma^w(r)$ and $\sum_{k=1}^{w-1} \binom{w}{k} ef(k)\sigma^k(rf(w-k)) = 0$. Thus $erf(w) = 0$ and it follows that

$$f(w)\sigma^w(r) = \sum_{k=1}^{w-1} \binom{w}{k} f(k)\sigma^k(rf(w-k)) + f(w)\sigma^w(r)e.$$

Multiply the right-hand side by $f(0) = e$, we get

$$\begin{aligned} f(w)\sigma^w(r)e &= \sum_{k=1}^{w-1} \binom{w}{k} f(k)\sigma^k(rf(w-k))e + f(w)\sigma^w(r)e \\ &= \sum_{k=1}^{w-1} \binom{w}{k} f(k)\sigma^k(rf(w-k)) + f(w)\sigma^w(r)e. \end{aligned}$$

Thus

$$\sum_{k=1}^{w-1} \binom{w}{k} f(k)\sigma^k(rf(w-k)) = 0$$

and it follows that

$$f(w)\sigma^w(r)e = f(w)\sigma^w(r).$$

Therefore, we get for any $w \in \text{supp}(f)$,

$$f(w)\sigma^w(r)e = f(w)\sigma^w(r), \quad erf(w) = 0, \quad \forall r \in R.$$

Hence, we can conclude that $h_e = h_e f$ and $f = f h_e$, which imply that $Tf = Th_e$. \square

The following example shows us that there exists skew Hurwitz series $T = (HR, \sigma)$ which is quasi-Baer, but R isn't quasi-Baer.

Example 2.3. Consider the ring $R = \{(a, b) \in \mathbb{Z} \oplus \mathbb{Z} \mid a \equiv b \pmod{2}\}$, with the usual operations of componentwise addition and multiplication R is clearly a commutative reduced ring and the only idempotent of R are $(0, 0)$ and $(1, 1)$. Let $\sigma : R \rightarrow R$ be defined by $\sigma(a, b) = (b, a)$, then σ is an automorphism of R . Now we claim that $T = (HR, \sigma)$ is quasi-Baer. Let I be a nonzero ideal of T and $0 \neq g \in I$, let $i = \pi(g)$ and $g(i) = (a_i, b_i)$. Let $f, h \in T$ be such that

$$f(2k - i) = (1, 1) \quad \text{and} \quad f(j) = 0 \quad \text{otherwise,}$$

$$h(2k - i + 1) = (1, 1) \quad \text{and} \quad h(j) = 0 \quad \text{otherwise,}$$

Hence, $gf \in I$ and $gh \in I$ are such that $\pi(gf) = 2k$ and $(gf)(2k) = \binom{2k}{i} g(i)$ and $\pi(gh) = 2k + 1$ and $(gh)(2k) = \binom{2k+1}{i} g(i)$. Suppose that $0 \neq q \in r_T(I)$, $j = \pi(q)$ and $q(j) = (u_j, v_j) \neq (0, 0)$.

Hence

$$\begin{aligned} 0 &= (gfq)(2k + j) = \binom{2k + j}{2k} \binom{2k}{i} g(i)\sigma^{2k}(q(j)) \\ &= \binom{2k + j}{2k} \binom{2k}{i} (a_i, b_i)(u_j, v_j). \end{aligned}$$

Also,

$$\begin{aligned} 0 &= (ghq)(2k+j+1) = \binom{2k+j+1}{2k+1} \binom{2k+1}{i} (g)(i) \sigma^{2k+i}(q(i)) \\ &= \binom{2k+j+1}{2k+1} \binom{2k+1}{i} (a_i, b_i)(v_j, u_j). \end{aligned}$$

Since $\text{char}(R) = 0$, then $(a_i, b_i)(u_j, v_j) = (a_i u_j, b_i v_j) = (0, 0)$ and $(a_i, b_i)(v_j, u_j) = (a_i v_j, b_i u_j) = (0, 0)$. Since $(a_i, b_i) \neq (0, 0)$ this means that a_i or b_i are nonzero. Consequently, $(u_j, v_j) = (0, 0)$ which is a contradiction.

Therefore, $r_T(I) = \{(0, 0)\}$ and T is quasi-Baer.

In the contrary, R isn't quasi-Baer. For $(2, 0) \in R$ we get

$$r_R((2, 0)) = \{(0, 2n) | n \in \mathbb{Z}\}.$$

Consequently, $r_R((2, 0))$ doesn't contain any nonzero idempotent.

Hence R isn't quasi-Baer.

Theorem 2.4. *Suppose that R is a ring such that every semicentral idempotent is σ -invariant and $\sigma(l_R(I)) = l_R(I)$ for each left ideal I of R . If $T = (HR, \sigma)$ is quasi-Baer, then R is quasi-Baer.*

Proof. Let I be a left ideal of a ring R and $M = TI$ be the left ideal of T generated by I . Since, T is a quasi-Baer ring, then there exists a semicentral idempotent $f \in T$ such that $l_T(M) = Tf$. Using Proposition 2.2 it follows that $l_T(M) = Tf = Th_e$ for some semicentral idempotent $e \in R$. Hence, $h_e g = 0$ for each $g \in M$ and we have that $0 = (h_e h_x)(0) = ex$ for each $x \in I$. Therefore $Re \subseteq l_R(I)$. Now, suppose that $y \in l_R(I)$, $g \in T$ and $x \in I$, then $gh_x \in M$ and $h_y gh_x = 0$. Hence, $0 = (h_y gh_x)(n) = yg(n)\sigma^n(x)$. Since, σ is an R -automorphism and $\sigma(l_R(I)) = l_R(I)$, then $(h_y gh_x)(n) = \sigma^n(trx)$ where $t = \sigma^{-n}y$, $r = \sigma^n(g(n))$.

So, $t \in l_R I$ and it follows that $(h_y gh_x)(n) = \sigma^n(trx) = \sigma^n(0) = 0$ for each $n \in N$. Therefore $h_y \in l_T(M) = Th_e$. Hence, $h_y = kh_e = h_y h_e$ for some $k \in T$ and it follows that $y = ye \in R$ which means that $Re = l_R(I)$ and R is a quasi-Baer ring. \square

Combining Theorem 2.1 and Theorem 2.4 we get the main Theorem of this section.

Theorem 2.5. *Suppose that R is a ring with $\text{char}(R) = 0$ and σ is an R -automorphism such that every left semicentral idempotent $e \in R$ is σ -invariant and $\sigma(l_R(I)) = l_R(I)$ for each left ideal I of R . Then R is a quasi-Baer ring if and only if $T = (HR, \sigma)$ is quasi-Baer.*

The following Theorem shows us that the prime property can be shared between T and R under certain condition.

Theorem 2.6. *Suppose that R is a ring and σ is an R -automorphism, then*

- i) *If R is prime and $\text{char}(R) = 0$, then $T = (HR, \sigma)$ is prime.*
- ii) *If the left annihilator of every left ideal of R is σ -invariant and T is prime, then R is prime.*

Proof. i) Suppose that R is a prime ring and $T = (HR, \sigma)$ is not prime, then there exists nonzero elements $f, g \in T$ such that $fTg = 0$. Hence, $fk g = 0$ for each $k \in T$ in particular $fh_r g = 0$ for each $r \in R$. Suppose that $\pi(f) = n_1$ and $\pi(g) = n_2$, then

$$\begin{aligned} 0 &= (fh_r g)(n_1 + n_2) = \sum_{i=0}^{n_1+n_2} f(i)\sigma^i(h_r g)(n_1 + n_2 - i) \\ &= f(n_1)\sigma^{n_1}(h_r g)(n_2) = f(n)\sigma^{n_1}((r)g(n_2)). \end{aligned}$$

Since, σ is an R -automorphism, then $0 = f(n)R\sigma^{n_1}(g(n_2))$ for nonzero elements $f(n)$ and $\sigma^{n_1}g(n_2)$ which contradicts the fact that R is a prime ring.

ii) Suppose that R isn't prime, then there exists a nonzero elements $a, b \in R$ such that $aRb = 0$. Therefore, $b \in R_R(a)$ and by hypothesis $\sigma^n(b) \in R_R(a)$. So $aR\sigma^n(b) = 0$ for all $n \in N$.

Hence, $0 = aR\sigma^n(b) = (h_a g h_b)(n)$ for each $g \in T$ and $n \in N$. So, $h_a T h_b = 0$ which contradicts the fact that T is a prime ring. \square

3. PS-Rings Of Skew Hurwitz Series

A ring R (not necessarily commutative) is called a *PS*-ring if the socle, $\text{Soc}_R(R)$ is projective. These rings were studied by Gordon in [4] and Nicholson and Watter in [9]. In [9] Nicholson and Watter proved that if R is a left *PS*-ring, then so are $R[X]$ and $R[[X]]$. The following result is due to Nicholson and Watter [9] which gives an equivalent condition for the ring R to be *PS* and we need it in the sequel.

Lemma 3.1. *The following conditions on a ring R are equivalent:*

- 1) *R is a left *PS*-ring.*
- 2) *If M is a maximal left ideal of R , then $r_R(M) = eR$, where $e^2 = e \in R$ and $r_R(M)$ is the right annihilator of M in the ring R .*

The following Theorem is due to L. Zhongkui [10] which shows us that the ring HR of hurwitz series inherits the PS property from the ring R .

Theorem 3.2. *Suppose that R is a commutative ring and $\text{char}(R) = 0$. If R is a PS -ring then so, is HR .*

The following Theorem is the main result of this section which extends the above theorem to the noncommutative case.

Theorem 3.3. *Suppose that R is a right PS -rings with $\text{char}(R) = 0$, then the skew Hurwitz series ring $T = (HR, \sigma)$ is a right PS -ring.*

Proof. Let M be a maximal right ideal of T and

$$I_n = \{g(n) \in R \mid g \in M, n = \pi(g)\} \subset R.$$

Hence I_n is a right ideal of R . Let $I = \cup_{n \in N} I_n$ and J be the ideal of R generated by I . It can be easily shown that J is a maximal right ideal of R . For if $J = R$, then there exists nonzero elements f_1, f_2, \dots, f_m in M and r_1, r_2, \dots, r_m in R such that $1 = f_1(n_1)r_1 + \dots + f_m(n_m)r_m$ with $n_i = \pi(f_i)$ and $f(n_i) \in I_{n_i} \subset J$ for each $i = 1, \dots, m$. Suppose that $0 \neq g \in l_T(M)$ and $k = \pi(g)$. If

$$\binom{m+n_i}{k} g(k) \sigma^k(f_i(n_i)) \neq 0,$$

then $\pi(gf_i) = k + n_i$ and it follows that $(gf_i)(k + n_i) \neq 0$ which contradicts the fact that $g \in l_T(M)$. Hence

$$\binom{k+n_i}{k} g(k) \sigma^k(f_i(n_i)) = 0.$$

Since $\text{char}(R) = 0$, then $g(k) \sigma^k(f_i(n_i)) = 0$ for each $i = 1, \dots, m$.

Now,

$$1 = \sigma^k(1) = \sigma^k(f_1(n_1)r_1 + \dots + f_m(n_m)r_m).$$

Therefore,

$$g(k) = g(k) \sigma^k(f_1(n_1)r_1 + \dots + f_m(n_m)r_m) = 0$$

which contradicts the fact that $\pi(g) = k$. Hence $g = 0$ and $l_T(M) = 0$.

Now, suppose that $J \neq R$, we will show that J is a maximal right ideal of R . Let $r \in R \setminus J$. If $h_r \in M$, then $r = h_r(0) \in I_0 \subset J$ which is a contradiction. Hence $h_r \notin M$ and by maximality of M , $T = M + h_r T$. Therefore, there exists $f \in M$ and $g \in T$ such that $h_1 = g + h_r f$. Thus $1 = g(0) + r f(0)$. If $f(0) = 0$, then $1 \in rR$ and $R = J + rR$. If $f(0) \neq 0$, then $R = J + rR$. Consequently J is a maximal right ideal of R .

Since R is a right PS -ring, then there exists an idempotent $e \in R$ such that $l_R(J) = Re$, we will show that $l_T(M) = Th_e$. Suppose that $h_e M \not\subseteq M$ by maximality of M , $T = M + h_e M$. Hence $h_1 = f + h_e g$ for some $f, g \in M$. Therefore, $1 = f(0) + eg(0)$, if $g(0) \neq 0$, then $\pi(g) = 0$ and it follows that $g(0) \in I_0 \subset J$. Hence $0 = eeg(0) = eg(0)$. Therefore, $1 = f(0) \in I_0 \subset J$ which is a contradiction. Hence, $h_e M \subseteq M$. Suppose that $g \in M$, hence $h_e g \in M$. If $h_e g \neq 0$, let $k = \pi(h_e g)$, then $(h_e g)(k) = h_e(0)g(k) = eg(k) \in I_k \subset J$. Hence, $0 = eeg(k) = eg(k) = (h_e g)(k)$ which is a contradiction. Consequently, $h_e g = 0$ and $Th_e \subseteq l_T(M)$. Conversely, let $0 \neq g \in l_T(M) - Th_e$, then using the same argument used in Theorem 2.1 it can be easily shown that $g \in The_e$ which is a contradiction. Hence, $l_T(M) \subseteq Th_e$. Therefore, $l_T(M) = Th_e$ and T is a right PS -ring. \square

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